

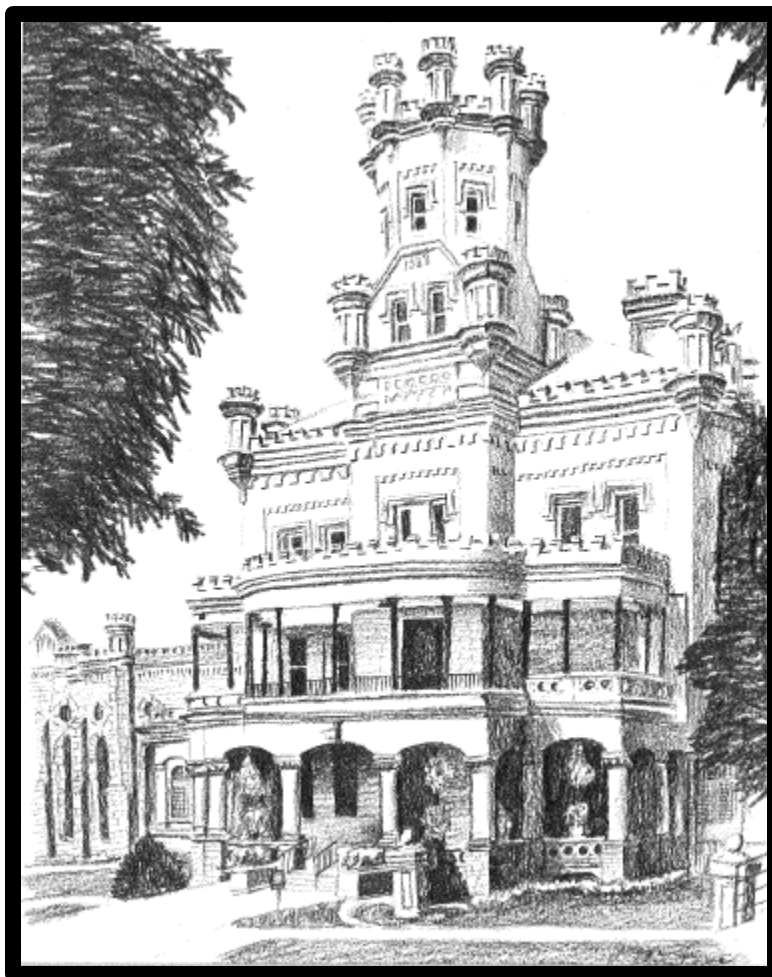
ANAMOSA STATE PENITENTIARY

ANNUAL REPORT

FISCAL YEAR

JULY 1, 2018 – JUNE 30, 2019

Submitted pursuant to Section 904.307 of the Code of Iowa



*The Mission of the Iowa Department of Corrections is:
Creating Opportunities for Safe Communities*

William Sperflage
Warden



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MISSION STATEMENT

The mission state of the Iowa Department of Corrections is:

Creating Opportunities for Safe Communities

ANAMOSA STATE PENITENTIARY GUIDING PRINCIPLES AND BELIEFS

We work to maintain a safe, humane, and secure environment in which offenders are confined and controlled, staff and volunteers can work, and the public is able to visit.

We create and maintain an atmosphere in which the rights, dignity, and beliefs of all are respected.

We expect all people to be motivated, responsible, and hold them accountable for their actions.

We provide offenders with positive opportunities and they are encouraged to eliminate criminal behavior.

We believe the meaningful involvement of offenders in work and program activities is essential.

We are sensitive and responsive to the victims of criminal behavior.

We promote professionalism through high standards of performance, efficiency, knowledge, personal appearance and conduct.

We encourage offenders to participate in community service and other restorative justice activities.

We inform and educate the public through truthful and constructive public relations activities.

HISTORY OF THE ANAMOSA STATE PENITENTIARY

In 1872, the 14th Iowa General Assembly approved Anamosa as the site of the “Additional Penitentiary”. A three-member commission was formed to choose the site, purchase a quarry, and approve the architect’s plan. A 16-foot high wooden stockade was built around the eleven acre site, a small stone building was constructed containing wooden cells, and in the spring of 1873 twenty offenders were transferred to Anamosa from Fort Madison to begin building the new prison.

Construction of the first cell house began on May 8, 1874 and was completed by January 1875. It was two stories high with a basement. It contained 72 cells, each measuring 4 feet 6 inches wide, 7 feet 6 inches in height, and 8 feet in length.

In 1884, the name was changed to the Iowa State Penitentiary at Anamosa. The count in June 1885 was 281. By the 1890’s the prison’s construction was considered half-finished and Anamosa was accepting all of the state’s female and insane prisoners in addition to all male felons convicted in the northern half of Iowa.

In 1907, a reform movement prompted the beginning of prisons for younger first time offenders, and both the name and the role of Anamosa was changed to the Iowa State Reformatory. The women prisoners left in 1918 when the Women’s Reformatory opened at Rockwell City, while the care of prisoners with significant and mental health needs continued at Anamosa until the opening of the Iowa Security Medical Facility in 1969.

In July of 1982, Anamosa became Iowa’s first inmate reception center and served in that capacity until September of 1984. The population steadily increased until reaching 1,561 in 1996, the largest population since the 1930’s. In 1997, the Anamosa Men’s Reformatory was changed to the Anamosa State Penitentiary in order to more accurately reflect the institution’s role in Iowa Corrections.

Today, the Anamosa State Penitentiary is a Security Designation 5 (medium/maximum security) institution for adult males with a design capacity of 911. As of June 30, 2018, we housed 992 incarcerated individuals, of which

234 were lifers. FY19 average for the number of incarcerated individuals who committed crimes against persons was 697.

In FY'19 the Anamosa State Penitentiary had 311 authorized positions, 215 of these were correctional security staff. In addition, Iowa Prison Industries employs 35 non-appropriated staff (includes IPI Farms) and Kirkwood Community College is staffed with 6 employees, along with 1 Grant Wood AEA staff at the ASP site.

The purpose of ASP is to protect society from those who violate the laws of Iowa and prepare incarcerated individuals to return to society as productive citizens. ASP primarily houses long-term incarcerated individuals and those who have presented as management problems at other DOC facilities. At an appropriate time, incarcerated individuals are moved to less secure facilities as a part of the re-entry process. The primary function of staff is to provide a safe, secure and helpful environment which encourages behavior change.

The correctional process utilized to attain these objectives emphasizes individualized treatment and a disciplined environment. All resources are used to promote development of behavioral self-control, academic and vocational skills, and to institute decision-making abilities. Kirkwood Community College is the provider of HiSET (formerly GED) and literacy based education programs. Iowa Prison Industries (IPI) provides on-the-job training and work skills in License Plates, Signs, Graphic Arts, Housekeeping/Laundry, HVAC Filters, Metal Furniture, Custom Wood and Braille. In addition, IPI manages warehouse operations and a farm program including 1,434 acres with a cow-calf herd. Together, all staff works diligently to promote successful incarcerated individual re-entry.

In February of 2014, the United States Department of Labor recognized and approved formal apprenticeship programs at the Anamosa State Penitentiary in the following areas: Computer Operator, Cabinet Maker, Welder, Air Conditioner/Refrigeration, Electrician, Plumber, Metal Fabricator, Powder Coat Paint Technician, Maintenance Repair/Builder and Cook.

The establishment of these apprenticeships was a joint effort by Iowa Prison Industries, Kirkwood Community College and ASP. This is a significant achievement resulting in tremendous vocational training opportunities for the incarcerated individual population.

Management Team

The management-style at ASP is that of a transformational leadership team. A team approach, which calls for input from not only staff members but from incarcerated individuals and the public through open lines of communication. The current Management Team consists of Warden – Bill Sperfslage, Deputy Warden – Mike Heinricy, Associate Warden of Security – Chad Kerker, Associate Warden of Administration - Lisa Oswald, Associate Warden of Treatment - Tracy Dietsch, Director of Nursing - Laura Barner and Associate Warden of Iowa Prison Industries - Al Reiter. The people of Iowa can be assured that the staff of the Anamosa State Penitentiary will continue to meet the many challenges we face as we work to make Iowa a safer place.



LIVING UNITS

Living Unit A

LUA was converted to a specialized housing unit in December of 2015 housing the special needs population at ASP. The average daily population of LUA was 43.

Living Unit B

LUB is a general population unit housing incarcerated individuals in the Transition Incentive Program (Tip) levels 0, 1, 2, 3, and 4. LUB was converted to a single cell unit in January 2016. The average daily population of LUB was 353.

Living Unit C

LUC houses TIP 4 general population who are long-term incarcerated individuals that have demonstrated better than average adjustment in the institutional environment. Incarcerated Individuals are allowed greater freedom of movement while residing in this cell house. The average daily population of LUC was 314.

Living Unit D

Living Unit D has four separate floors: D-B, D-1, D-2, and D-3.

LUD-B housed the Youthful Offender population until March of 2018 when this population was transferred to IMCC. This unit was reopened as protective custody unit that houses General Population Phase 1 Incarcerated Individuals before transitioning to GP PC Phase 2 and 3. The average daily population of LUD-B was 18.

LUD-1 houses TIP 4 incarcerated individuals who are short term (within 5 years of releasing into the community) and long term Tip 4 incarcerated individuals who are waiting placement in LUC. The average daily population of LUD-1 was 92.

LUD-2 serves the DOC as a long-term protective custody housing unit housing General Population PC Phase 2 & 3. The average daily population of LUD-2 was 46.

LUD-3 houses incarcerated individuals in administrative segregation, investigative segregation, protective custody, and disciplinary detention. Average daily population of LUD3 was 70.

LUE houses incarcerated individuals that have been approved to work outside of the institution working at the Power House, IPI Warehouse, Janitorial Services, Vehicle Entrance Maintenance and the City of Anamosa. The average daily population of LUE was 36.

Disciplinary Detention (DD)

Offenders separated from the general population serving a disciplinary sanction may also reside in this unit. This unit can house up to 13 lock up and 1 SSIP Incarcerated Individuals. The unit is typically closed due to staffing shortages, but may be opened in emergency situations.

Health Services

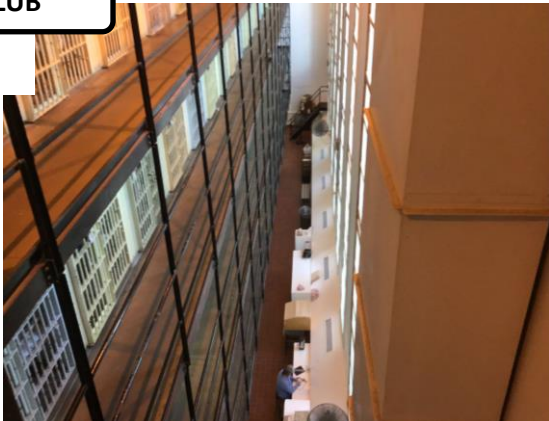
This unit is divided into two separate wards.

The Temporary Medical Housing Unit (TMHU) houses offenders who are recovering from medical procedures or temporary medical conditions that need medical intervention.

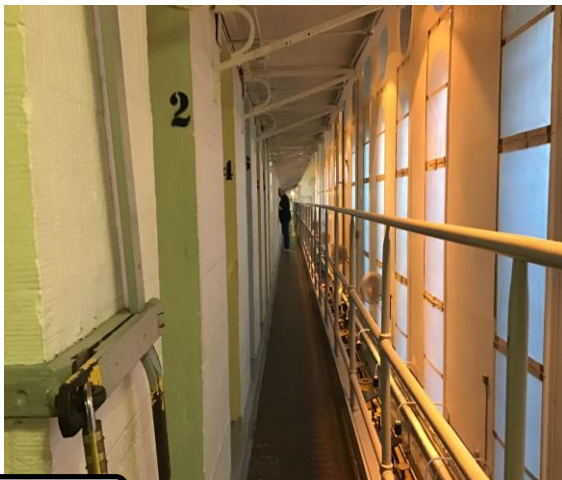
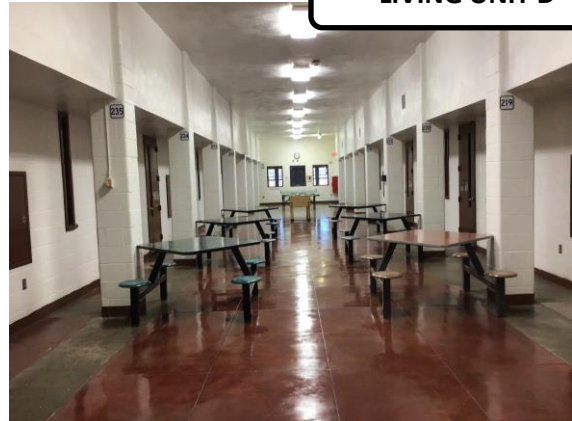
The Special Treatment Unit (STU) houses long term medical or offenders with ambulatory issues.

Health Services also serves as the temporary housing for offenders in need of mental health observation (MHO) or those who are on Suicide/Self Injury Protocol (SSIP) offenders. There are 4 special cells (side rooms) used for this purpose.

LUB



LIVING UNIT D



LUC



LUE BUILDING

ASP ACTIVITIES

ASP staff certainly stay busy not only with the day to day operations of running a prison but also find time to fit in raising money to support our local charities, NEO/NET graduations, Monarch Butterfly releases, beautifying this grand building we call home 5 days a week, hosting several agencies for meetings and tours, taking part in the annual CERT Challenge at Brushy Creek, HiSet and Apprenticeship Graduations, Employee Service Awards, Shop with a Cop, hosting 4 blood drives per year, assisting the City of Anamosa with several projects throughout the year and treating our wonderful staff to Correction's Week activities, just to name a few!!!



Corrections Week Friends and Family Tour 1



Statewide K-9 Trials



Monarch Butterfly Release



Shop with a Cop

EDUCATION

Education services are provided through Kirkwood Community College (KCC) for HiSet, Literacy, vocational programming and college level classes.

Grant Wood Area Education Agency provides special education services to qualified incarcerated individuals.



SOCIAL SERVICES

Social Services consists of 1 Associate Warden of Treatment, 3 Unit Managers, 13 Correctional Counselors and 3 Psychologists. The department is divided into unit teams that focus on transitioning incarcerated individuals through the level system to work on risk/needs/responsivity with a re-entry focus. Evidence based programming is a priority meeting individual's needs including: ACTV-SA, T4C, ACT-V, Seeking Safety, MRT, T4G, Prime for Life and NAMI Peer to Peer. Correctional Counselors utilize SERRIN guides and Carey Guides in case-planning focusing on individual needs.



HEALTH SERVICES STATISTICS

MEDICAL EXPENSES (Nursing, Infirmary, Pharmacy, Dental, and Radiology)

Average Cost Per Month	\$ 255,727.03
Average Daily Population	989.33
Average Cost Per I/I Per Month	258.49



PRISON SERVICES SNAPSHOTS

July 1, 2018 - June 30, 2019

Anamosa State Penitentiary

Supervision Status	Active at Start	New Admits	Closures	Active at End	Offenders Served
Prison	914	516	481	949	1430
Prison Compact	13	4	3	14	17
Prison Safekeeper		1	1		1
Supervision Status Totals	927	521	485	963	1448

Active At Start/End Count is 1 minute after midnight.

Data for the prison population (active at start/end), as well as prison admissions, reflect information at the time of prison admission. Prison closures reflect information at closure. Therefore, while total active at start plus admissions minus releases equal active at end, this will not be true of information detail (e.g., supervision statuses, crime types, etc.).

Statewide information counts one offender once. Work unit totals may count some offenders in more than one work unit. This will often occur when an Iowa sentenced offender is released to Federal authorities for prosecution, and is then temporarily housed in another facility while awaiting that outcome. Therefore, while statewide active at start plus admissions minus releases equal active at end, this may not be true of work unit detail.

WORK UNIT CLOSURES

Anamosa State Penitentiary

Work Unit Closure Type	Closures	Percentage
Death	2	0.4%
Discharged - Expiration of Sentence	66	13.6%
Paroled to Detainer - INS	1	0.2%
Paroled to Detainer - Out of State	1	0.2%
Paroled to Detainer - U.S. Marshall	2	0.4%
Paroled w/Immediate Discharge	3	0.6%
Parole Granted	48	9.9%
Probation Granted - Reconsideration of Sentence	3	0.6%
Released to Special Sentence	6	1.2%
Returned to Sending Jurisdiction	3	0.6%
Terminated by Appeal - Conviction Overturned	1	0.2%
Work Release Granted	66	13.6%
Work Unit - Prison to Corrections Compact	1	0.2%
Work Unit - Prison to Hospital Appt. Holdover	3	0.6%
Work Unit - Prison to Prison	279	57.5%
Work Unit Total	485	100.00%

INTERVENTIONS

Region Intervention Snapshot (Internal Interventions Only)

Internal Intervention	Active at Start	New Admits	Active at End	Closures	Offenders Served
ACTV: Achieving Change through Value-Based Behavior (IDAP)	11	23	11	23	34
ASP Apprenticeship - BAKER (BAKE PRODUCE)		1	1		1
ASP Apprenticeship - CABINET MAKER	4	8	8	3	12
ASP Apprenticeship - COMPUTER OPERATOR	21	4	12	13	25
ASP Apprenticeship - COOK (ANY INDUSTRY)	8	3	4	7	11
ASP Apprenticeship - ELECTRICIAN	8	1	7	2	9
ASP Apprenticeship - ELECTROSTATIC POWDER COATING TECH	3	4	2	5	7
ASP Apprenticeship - FABRICATOR-ASSEMBLER, METAL PROD	10	7	8	9	17
ASP Apprenticeship - HOUSEKEEPER, COM, RES, IND.	21	18	18	21	39
ASP Apprenticeship - MAINTENANCE REPAIRER, BUILD	5	1	2	4	6
ASP Apprenticeship - PAINTER (CONST)	1	1		2	2
ASP Apprenticeship - PLUMBER	3	2	2	3	5
ASP Apprenticeship - REFRIGERATION, AIR CONDITION MECH (HY)	6		2	3	6
ASP Apprenticeship - SCREEN PRINTER	4	4	4	4	8
ASP Apprenticeship - WELDING, COMBINATION	4	1		4	5
Cognitive Behavioral Interventions for Substance Abuse	23	56	14	67	79
HiSET (High School Equivalency)	68	93	78	83	161
Literacy	14	42	23	34	56
Moderate Intensity Family Violence Prevention Program		1		1	1
NAMI Peer to Peer	12	17	6	23	29
Seeking Safety		12	8	4	12
Thinking For A Change	11	53	21	43	64
Vocational - Computers	11	6	3	14	17
Internal Intervention Totals	248	358	234	372	606

Region Intervention Program Snapshot

Intervention Program	Active at Start	New Admits	Closures	Active at End	Offenders Served
Re-Entry Medicaid Coverage	6	15	20	1	21
Total	6	15	20	1	21

ASP Region Intervention Snapshot Closures

Intervention / Closure Category	Admin	%	Intermediate Sanction	%	Successful	%	Unsuccessful	%	Totals	Totals %
ACTV (IDAP)	2	5.7%			30	85.7%	3	8.6%	35	8.1%
ACTV -SU					12	92.3%	1	7.7%	13	3.0%
Anger Management - MRT	1	100.0%							1	0.2%
ASP Apprenticeship - BAKER	1	100.0%							1	0.2%
ASP Apprenticeship - CABINET MAKER	5	100.0%							5	1.2%
ASP Apprenticeship - COMPUTER OPERATOR	3	27.3%			7	63.6%	1	9.1%	11	2.5%
ASP Apprenticeship - COOK	5	83.3%			1	16.7%			6	1.4%
ASP Apprentice - ELECTRIC	3	75.0%			1	25.0%			4	0.9%
ASP Apprenticeship - ELECTRO PDR COAT TECH	2	100.0%							2	0.5%
ASP Apprenticeship - FABRICATOR-ASSEMBLER, METAL PROD	7	77.8%					2	22.2%	9	2.1%
ASP Apprenticeship - HOUSEKEEPER, COM, RES,	10	55.6%			8	44.4%			18	4.1%
ASP Apprenticeship - MAINTENANCE REPAIRER					1	100.0%			1	0.2%
ASP Apprentice - PAINTER	1	100.0%							1	0.2%
ASP Apprentice - PLUMBER	2	100.0%							2	0.5%
ASP Apprenticeship - REFRIGERATION, AIR COND	4	100.0%							4	0.9%
ASP Apprentice-SCREEN PRT	4	100.0%							4	0.9%
ASP Apprentice - WELDING	3	75.0%					1	25.0%	4	0.9%
CBI for Substance Abuse					44	91.7%	4	8.3%	48	11.1%
HiSET (HS Equivalent)	49	62.8%	2	2.6%	27	34.6%			78	18.0%
KWCC Life Skills	2	100.0%							2	0.5%
KWCC Vocational Computers	1	100.0%							1	0.2%
KWCC Work Readiness					7	100.0%			7	1.6%
Literacy	7	26.9%			18	69.2%	1	3.8%	26	6.0%
Moral Rec Therapy (MRT)	1	6.3%			13	81.3%	2	12.5%	16	3.7%
NAMI Peer to Peer	1	8.3%			8	66.7%	3	25.0%	12	2.8%
National Career Readiness Certificate - ASP					7	100.0%			7	1.6%
PRIME For Life - 321J					34	100.0%			34	7.8%
Seeking Safety	1	12.5%			7	87.5%			8	1.8%
Thinking For A Change	1	2.3%			38	88.4%	4	9.3%	43	9.9%
Thinking For Good - MRT					27	87.1%	4	12.9%	31	7.1%
Closure Type Totals / %	116	26.7%	2	0.5%	290	66.8%	26	6.0%	434	100.0%

FY19 QUICK FACTS

- Design Capacity – ASP – 911 Actual 996 Total

STAFF

- 311 Authorized Positions (FY '19)
- 215 Correctional Security Staff
- 35 Iowa Prison Industries authorized non-appropriated staff (includes IPI Farms)
- 6 Kirkwood Community College Employees
- 1 Grant Wood AEA Employee

OFFENDERS

- Average Age – 39
- Average Education – 11.7 years
- Average Length of Sentence – 25 yrs., 9 mos., 4 days
- Lifers – 225
- Violent Crime – 694 (70% of offender population)

FINANCES

- Total Appropriation - \$32,414,148 (FY'19)
- Average Daily Cost Per Offender - \$92.26 (FY'19)

SUPPORT SERVICES

BUSINESS OFFICE

Associate Warden of Administration Lisa Oswald supervises the Business Office. Other Business Office staff include Jill Kennebeck, Purchasing Agent 2; Jean Hall, Budget Analyst 2; Mary Rose Coleman, Purchasing Assistant; Accounting Clerks, Nicole Taylor and Marie Zirkelbach; Julie Cline, Information Technology Specialist 5; and Katie Johnson, Storekeeper. Lisa also oversees the operations of the departments of Dietary, Maintenance, and Powerhouse. The operating budget for ASP in FY19 was \$32,414,148.00.

The Business Office staff handle the day to day financial transactions of the institution which include budgeting, incarcerated individual fees, release money, incarcerated individual payroll, commissary purchases, store orders, Omail, and incarcerated individual benefit accounts. In addition, institutional purchases, staff travel claims, bill processing, and other financial transactions are processed.



HUMAN RESOURCES

The Human Resources office is staffed with Anne Siebels, Human Resources Associate and Brenda Brokens, Human Resources Technical Assistant. Each one is responsible for specific administrative duties involving transactions related to the hiring and interviewing process, retirements, insurance/dental benefits, payroll deductions associated with workers' comp, assisting supervisors with grievances and discipline, posting bids, processing FMLA documents, verifying KRONOS entries are accurate, and similar functions associated with human resources.

DIETARY

The Dietary Department is supervised by Food Service Director 3 Carrie Klatt and staffed with 11 Correctional Food Service Coordinators (CFSC). This department oversees food service needs of the institution to include menu and recipe development (for regular and special diets), food preparation, sanitation, and cleanup while maintaining a safe and secure environment for incarcerated individuals and staff. Incarcerated individuals are included in the processes of food preparation, sanitation and clean up.

In FY19, 1,282,960 meals were served at ASP with an average cost per meal of \$2.20.

POWER PLANT AND MAINTENANCE

Plant Operations Manager 3 Steve LeClere oversees the operations of both the Power Plant and Maintenance Department. Three Power Plant Engineer 4s are directly responsible for the operation, maintenance and security of the equipment in the Power Plant, which supplies utility services to the institution.

The Maintenance Department consists of 10 Correctional Trades Leaders, one Electrician, one Electronic Engineer Technician. These staff are responsible for the repairs, maintenance, plumbing, and new construction associated with the safety and security of a prison that is over 140 years old.

CENTRAL RECORDS

Central Records staff consist of one Administrative Assistant 2 and three Clerk Specialists. Staff in this department process incarcerated individual records pertaining to incarcerated individuals transferring in or to other institutions, coordinate incarcerated individual releases (including discharges), and process the computation of incarcerated individual time due to discipline, jail credit, additional sentences, etc.



SECURITY DEPARTMENT

The ASP Security Department at full staffing is comprised of 13 Correctional Supervisors, 22 Senior Correctional Officers, 179 Correctional Officers and 1 Investigator. Within these ranks we have members of the Correctional Emergency Response Team (CERT), 2 K-9 teams, Crisis Negotiations Team (CNT) and Prison Rape Eliminations Act (PREA) Investigators who are all trained and prepared to handle a multitude of emergencies throughout the Institution. Along with this we have several staff that are trained Security and Safety auditors that inspect the facility on a daily basis as well as performs audits throughout the state on an as needed basis. Security staff work hand in hand with all departments to ensure the safety and security of the staff, public and individuals we serve. All staff play a unique role in meeting the Department of Corrections mission. This department operates with 3 shifts, 24 hours a day, 7 days a week.

EMPLOYEE RELATIONS COMMITTEE

The Employee Relations Committee (ERC) is a group of 12 staff from the departments of: Security, Health Services, Prison Industries and Administration. Current members include: State Industries Production Coordinator, Keith Paulson; Secretary 2, Tami Moore; C/O's Terry Brownell and Wendy Davis; SCO's Clint Phillips, Lisa Roberts and Josh Meyer; Sr., Safety Officer, Brian Tracy, IPI Accounting Tech 3, Chris Greif; Accounting Clerk 2, Marie Zirkelbach; and RN's Amy Shipley and Dana Murray.

ERC members meet monthly to share ideas on how to promote a positive working environment for the good of the institution. Some of the major accomplishments the ERC achieved in FY19 include: presenting selected staff with certificates of recognition for their outstanding performance, hosting events each day of Correctional Workers week, preparing a free holiday breakfast and free appetizer buffet at Christmas, holding a variety of silent auctions and several food events including the Service Awards Luncheon, providing a memorial to families that lost a loved one, hosting a reception and presenting each retiree with a retirement gift, and sponsoring the Annual Blood Drive.

One of the most rewarding events that the ERC, in conjunction with the incarcerated individual population and the Anamosa State Penitentiary Museum, takes part in annually is providing local families in need with a food baskets valued at \$50.00 for the Christmas holiday.



