

Fiscal Year 2020 Annual Report



SERVING 11 COUNTIES IN NORTHEAST IOWA

**Allamakee • Black Hawk • Buchanan
Chickasaw • Clayton
Delaware • Dubuque • Fayette • Grundy
Howard • Winneshiek**

Our Website: www.FirstDCS.com

Message from the Director

The First District is committed to providing effective community based correctional supervision and services to individuals under our supervision. For several years we have made a commitment not only to a high level of monitoring those under our supervision but also ensuring we provide effective programming opportunities. Since early March of this year our operations have looked significantly different as many of our staff are working from home to reduce foot traffic in our buildings due to the COVID pandemic. From March 1, 2020 through June 30, 2020 staff have completed 5,891 office visits with clients, 2,280 home visits, 24,872 phone contacts, and 6,108 collateral phone contacts. These are impressive numbers given how quickly this situation came on. Our technology specialist did an excellent job activating technology allowing our staff to work virtually. Our facility staff have also done an excellent job following all protocol to mitigate the spread of the virus. As on site essential staff they have truly been on the front line of this since day one.

We have continued our commitment to continuous quality improvement, offering meetings, trainings, and groups virtually. During the past fiscal year 1,550 hours of core correctional practices training was provided to staff and we continue to work toward proficiency in such for all staff. Every mandatory training was completed at a 90% or higher rate. Over 944 Iowa Risk Revised assessments were audited and we established a 92% proficiency rating. This is a huge accomplishment for our staff and shows their commitment to their work. We have also added 7 additional treatment groups district wide, expanding accessibility to more clients. 30 groups have successfully been transitioned to virtual meetings during the pandemic. Again this is a great reflection on our staff and their ability to adjust during difficult situations.

We have continued to maintain a smaller population of clients in the residential facilities during the pandemic and this will likely continue well into, if not for the entire new fiscal year depending on the direction the pandemic takes. This has negatively impacted revenue into our budget and without additional dollars from the state difficult decisions will need to be made during this next fiscal year in terms of what services will need to be eliminated or significantly changed to manage budget.

Respectfully,



Ken Kolthoff
District Director

Table of Contents

Vision, Mission, Values & Beliefs, Goals	4
Strategic Plan	5
Department Overview	7
First District Offices & Facilities	8
Table of Organization	9
Board of Directors, Executive, and Advisory Committees	13
Program/Treatment Services Overview	14-26
• Drug Courts	14
• OWI Programs	15
• Iowa Domestic Abuse Program	15
• Mental Health Jail Assessment & Diversion	16
• Mental Health Re-Entry	16
• BHC Re-Entry Program	17
• Swift, Certain, and Fair Program	17
• Sex Offender Treatment Program	18
• Electronic Monitoring	18
• Field Services Division	19
• Standard and Intensive Pre-Trial Services	20
• Low Risk Probation	21
• Intensive Probation and Parole	21
• Standard Probation and Parole	22
• Pre-sentence Investigation	23
• Residential Facilities	24
• Community Service Work	26
Financial Information	27
Supplementary Statistical Information	29
Quick Facts	31
Intern/Volunteer Services	32
Special Recognitions	33

Our Vision, Mission, Values & Beliefs, and Goals

VISION



MISSION

Creating Opportunities for Safer Communities

VALUES & BELIEFS

People can change
Our efforts help make people safer
We must work as a team if we are to succeed
Every person should be treated with dignity and respect

GOALS

- ❖ To implement evidence-based practices in treatment and supervision for the purpose of supporting consistency and decreasing recidivism.
- ❖ To increase offender satisfaction in their treatment and supervision experience.
- ❖ To embrace diversity through enhancing sensitivity to differences, recognize the commonalities, and respect the uniqueness of all individuals.
- ❖ To provide training to all staff consistent with their job responsibilities and the department's mission.
- ❖ To provide a safe environment for visitors, employees, and offenders.
- ❖ To provide technological and information resources for staff to more efficiently and effectively do their jobs.
- ❖ To provide office space and residential facilities which meet the needs of the offenders being served.
- ❖ To provide accurate and efficient accountability in all fiscal activities.

First Judicial District 5 Year Strategic Plan Fiscal Year 2017 through Fiscal Year 2022

Priorities, Goals, & Desired Outcomes

Strategic Priority #1: Identify and focus resources toward individuals most likely to reoffend.

Strategic Goals:

- Continuously review data and make evidenced based decisions.
- Complete thorough investigations and provide to the court prior to sentencing.
- Support sentencing options that provide individuals an opportunity to change while prioritizing safety to the community.
- Assess all individuals placed under supervision to make informed decisions for supervision levels and programming needs.
- Deploy techniques that enhance offenders' motivation and capabilities to complete treatment successfully.
- Work with the institutions to effectively transition offenders returning to the community.

Strategic Priority #2: Focus on evidence based and research-informed practices for improved offender success.

Strategic Goals:

- Invest in program models that reduce recidivism.
- Support supervision and treatment opportunities for mentally ill offenders.
- Use cognitive behavioral and social learning models.
- Continuously review and evaluate offender program effectiveness.
- Expand continuous quality improvement processes.
- Measure process, practice, and outcomes.
- Monitor the quality and performance of implemented program models to ensure recidivism reduction outcomes.
- Expand collaboration with other agencies, organizations, and community partners to better utilize resources and improve outcomes.

Strategic Priority #3: Funding and budget focused toward staff resources, training, and equipment to carry out the agency mission at the highest level.

Strategic Goals:

- Improve supervision success rates by providing staffing sufficient to offenders risk and needs.
- Increase the use of "banked" caseloads for lower risk offenders allowing manageable workloads for high risk caseloads.
- Increase residential officer positions to improve accountability and compliance monitoring.

- Adequately staff secretarial, cook, and maintenance positions.
- Allocate and restructure management staff to improve ability to effectively train, support, and provide guidance to staff districtwide.

Desired Outcomes:

- Evidenced based decisions supporting *long-term public safety*.
- Adequate fiscal support to carry out the agency mission at the *highest level*.
- Responsible use of taxpayer dollars- offenders will be effectively monitored for compliance and accountability while being provided opportunities for *successful supervision*.
- Quality Assurance and *Continuous Quality Improvement*.
 - Will have regularly scheduled training and booster opportunities.
 - Case managers will be proficient in the use of assessment instruments.
 - Offenders will be supervised at an appropriate level.
 - Offenders will be referred appropriately to interventions, internal as well as external, to effectively address their needs.

Department Overview

The First Judicial District Department of Correctional Services is an agency established under Iowa Code Chapter 905 to provide correctional services throughout the eleven counties of Northeast Iowa, comprising the First Judicial District.

In October of 1973, the first efforts at establishing community-based corrections in the First Judicial District revolved around a Waterloo based agency established to provide correctional services in Black Hawk, Grundy, and Buchanan Counties, named the “Tri-County Department of Court Services.”

In late 1974, a similar office was established in Oelwein to provide correctional services in Fayette, Chickasaw, Clayton, Howard, Winneshiek, and Allamakee Counties.

In November of 1976, the two agencies were merged into one to provide most of the basic pre-institutional services in nine of the eleven counties of the district and a limited level of service in Dubuque and Delaware Counties. In 1977, all eleven counties merged into one agency called, “The First Judicial District Department of Correctional Services.”

Early efforts to establish a residential facility/probation office in the Waterloo-Cedar Falls area finally paid off in late 1976 with the establishment of a residential facility in the old Ellis Hotel building in downtown Waterloo. A second residential facility was leased in 1978 in Dubuque at an old house on Garfield Street. In November of 1981, Waterloo probation staff separated from the residential setting and moved from the Ellis Hotel to the current Waterloo Probation/Parole Office at 527 E. 5th Street. In 1984, a 36-bed facility was built in Dubuque on Elm Street; and in 1985, the Waterloo Residential Facility moved from the condemned Ellis Hotel to a newly constructed 56-bed facility at 310 East 6th Street.

On July 1, 1984, the State Department of Corrections turned over the administration of all community-based correctional services to the eight judicial districts, bringing on Parole, Compact Services, and Work Release for those inmates released from prison. The Work Release Facility in Waterloo had been in operation since November of 1971. In March of 1991 an addition was completed to the Waterloo Residential Facility to house Work Release and Administrative Offices. In this transition Work Release expanded from a 20-bed facility to a 64-bed multi-program facility.

In 1992, a 32-bed multi-program correctional facility was completed in West Union. In 1995, an 8-bed expansion was built creating a 40-bed co-correctional facility. In 2001 an additional 8 beds were added, raising capacity to 48. In May of 1999, the Dubuque Field Services Offices moved to a new location at 745 Main Street. In early 2001, a 44-bed expansion of the Dubuque Residential Facility was completed bringing the total design capacity to 80 beds. In June of 2004, the Oelwein Field Services Office moved to 1584 South Frederick Street in Oelwein. In April of 2005, the Decorah Field Services Office moved to 1014 South Mill Street in Decorah.

In June 2011, construction of the Waterloo Women’s Center for Change at 1515 Lafayette Street was completed. This new facility is dedicated to serve female offenders in the Waterloo area. The center combines both residential and field services programming with 45 residential beds and office space for probation officers and other staff.

The First Judicial District Department of Correctional Services provides a comprehensive program of community correctional services, including: Pre-trial Services, Pre-sentence Investigations, Probation Supervision, Residential Correctional Facilities, Work Release, Parole, Interstate Compact Services, and Special Programs.

First District Offices and Residential Facilities

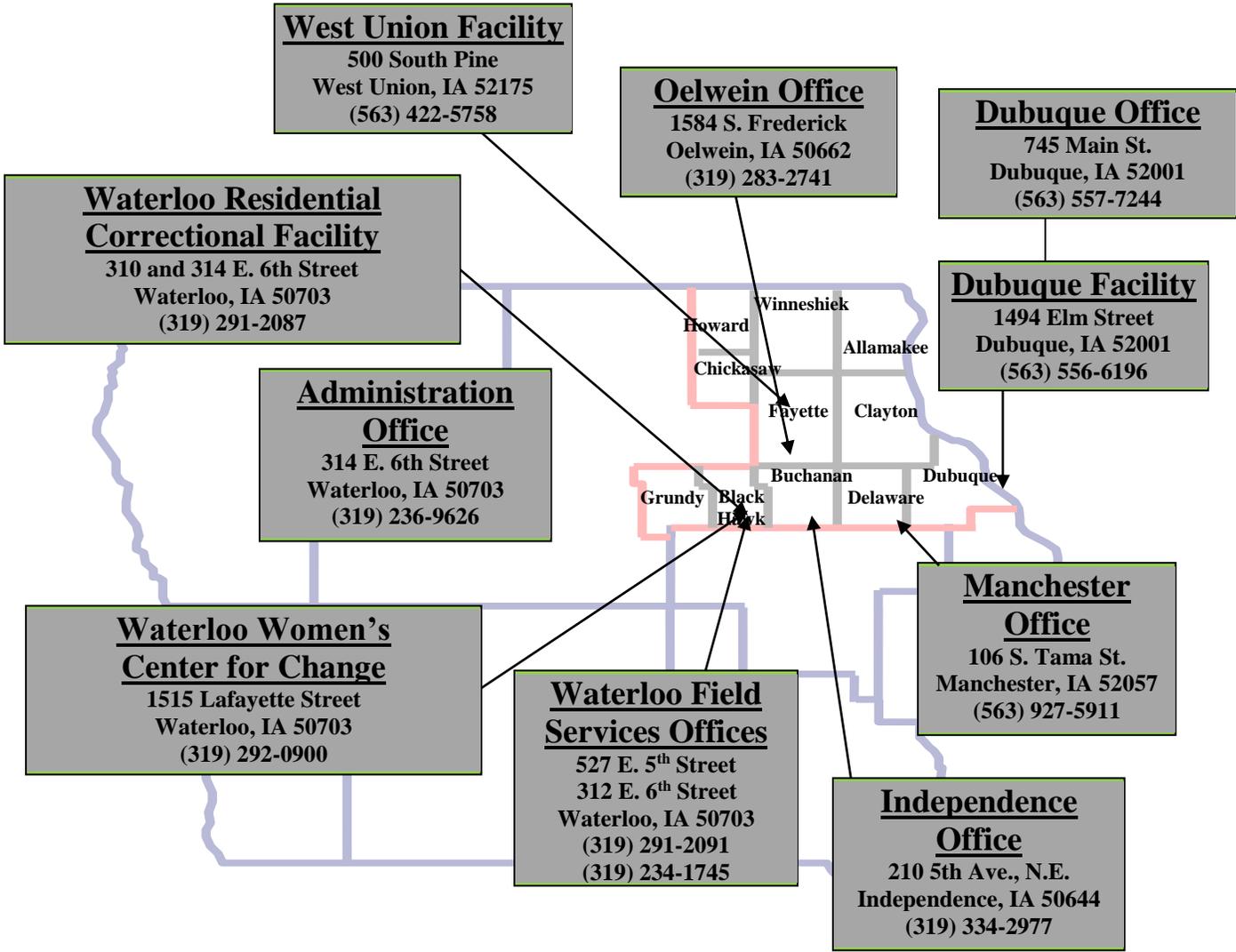
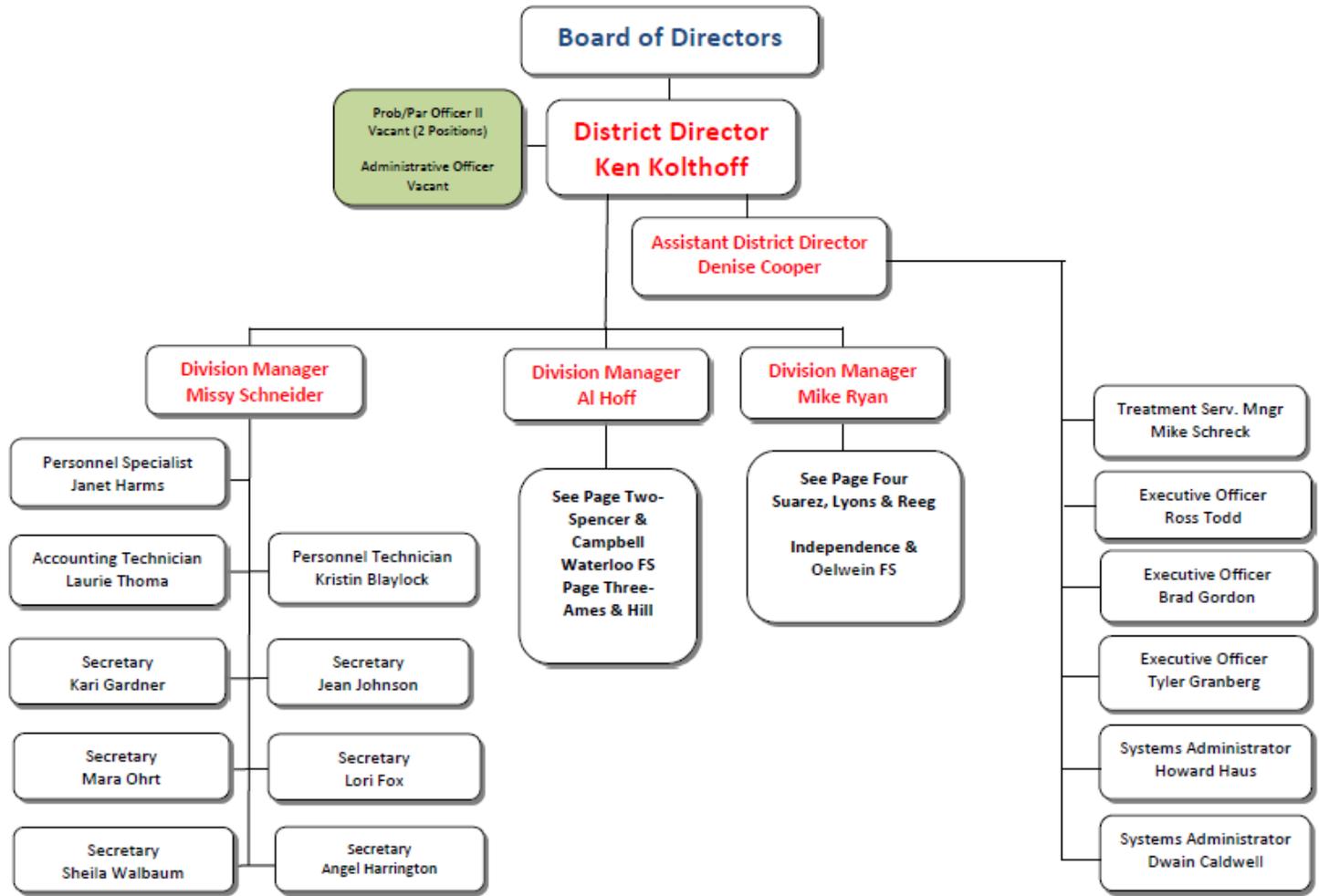
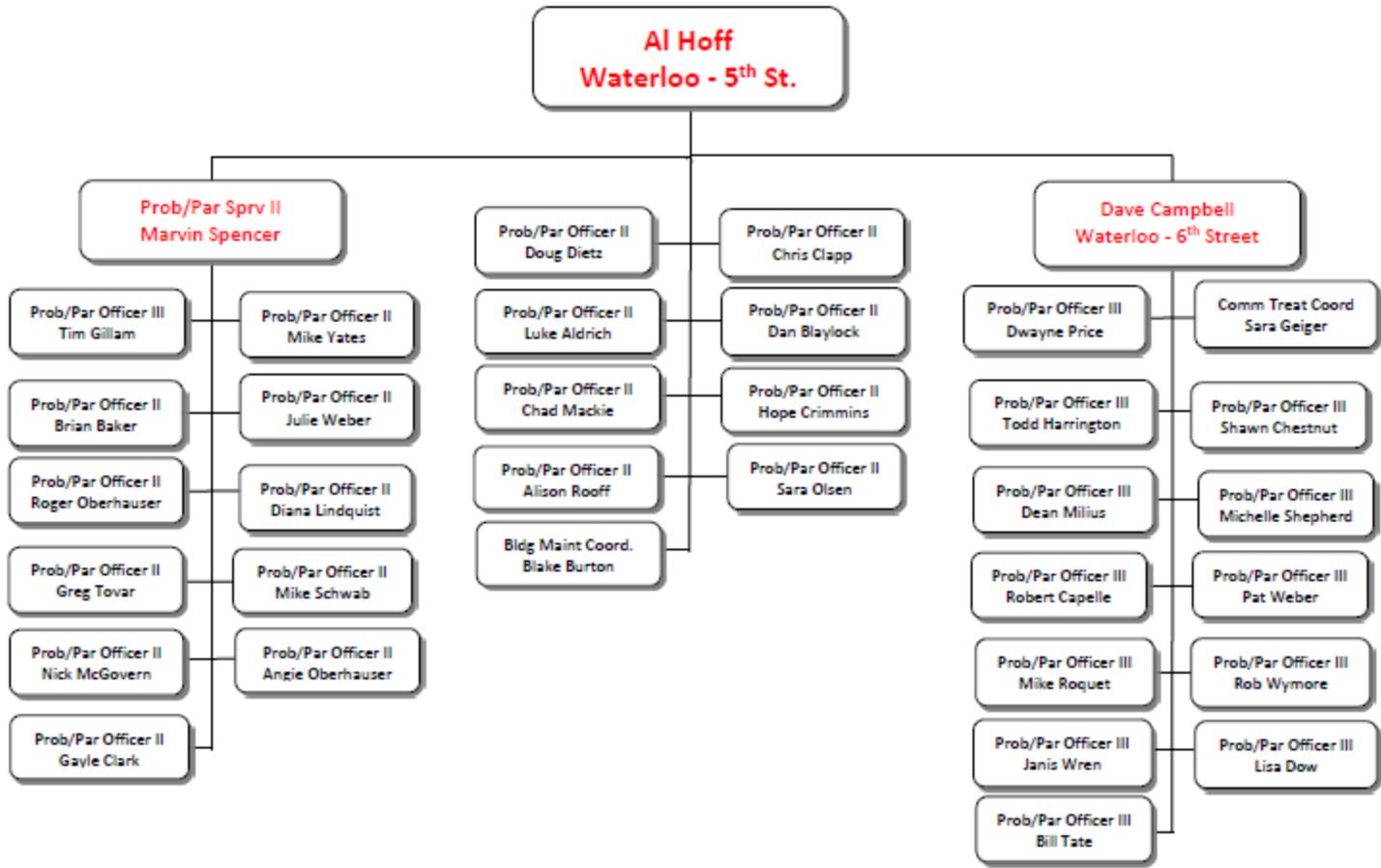
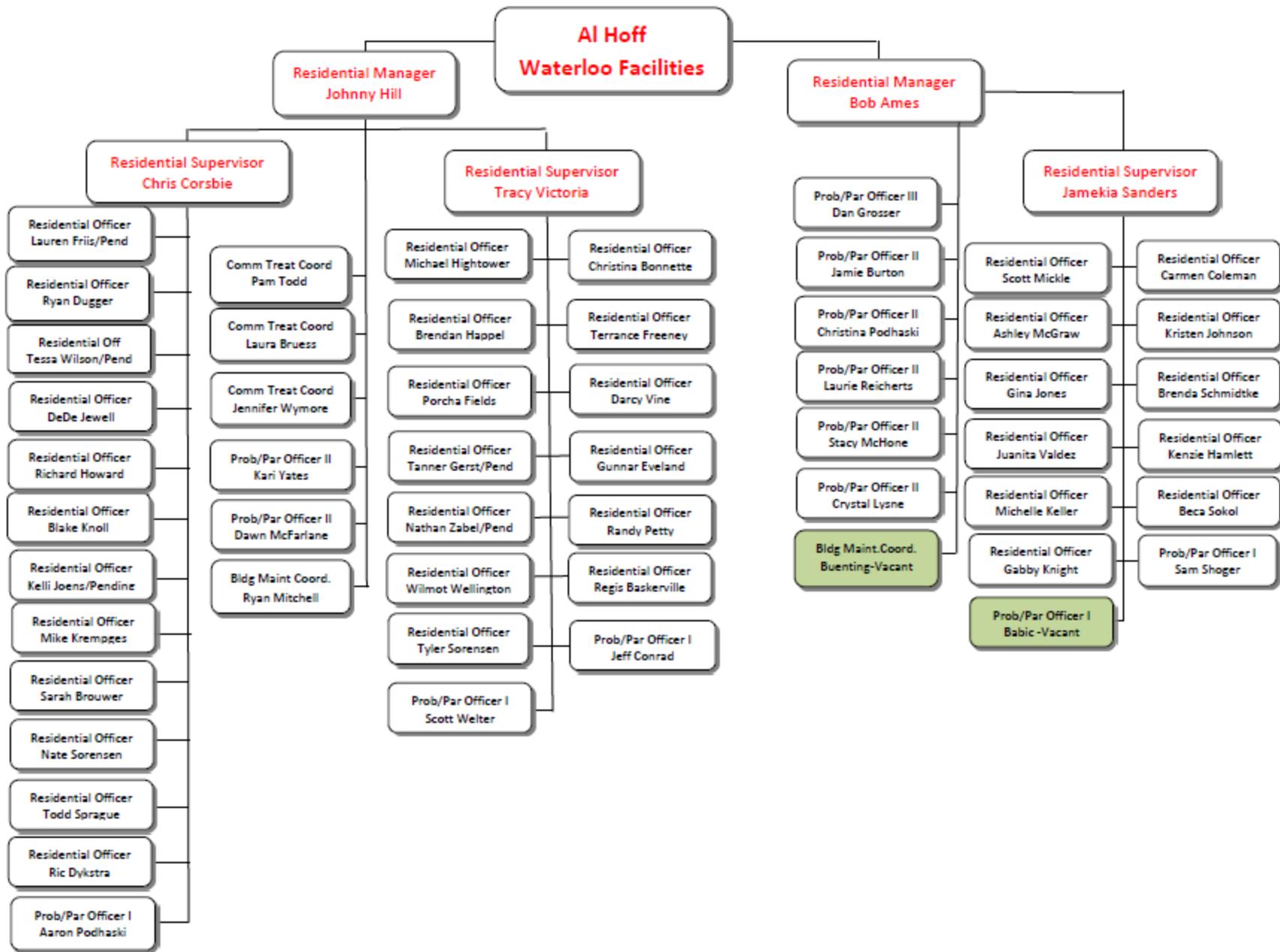


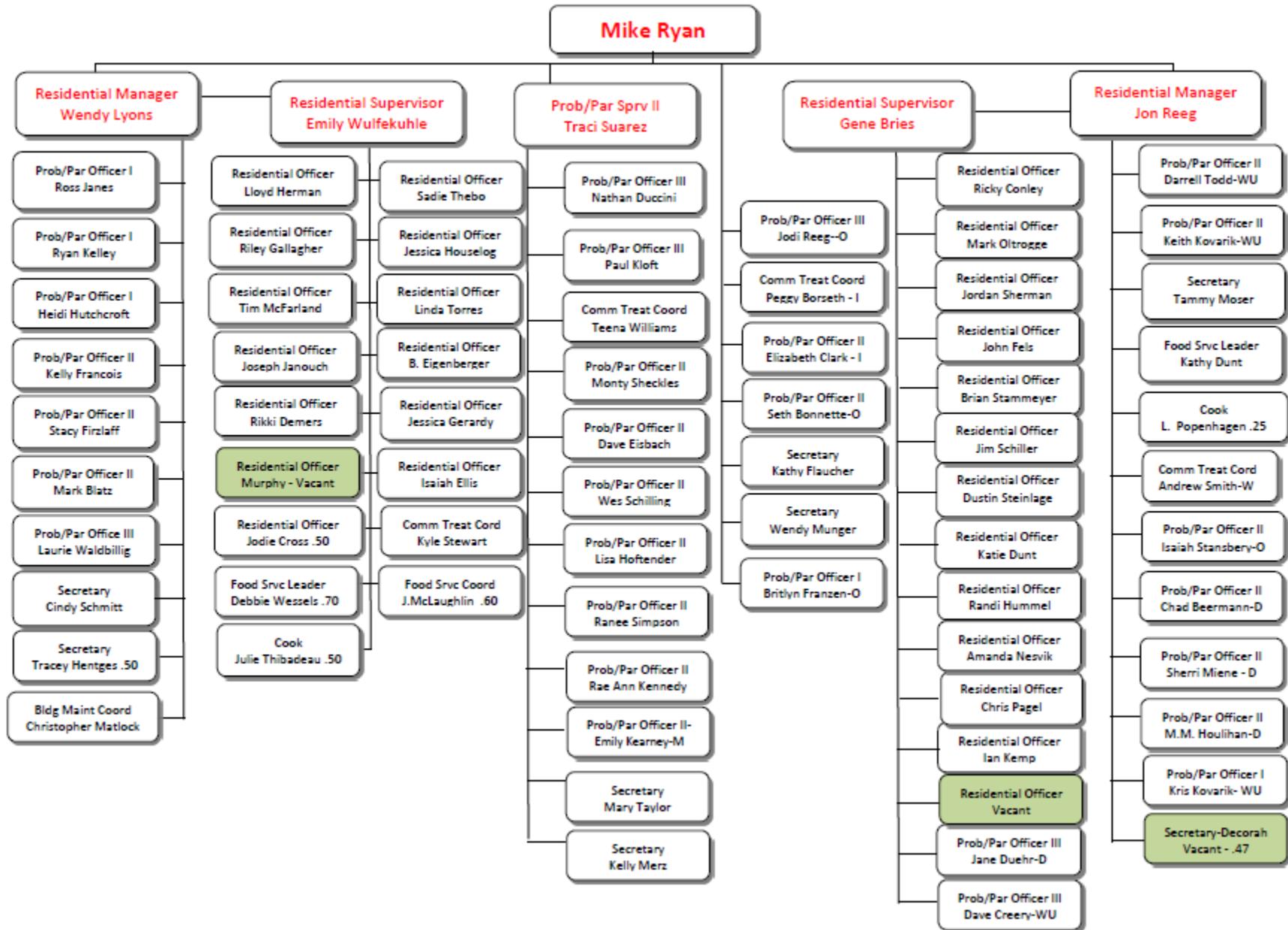
Table of Organization as of June 30, 2020



191.52 FTE's







Board of Directors

1st Judicial District Department of Correctional Services Board of Directors (*Indicates Executive Committee Member)

Allamakee County-----	Larry Schellhammer*-- Vice Chair
Black Hawk County-----	Craig White
Buchanan County-----	Gary Gissel
Chickasaw County-----	Tim Zoll*-- Chair
Clayton County-----	Steve Doepcke
Delaware County-----	Shirley Helmrichs*
Dubuque County-----	Jay Wickham
Fayette County-----	Marty Stanbrough
Grundy County-----	Chuck Bakker
Howard County-----	Pat Murray*
Winneshiek County-----	Floyd Ashbacher*
Judicial-----	Linda Nilges
Judicial-----	Melissa Anderson-Seeber*
Judicial-----	Max Kirk
Eastern Advisory-----	John Shook*
Northeast Advisory-----	Jason Howes
Western Advisory-----	JoAnn Finkenbinder

Advisory Committees

Eastern

Jeremy Jensen
 Len Decker
 Bill Hickson
 Joseph Kennedy
 John LeClere
 Deb Prier
 John Shook
 John Markham

Northeastern

Bill Bouska
 Jason Howes
 Tiffany Kragnes
 Marcia Oltrogge
 Deidre Vick
 Bill Wolfgram

Western

Marilyn DeKoster
 JoAnn Finkenbinder
 Lynn Neill
 Leon Mosley
 Valerie Nehl

Drug Courts

Available in Black Hawk, Dubuque, and Delaware Counties

Drug Court represents a non-traditional approach to criminal offenders who are addicted to drugs. Rather than focusing only on the crimes and punishments, Drug Court also attempts to solve some of each individual's underlying problems. The program is built upon a unique partnership between the criminal justice and drug treatment communities, one which structures treatment intervention around the authority and personal involvement of a single Drug Court Judge.

The Drug Court Teams utilize a group of professionals consisting of the following members:



Drug Court Judge
County Attorney
Defense Attorney
Probation/Parole Officer
Law Enforcement Representative
Substance Abuse Treatment Provider

Drug Court is dependent upon the creation of a non-adversarial courtroom atmosphere where a single Judge and dedicated correctional and treatment staff work together toward the common goal of breaking the cycle of drug abuse and criminal behavior. An environment with clear and explicit rules is created and a participant's compliance is within their own control.

The mission of Drug Court is to enhance public safety and benefit the community through a judicially supervised, collaborative effort to more efficiently work with substance abusing offenders, thereby reducing recidivism and associated crime.

Drug Court Totals	
Active on 7/1/19	38
New Admissions	28
Closed	31
Active on 6/30/20	32
Total served FY'20	66

OWI Programs

Available in Waterloo and West Union Facilities

District Residential Facilities in Waterloo and West Union provide programs for offenders convicted of multiple Operating While Intoxicated (OWI) charges, as authorized by the Iowa Code, Chapter 904.153. Although they are considered to be state inmates, offenders in these programs are allowed to reside in a residential facility and participate in treatment rather than going to prison. OWI offenders are required to complete a substance abuse evaluation and participate in a variety of treatment activities. Substance abuse group treatment and individual counseling are provided through contracted services with local substance abuse agencies.

OWI Programs	
Active on 7/1/19	14
New Admissions	34
Closed	34
Active on 6/30/20	14
Total served FY'20	48

Iowa Domestic Abuse Program

Available District-wide

Iowa law mandates anyone convicted of domestic abuse must complete the Iowa Domestic Abuse Program (IDAP). This program provides group education and treatment for offenders who have either been convicted of domestic abuse charges or who have a pattern of abusive behavior within their relationships. Classes are offered at a variety of times to accommodate offender personal schedules. To complete the program, participants must attend 24 two-hour sessions and satisfactorily complete all homework. In addition, all participants are responsible for paying for their classes.

Iowa Domestic Abuse Program	
Active on 7/1/19	507
New Admissions	283
Closed	308
Active on 6/30/20	455
Total served FY'20	790

Mental Health Jail Assessment & Diversion

Available in Dubuque, Buchanan, Delaware, Bremer, and Black Hawk Counties

Community Treatment Coordinators in all three Divisions conduct mental health screenings on individuals incarcerated in local county jails to determine if appropriate services exist within the community that would allow the offender a suitable placement alternative in lieu of prison or jail. Through collaboration with multiple community agencies, each individual’s mental health needs are addressed in conjunction with issues related to housing, medications, provider support, and appropriate correctional supervision. The Community Treatment Coordinators may supervise offenders in the community or make referrals to various mental health programs within the Department. The Mental Health Jail Assessment Program has proven to be a valuable asset to the First District in our efforts to more effectively address the needs and issues of mentally ill people in the correctional system.

Mental Health Jail Assessment Programs	Black Hawk County	Bremer County	Buchanan County	Delaware County	Dubuque County
Number of Offenders screened in Fiscal Year 2020	139	15	6	9	41
Number of Offenders released from jail to the community with services provided	134	1	10	13	93
Number of Offenders released from jail to a Residential Care Facility/Crisis Placement	37	1	1	1	25
Number of Offenders Supervised by CTC in Fiscal Year 2020	85	Total for Bremer/Buchanan/Delaware=45			118

Mental Health Re-Entry

Available in Black Hawk County

With the growing prevalence of mentally ill people on correctional supervision, the need to offer more rehabilitative options in conjunction with specialized supervision has become necessary. The Department has a total of four Probation/Parole Officers specifically assigned to supervise high-risk/high-need mentally ill offenders in Black Hawk County. These agents supervise male and female offenders on pre-trial, probation, parole, and in the Waterloo Residential Facilities. “Mental Health Re-entry” is a broad term used to identify this offender population.

Mental Health Re-Entry	
Active on 7/1/19	155
New Admissions	172
Closed	204
Active on 6/30/20	130
Total served FY’20	327

Black Hawk County Culturally Specific Re-entry Initiative

Available in Black Hawk County

The Black Hawk County Culturally Specific Re-entry Initiative began operations in 2009. Development of this initiative can be attributed to the on-going efforts of the Department of Correctional Services to become more responsive to the needs of our African-American community. African-American men who are assessed to be high risk, excluding those meeting the criteria for specialized programming such as sexual offender treatment and/or mental health, meet the initial eligibility requirements. Along with trying to implement culturally responsive groups and smaller caseloads, community involvement is a primary focus. A Re-entry Steering Committee focuses on increasing the level of community investment and involvement. Circles of Support & Accountability have been developed. These teams are comprised of community members who donate their time to provide guidance, support, encouragement, and accountability to the Department and the clients.

Black Hawk County Re-Entry Initiative	
Active on 7/1/19	19
New Admissions	1
Closed	14
Active on 6/30/20	6
Total served FY'20	20

Swift, Certain, and Fair Program

Available in Black Hawk County

In FY20 the Swift, Certain, and Fair (SCF) Program continued to operate in Black Hawk County. The target population includes probation clients with a history of substance abuse issues and non-compliance on supervision. Under the SCF Program, all participants are required to call in daily to see if they are required to report to the Residential Facility for drug testing. In addition, all violations are addressed immediately by the Court. The University of Northern Iowa will be conducting a research evaluation of the project.

In FY20 grant funding from the Bureau of Justice Assistance was used to operate the Swift, Certain, and Fair Program.

Swift, Certain, and Fair	
Active on 7/1/19	47
New Admissions	57
Closed	46
Active on 6/30/20	55
Total served FY'20	104

Sex Offender Treatment & Supervision

Available District-wide

Program staff for the SOTP unit includes 10 Specialized Probation/Parole Officers located across the District. All sex offenders are supervised at an enhanced level of supervision and are expected to participate in treatment provided by the Department. Various assessments are used to determine individual risk and ascertain treatment needs and goals. Testing instruments such as the polygraph are used to verify information about sexual deviancy patterns and monitor compliance with supervision conditions.

Specialized SOTP Treatment groups using the Good Lives curriculum are conducted by Probation/Parole Officers in the Sex Offender Unit. Supervision of the SOTP unit has been streamlined in order to more efficiently address the complexities of specific laws for sex offenders, sex offender registry issues, increased periods of supervision, electronic monitoring requirements, and the development of more uniform programming across the District.

Sex Offender Treatment & Supervision	
Active on 7/1/19	293
New Admissions	93
Closed	70
Active on 6/30/20	315
Total served FY'20	386

Electronic Monitoring

Available District-wide

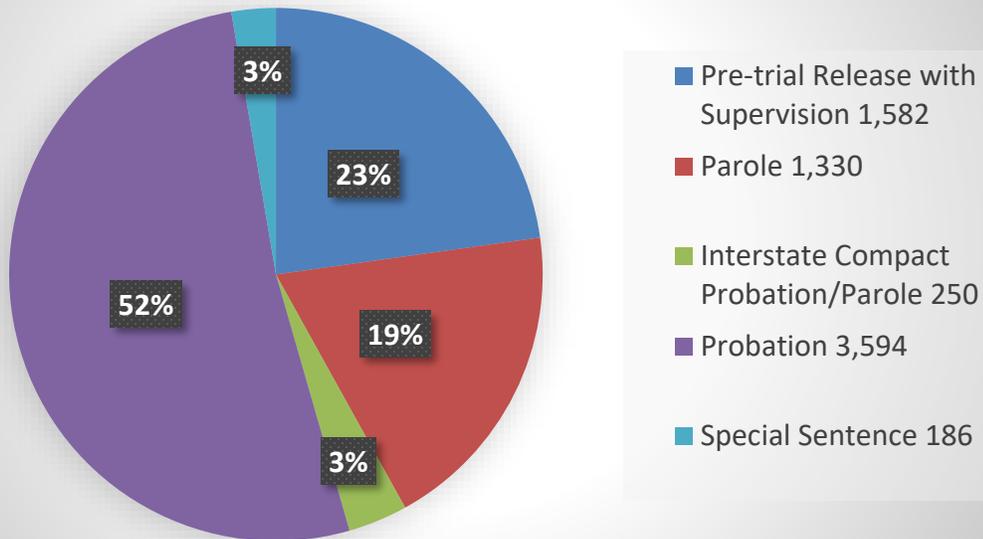
Electronic monitoring is used to enhance offender accountability through the use of sophisticated technology. It is a cost-effective tool used to assist officers in monitoring the whereabouts of offenders in the community. Electronic monitoring is used primarily with sex offenders. As mandated by Iowa law, sex offenders may be required to wear electronic monitoring (EM) devices or global positioning system (GPS) units depending on their crime. The movements of an offender can be tracked by the GPS and viewed via computer by Department staff.

Global Positioning - Satellite	
Active on 7/1/19	59
New Admissions	153
Closed	127
Active on 6/30/20	91
Total served FY'20	212

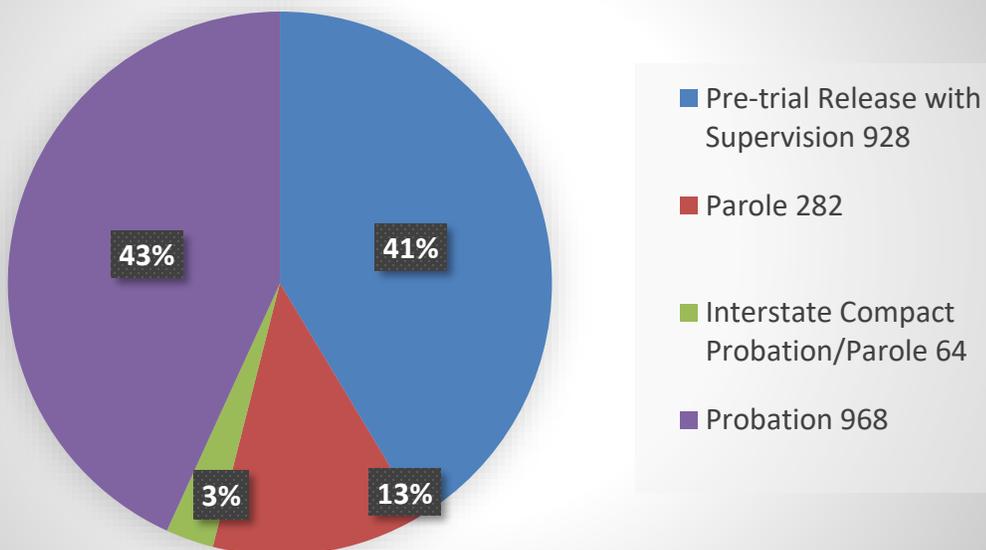
Field Services Division

The majority of offenders supervised by the Department of Correctional Services are monitored by staff in the Field Services Division. Often referred to as “street supervision” this category typically includes pre-trial supervision, various probation supervision levels, and parole. The following pages include information about the numerous supervision types and services provided by the Field Services Division.

Offenders Served in Field Services



Field Services Successful Discharges



Standard Pre-Trial Supervision

Available District-wide

The Department established Pre-Trial Services in 1974. Arrestees are interviewed in local jails and are assessed for their likelihood to be present for future Court appearances and their potential danger to the community. Recommendations to the Court for release may include release on own recognizance, release with supervision, release on bond, or release on bond with supervision. If release with supervision is ordered, the defendant is monitored to assure attendance at Court appearances and compliance with conditions of release. Defendants are supervised until the disposition of their cases or until further Court order.

**Pre-Trial Interviews
Completed FY '20=1,303**

Standard Pre-trial Supervision	
Active on 7/1/19	490
New Admissions	1,092
Closed	1,017
Successful Completion Rate	91.2% (928)
Unsuccessful Completion Rate	1.0% (9)
Administrative Closures	7.8% (80)
Active on 6/30/20	496
Total served FY'20	1,582

Intensive Pre-Trial Supervision

Available in Black Hawk County

An Intensive Pre-Trial Supervision Program was initially established in 1985 to help alleviate jail overcrowding in Black Hawk County. Even after the construction of a new county jail, Intensive Pre-trial Supervision has continued to provide services for high-risk defendants who may otherwise remain incarcerated. The program is a cost-effective alternative to incarceration for people awaiting further Court proceedings in their cases.

**Intensive Pre-Trial
Interviews
Completed FY '20=111**

Intensive Pre-trial Supervision	
Active on 7/1/19	150
New Admissions	308
Closed	288
Active on 6/30/20	172
Total served FY'20	458

Low Risk Probation

Available District-wide

The Low Risk Supervision Program was developed to remove the majority of low risk offenders from standard supervision caseloads in order to address high caseloads for standard officers in a cost-effective manner. Offenders participate in a group intake where they are informed of their court-ordered obligations and responsibilities for supervision. Once their obligations are met, they may be eligible for discharge from supervision.

Low Risk Probation	
Active on 7/1/19	932
New Admissions	582
Closed	551
Successful Completion Rate	79.8% (440)
Unsuccessful Completion Rate	3.5% (19)
Administrative Closures	10.2% (56)
Intermediate Sanction	6.5% (36)
Active on 6/30/20	963
Total served FY'20	1,514

Intensive Probation & Parole—Domestic Violence

Available in Black Hawk County

Domestic Violence offenders who have been assessed as high-risk and require more assistance and supervision than those on standard probation or parole are placed on Intensive Supervision. These offenders may be subject to increased contact standards, frequent home visits, curfews, surveillance, and may be required to wear electronic monitoring devices. In addition, these offenders are required to participate in Iowa Domestic Abuse Program groups and regularly report to the Domestic Violence Court.

Intensive Probation & Parole—Domestic Violence	
Active on 7/1/19	104
New Admissions	98
Closed	106
Successful Completion Rate	63.2% (67)
Unsuccessful Completion Rate	26.5% (28)
Administrative Closures	1.8% (2)
Intermediate Sanction	8.5% (9)
Active on 6/30/20	98
Total served FY'20	202

Probation & Parole

Probation: Offenders are placed on probation after being found guilty of a criminal offense. They typically receive a jail or prison sentence which is suspended “with good behavior” and they are then placed on probation. This sentencing option gives offenders an opportunity to correct their behavior while remaining in the community. In order to effectively address criminogenic needs, offenders participate in an assessment process, case planning, and appropriate treatment. Probation Officers monitor compliance with supervision expectations, work with offenders to facilitate behavioral change, and report progress to the Court.

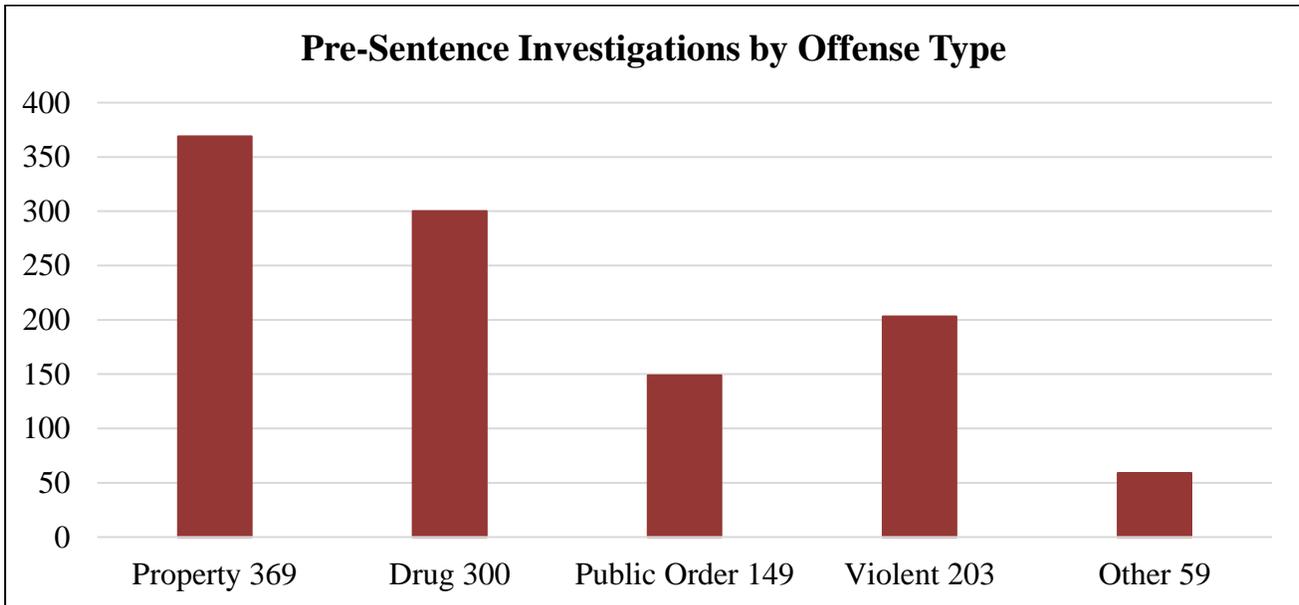
Parole: Offenders are granted a parole as authorized by the Iowa Board of Parole either directly out of a state institution or from a Work Release Facility. The 1st District Re-Entry Coordinator works with prison counselors and re-entry coordinators to help facilitate a smooth transition from incarceration back to the community. Parole Officers conduct assessments, make referrals for treatment and assistance, facilitate lifestyle changes, and monitor compliance with parole conditions.

Probation (all Specialties included)	
Active on 7/1/19	2,368
New Admissions	1,226
Closed	1,304
Successful Completion Rate	74.2% (968)
Unsuccessful Completion Rate	23.4% (305)
Administrative Closures	2.4% (31)
Active on 6/30/20	2,113
Total served FY'20	3,594

Parole (all Specialties included)	
Active on 7/1/19	690
New Admissions	640
Closed	558
Successful Completion Rate	50.5% (282)
Unsuccessful Completion Rate	33.2% (185)
Administrative Closures	1.0% (6)
Intermediate Sanction	15.3% (85)
Active on 6/30/20	755
Total served FY'20	1,330

Pre-Sentence Investigations

The Pre-Sentence Investigation (PSI) is a detailed report provided to the Court prior to sentencing. Because the Court has considerable discretion in most cases, it relies on the report to provide an accurate and objective description of the individual and their background. The report includes details regarding an individual’s criminal history, substance abuse issues, social history, family, education, employment, and other pertinent information. Also included are proposed correctional and treatment plans to meet the needs of the offender and safeguard the public. Finally, a sentencing recommendation from the Department is made to the Court. The Pre-Sentence Investigation report also provides valuable information to other correctional staff who later supervise the offender.



Pre-Sentence Investigations by Offense Class		
Offense Class	Total	Percentage
A Felony	2	0.2%
B Felony	50	4.6%
C Felony	192	17.8%
D Felony	682	63.1%
Felony - Enhancement to Original Penalty	54	5.0%
Aggravated Misdemeanor	58	5.4%
Serious Misdemeanor	32	3.0%
Simple Misdemeanor	6	0.6%
Other	4	.04%
Total/Percent	1,080	100%

Pre-Sentence Investigations by Form Type		
Form Type	PSI Count	Percentage
Long	458	42.4%
Short	562	52.0%
Pre Plea	60	5.6%
Total/Percent	1,080	100%

First District Residential Facilities: Dubuque, Waterloo, & West Union

Residential Supervision is provided in all four facilities for the following types of offenders:

- ❖ Work Release
- ❖ Operating While Intoxicated/321.J
- ❖ Probation
- ❖ Federal
- ❖ Direct Sentence/Alternative Jail Site
- ❖ Interstate Compact
- ❖ Parole
- ❖ Pre-trial Release

Offenders are committed to Residential Facilities either by Court order, through the Corrections Continuum, or as ordered by the Iowa Board of Parole. In addition, Federal residents may be housed in residential facilities via a contract with the United States Bureau of Prisons. Offenders may be placed in facilities on several different legal statuses such as pre-trial, probation, parole, work release, and federal.

First District Facilities provide safe and secure environments which are conducive to positive offender change. Offenders progress through facility programs via a level system, whereby privileges are gained through compliance with program rules and treatment objectives. Programming is individually tailored to meet offender needs and may include securing and maintaining employment, participating in an education program, performing community service work, substance abuse and mental health counseling, and cognitive-behavioral treatment. Probation/Parole Officers assist offenders with money management to ensure they meet their financial obligations including victim restitution and child support. Facility residents also pay rent to the facility, which helps defray the costs associated with their stay.

Program Utilization Summary (R & VC Beds)	
Active on 7/1/19	310
New Admissions	979
Closed	741
Active on 6/30/20	191
Total Served FY'20	1,289

**New admissions –
1st District Facilities
Fiscal Year 20: 979**

**Total Bed Capacity=323
Male=252
Female=71**

Average Daily Population	
Waterloo Residential Facility	122
Waterloo Women’s Center for Change	34
Dubuque Residential Facility	69
West Union Residential Facility	48
Total	273

First District Residential Facilities



Waterloo Women's Center for Change, Bed Capacity=45, Females Only



**West Union Residential Facility
Bed Capacity=48
Male=40, Female=8**

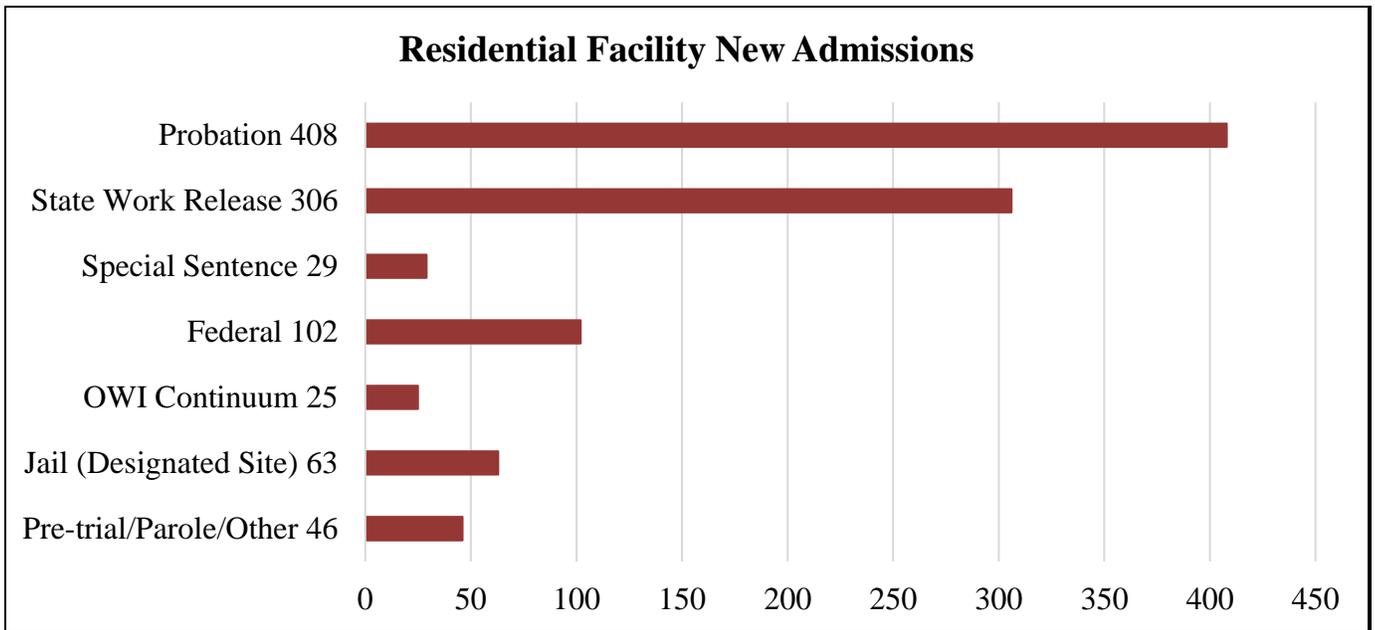


**Dubuque Residential Facility
Bed Capacity=80
Male=62, Female=18**



Waterloo Residential Facility, Bed Capacity=150, Males Only

First District Residential Facilities



Community Service Work

Available District-wide

The 1st District is committed to helping restore harm done to the many victims in our communities. One method used to help accomplish this goal is for offenders to perform community service work in our neighborhoods. While this labor certainly benefits the community, it can also provide opportunities for offenders to learn job skills and develop a sense of responsibility to society. There are several options for how and why community service work is ordered and incorporated into programming. These may include:

- **Community Service Sentencing:** Offenders are ordered by the Court to perform community service work as part of their probation conditions.
- **Community Service In Lieu of Payment for Court Fees:** Offenders may perform community service work in lieu of paying selected court fees, if approved by the Court. Community service work cannot be used to repay any victim damages or victim restitution.
- **Community Service as an Intermediate Sanction:** Offenders may be ordered by the Court or the Corrections Continuum Committee to perform community service work as a penalty for violations of supervision.
- **Community Service as a Component of the Level System:** Offenders may be required to perform community service work in order to advance through the Residential Facility Level System, which determines eligibility for furloughs and successful discharge from the facility.

Community Service Work Hours completed in First District in FY '20 = 10,701

FY '20 Financial Information

TOTAL REVENUES BY SOURCE	FY 2020	FY 2019	% Change
State	15,219,261	14,944,266	1.84%
Federal	1,201,610	1,154,955	4.04%
County	491,087	519,226	-5.73%
Offender Fees (Detailed Below)	2,428,827	2,802,419	-15.38%
Iowa Domestic Abuse Program Support	163,349	200,650	-22.84%
Intra-State Transfers	0	0	0%
Interest	11,214	10,783	4%
Other	29,354	37,512	-27.79%
Previous Fiscal Year Carryover	1,095,581	728,002	50.49%
TOTAL	20,640,283	20,397,813	1.19%



Offender Fees Collected	FY 2020	FY 2019	% Change
Residential Rent	1,702,623	2,044,551	-20.08%
Residential Day Reporting Fees	181,310	180,803	.28%
Supervision Fees	497,862	528,398	-6.13%
Program Fees	47,032	48,667	-3.48%
TOTAL	2,428,827	2,802,419	-15.38%

FY '20 Financial Information

Expenditures by Cost Center	FY 2020	FY 2019	% Change
Salaries & Benefits	17,844,790	17,287,324	3.22%
Travel & Subsistence	61,983	71,770	-15.8%
Supplies	403,555	624,232	-54.7%
Contractual Services	1,176,234	1,091,197	7.79%
Equipment & Repairs	225,562	170,772	32.08%
Debt Reduction & Insurance	58,809	56,937	3.29%
TOTAL	19,770,933	19,302,232	2.43%

Expenditures by Major Cost Center	FY 2020	FY 2019	% Change
Administration **	1,982,550	1,688,571	17.41%
Probation/Parole	5,212,843	5,221,597	-.17%
Residential Services	8,736,269	8,689,983	.53%
Special Projects (detailed below)	3,839,271	3,702,081	3.71%
TOTAL	19,770,933	19,302,232	2.43%

****First District Administration directs, manages, establishes policies and procedures, and oversees all community based district operations including: strategic planning, budgeting, personnel, technology, fiscal management and accountability, offender services, program development, and contracted services.**

FY '20 EXPENDITURES BY SPECIAL PROJECT BY REVENUE SOURCE	State	Federal, Local, Or Other	Total
Probation/Parole/Pre-trial Intensive	102,214	103,006	205,220
Sex Offender Treatment	1,202,693	168,498	1,371,191
Mental Health	120,321	397,134	517,455
Iowa Domestic Abuse Program	0	296,529	296,529
Drug Courts	323,972	66,704	390,676
Culturally Specific Re-Entry	562,658	685	563,343
Jail Assessment	0	313,908	313,908
Swift, Certain, and Fair	0	180,949	180,949
TOTAL	2,311,858	1,527,413	3,839,271

Supplementary Statistical Information

1st District Field Services by Supervision Status FY20					
Supervision Status	Active on 7-1-19	New	Closures	Active on 6-30-20	Offenders Served
Interstate Compact Parole	36	28	18	45	64
Interstate Compact Probation	129	57	72	116	186
Parole	690	640	558	755	1,330
Pretrial Release With Supervision	490	1,092	1,017	496	1,582
Probation	2,368	1,226	1,304	2,113	3,594
Special Sentence	157	29	33	169	186
Other	3	9	2	1	11
District Total	3,873	3,081	3,004	3,695	6,954

1st District Field Services by Supervision Status and Reason for Change FY20					
Supervision Status	Administrative	Intermediate Sanction	Successful	Unsuccessful	Totals
Interstate Compact Parole	9	0	9	0	18
Interstate Compact Probation	17	0	55	0	72
Parole	6	85	282	185	558
Pretrial Release With Supervision	80	0	928	9	1,017
Probation	31	0	968	305	1,304
Special Sentence	3	7	13	10	33
Other	1	0	1	0	2
Totals:	147	92	2,256	509	3,004

Supplementary Statistical Information

1st Judicial District Region Specialties FY20					
Specialty	Active on 7-1-19	New Admits	Active on 6-30-20	Offenders Served	Closures
Day Reporting - Residential	83	275	108	358	255
Domestic Abuse Supervision	104	98	98	202	106
Drug Court Supervision	42	83	50	125	82
Federal BOP	20	87	30	107	79
Federal – Direct Home Confinement	0	1	1	1	0
Federal Pre-trial	0	1	0	1	1
Federal Public Law	7	29	2	36	34
Global Positioning - Satellite	59	153	91	212	127
Home Confinement - Federal Offender	4	20	2	24	22
Intensive Supervision	8	1	2	9	7
Intensive Supervision - Pretrial Release	7	0	0	7	7
Jail (Designated Site)	7	93	7	100	93
Low Risk Probation	932	582	963	1,514	551
Mental Health Court	1	1	1	2	1
Mental Health Re-Entry	155	172	130	327	204
Minimum Risk Program	1	0	0	1	1
One Stop Re-Entry	19	1	6	20	14
OWI Pre-Placement	7	29	4	36	32
PTR – Level 1	0	1	0	1	1
PTR – Level 2	6	4	2	10	8
PTR – Level 3	393	809	475	1,202	727
PTR – Level 4	150	308	172	458	288
SCRAM (Secure Continuous Remote Alcohol Monitor)	1	0	1	1	0
Sex Offender	423	372	505	795	306
Warrant	0	10	5	10	5
District Total Specialties	2,429	3,130	2,655	5,559	2,951

1st District ~ Quick Facts as of June 30, 2020

About Employees~

Number of Employees: 187

1 st District Staff Diversity Profile	Percentage
Women	50.2%
People of Color	11.7%

**Field Services
Offenders Served in
FY20=6,954**

About 1st District Facilities~

Facility Locations & Capacity	Men	Women
Dubuque	62	18
West Union	40	8
Waterloo	150	45
Totals	252	71

**Pre-Sentence
Investigations Completed
in FY20=1,080**

The Offenses~

Offense Type	Percentage
Violent	29.0%
Property	24.0%
Drug	23.7%
Public Order	19.3%
Other	4.0%
Total	100%

**New admissions to
1st District Facilities
in FY20=979**

**Successful Supervision
Discharges in
FY20=2,256**

About Offenders~

Number of Offenders on 6-30-20	
Field Services	3,695
Residential Facilities	191
Total	3,886

Gender	Percentage
Male	75.3%
Female	24.7%
Total	100%

Race/Ethnic Origin	Percentage
White	73.3%
Black	25.6%
Other	1.1%
Total	100%

Age Group	Percentage
18 through 30	38.0%
31 through 50	47.1%
51 or older	14.9%
Total	100%

Intern/Volunteer Services

The Department partners with local colleges and universities to provide students with an opportunity to complete internships or volunteer work experience in community-based corrections. Numerous Department employees were interns/volunteers before being hired.

Benefits to the student:

- Practical experience will help with career planning and decisions.
- Provides an opportunity to learn and practice new skills.
- Increases their knowledge and enhances their chances of obtaining employment in the corrections field.
- Networking opportunities with professionals in the corrections field.

Benefits to the Department:

- Develops a more experienced employee applicant pool.
- Improves the employee selection process by providing an opportunity to observe potential employees in the work environment.
- Assists Department staff with job tasks which may be performed in a supervised capacity.
- Gives Department staff an opportunity to help students grow and learn.

Intern/Volunteer hours performed during FY '20:

Western Division=1,461

Eastern Division=1,291

Northeastern Division=228

Special Recognitions



On December 9, 1986 Scott Dolan joined First District as a part-time Pretrial Interviewer. In April 1988 he became a Residential Advisor and in 1990 he was promoted to Probation/Parole Officer I in the Waterloo Field Services office. He returned to the Waterloo facility in May of 1991 as a Residential Counselor and in June of 1991, he was promoted to Residential Supervisor. In June 1993 Scott became a Probation/Parole Supervisor and remained a supervisor at the Field office in Waterloo, until he was promoted to Residential Manager in February 2016. Scott retired on March 31, 2020.



On August 7, 1992 Mark Shaw was hired by First District as a Maintenance Technician at the Dubuque Residential Facility. In March 2001, Mark was promoted to Building Maintenance Coordinator. Mark retired on August 29, 2019.



On August 26, 2013 Pete Buenting was hired by First District as a Building Maintenance Coordinator at the Waterloo Residential Facility. With the opening of the Waterloo Women's Center for Change, Pete relocated and continued as a Building Maintenance Coordinator. Pete retired on June 26, 2020.

Annual Report Fiscal Year 2020

This completes the FY '20 annual report.

It has been placed on the First District website: www.FirstDCS.com

The home page of the website has information to phone or e-mail questions or comments regarding this annual report.

