

Fiscal Year 2021 Annual Report



SERVING 11 COUNTIES IN NORTHEAST IOWA

Allamakee • Black Hawk • Buchanan
Chickasaw • Clayton
Delaware • Dubuque • Fayette • Grundy
Howard • Winneshiek

Our Website: www.FirstDCS.com

Message from the Director

The First District is committed to providing effective community based correctional services to individuals under our supervision. The COVID pandemic has certainly created challenges in how we do our work. In an effort to mitigate the spread of the virus, the First District made some significant changes to operations. We reduced the capacity of our residential facilities, created quarantine space in our facilities, created virtual groups to continue providing treatment programming to clients, and we allowed staff, who were able, to work remotely as much as possible to reduce foot traffic into our buildings, especially the facilities. I applaud our staff for their efforts meeting these challenges and continuing to provide excellent service to the community we serve and the clients we work with. A couple of things I would highlight:

- Invested \$100,000 to upgrade technology allowing remote and virtual work.
- Rolled out the new Learning Management System (training site) and had a 98% completion rate for all required training.
- Implemented virtual staff meetings and provided trainings at these meetings.
- Completed 7,361 home visits and attempted another 3,545.
- Added a number of groups during the year to bring our total offered districtwide to 53. 44 are set up to provide virtual participation.
- We have remained committed to case management and understanding the potential risk of our clients. Staff have achieved a 92% proficiency rate using risk instruments, which is excellent.
- Case management reviews show significant improvement in the work staff are doing with clients in FY 21 compared to FY 19.
- Successfully discharged 1,345 people from supervision during the year.
- Unfortunately, due to the serious nature of their violations and the threat posed to the community, we had to revoke 376 people.
- Over 1,800 individuals successfully complete an intervention program during the year.

From a budget perspective we lost a lot of revenue with the facilities being well below capacity. This was partially offset by a higher than expected utilization of federal contract days for facility beds. This will likely level off moving forward. We will be diligent in how dollars are used with the focus, as always, on resources needed to meet our mission. We believe people can change and we will continue to expand treatment programming to help facilitate the change. It is important to us that all clients are afforded equal opportunity to the services and programming this agency offers. We are also committed to providing quality supervision and monitoring. Individuals who pose a high risk to our community will be held accountable for behaviors and actions that display that risk.

I consider our agency to be a “continual” learner. We will take lessons learned from the last year plus and grow as a system. We will use what we learn to develop policies and procedures to guide the work we do moving forward.

I thank the board for your continued commitment and support to our agency. I thank DOC for their efforts to help provide resources for us to meet the challenges and continue our mission, and most importantly I thank the staff of the First Judicial District for all of their efforts and commitment each and every day, especially during the most challenging and trying time our agency has likely ever had to deal with.

Respectfully,



Ken Kolthoff
District Director

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Our Vision, Mission, Values & Beliefs, and Goals

VISION



MISSION

Creating Opportunities for Safer Communities

VALUES & BELIEFS

People can change
Our efforts help make people safer
We must work as a team if we are to succeed
Every person should be treated with dignity and respect

GOALS

- ❖ To implement evidence-based practices in treatment and supervision for the purpose of supporting consistency and decreasing recidivism.
- ❖ To increase offender satisfaction in their treatment and supervision experience.
- ❖ To embrace diversity through enhancing sensitivity to differences, recognize the commonalities, and respect the uniqueness of all individuals.
- ❖ To provide training to all staff consistent with their job responsibilities and the department's mission.
- ❖ To provide a safe environment for visitors, employees, and offenders.
- ❖ To provide technological and information resources for staff to more efficiently and effectively do their jobs.
- ❖ To provide office space and residential facilities which meet the needs of the offenders being served.
- ❖ To provide accurate and efficient accountability in all fiscal activities.

First Judicial District 5 Year Strategic Plan Fiscal Year 2017 through Fiscal Year 2022

Priorities, Goals, & Desired Outcomes

Strategic Priority #1: Identify and focus resources toward individuals most likely to reoffend.

Strategic Goals:

- Continuously review data and make evidenced based decisions.
- Complete thorough investigations and provide to the court prior to sentencing.
- Support sentencing options that provide individuals an opportunity to change while prioritizing safety to the community.
- Assess all individuals placed under supervision to make informed decisions for supervision levels and programming needs.
- Deploy techniques that enhance offenders' motivation and capabilities to complete treatment successfully.
- Work with the institutions to effectively transition offenders returning to the community.

Strategic Priority #2: Focus on evidence based and research-informed practices for improved offender success.

Strategic Goals:

- Invest in program models that reduce recidivism.
- Support supervision and treatment opportunities for mentally ill offenders.
- Use cognitive behavioral and social learning models.
- Continuously review and evaluate offender program effectiveness.
- Expand continuous quality improvement processes.
- Measure process, practice, and outcomes.
- Monitor the quality and performance of implemented program models to ensure recidivism reduction outcomes.
- Expand collaboration with other agencies, organizations, and community partners to better utilize resources and improve outcomes.

Strategic Priority #3: Funding and budget focused toward staff resources, training, and equipment to carry out the agency mission at the highest level.

Strategic Goals:

- Improve supervision success rates by providing staffing sufficient to offenders risk and needs.
- Increase the use of "banked" caseloads for lower risk offenders allowing manageable workloads for high risk caseloads.
- Increase residential officer positions to improve accountability and compliance monitoring.

- Adequately staff secretarial, cook, and maintenance positions.
- Allocate and restructure management staff to improve ability to effectively train, support, and provide guidance to staff districtwide.

Desired Outcomes:

- Evidenced based decisions supporting *long-term public safety*.
- Adequate fiscal support to carry out the agency mission at the *highest level*.
- Responsible use of taxpayer dollars- offenders will be effectively monitored for compliance and accountability while being provided opportunities for *successful supervision*.
- Quality Assurance and *Continuous Quality Improvement*.
 - Will have regularly scheduled training and booster opportunities.
 - Case managers will be proficient in the use of assessment instruments.
 - Offenders will be supervised at an appropriate level.
 - Offenders will be referred appropriately to interventions, internal as well as external, to effectively address their needs.

Department Overview

The First Judicial District Department of Correctional Services is an agency established under Iowa Code Chapter 905 to provide correctional services throughout the eleven counties of Northeast Iowa, comprising the First Judicial District.

In October of 1973, the first efforts at establishing community-based corrections in the First Judicial District revolved around a Waterloo based agency established to provide correctional services in Black Hawk, Grundy, and Buchanan Counties, named the “Tri-County Department of Court Services.”

In late 1974, a similar office was established in Oelwein to provide correctional services in Fayette, Chickasaw, Clayton, Howard, Winneshiek, and Allamakee Counties.

In November of 1976, the two agencies were merged into one to provide most of the basic pre-institutional services in nine of the eleven counties of the district and a limited level of service in Dubuque and Delaware Counties. In 1977, all eleven counties merged into one agency called, “The First Judicial District Department of Correctional Services.”

Early efforts to establish a residential facility/probation office in the Waterloo-Cedar Falls area finally paid off in late 1976 with the establishment of a residential facility in the old Ellis Hotel building in downtown Waterloo. A second residential facility was leased in 1978 in Dubuque at an old house on Garfield Street. In November of 1981, Waterloo probation staff separated from the residential setting and moved from the Ellis Hotel to the current Waterloo Probation/Parole Office at 527 E. 5th Street. In 1984, a 36-bed facility was built in Dubuque on Elm Street; and in 1985, the Waterloo Residential Facility moved from the condemned Ellis Hotel to a newly constructed 56-bed facility at 310 East 6th Street.

On July 1, 1984, the State Department of Corrections turned over the administration of all community-based correctional services to the eight judicial districts, bringing on Parole, Compact Services, and Work Release for those inmates released from prison. The Work Release Facility in Waterloo had been in operation since November of 1971. In March of 1991 an addition was completed to the Waterloo Residential Facility to house Work Release and Administrative Offices. In this transition Work Release expanded from a 20-bed facility to a 64-bed multi-program facility.

In 1992, a 32-bed multi-program correctional facility was completed in West Union. In 1995, an 8-bed expansion was built creating a 40-bed co-correctional facility. In 2001 an additional 8 beds were added, raising capacity to 48. In May of 1999, the Dubuque Field Services Offices moved to a new location at 745 Main Street. In early 2001, a 44-bed expansion of the Dubuque Residential Facility was completed bringing the total design capacity to 80 beds. In June of 2004, the Oelwein Field Services Office moved to 1584 South Frederick Street in Oelwein. In April of 2005, the Decorah Field Services Office moved to 1014 South Mill Street in Decorah.

In June 2011, construction of the Waterloo Women’s Center for Change at 1515 Lafayette Street was completed. This new facility is dedicated to serve female offenders in the Waterloo area. The center combines both residential and field services programming with 45 residential beds and office space for probation officers and other staff.

The First Judicial District Department of Correctional Services provides a comprehensive program of community correctional services, including: Pre-trial Services, Pre-sentence Investigations, Probation Supervision, Residential Correctional Facilities, Work Release, Parole, Interstate Compact Services, and Special Programs.

First District Offices and Residential Facilities

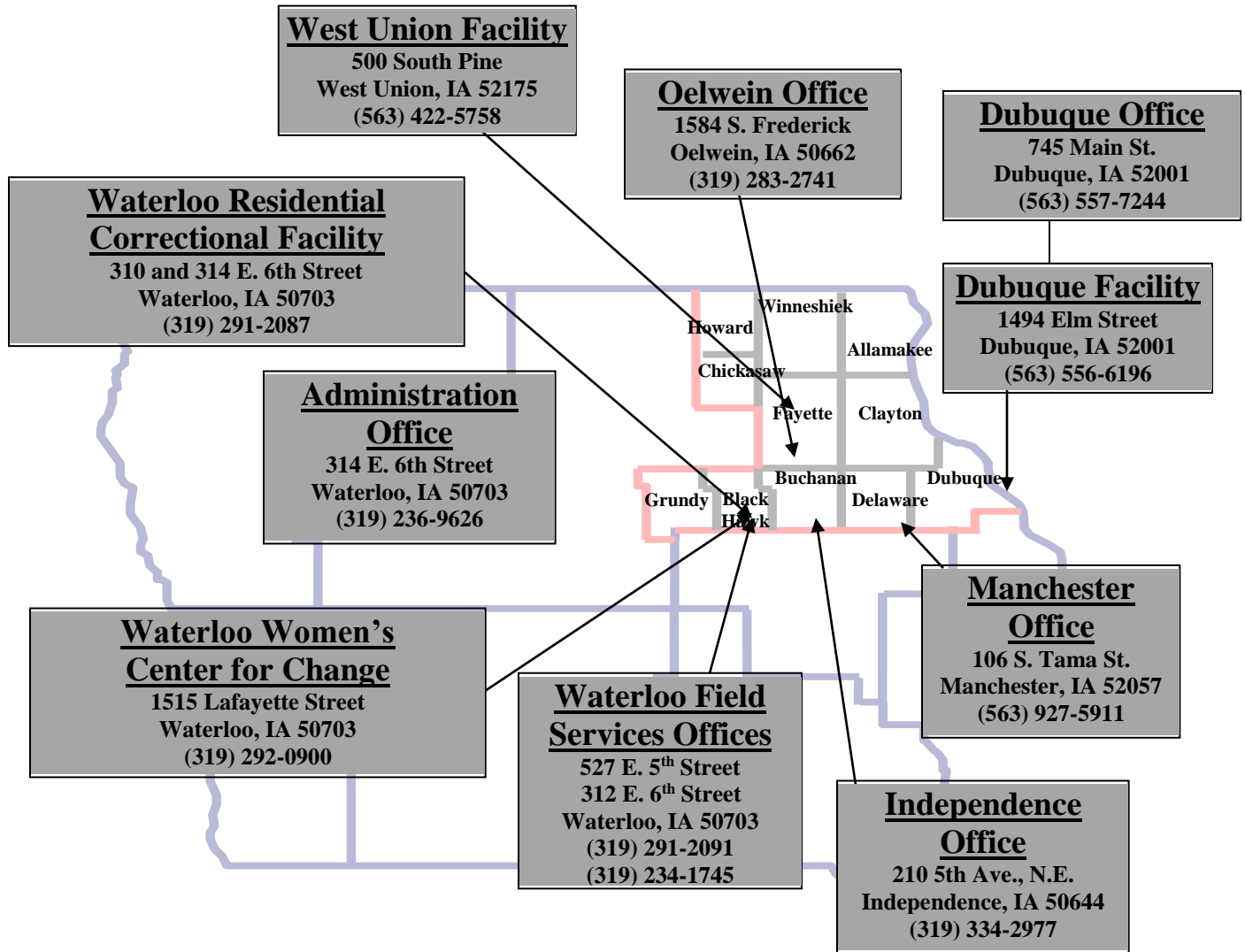
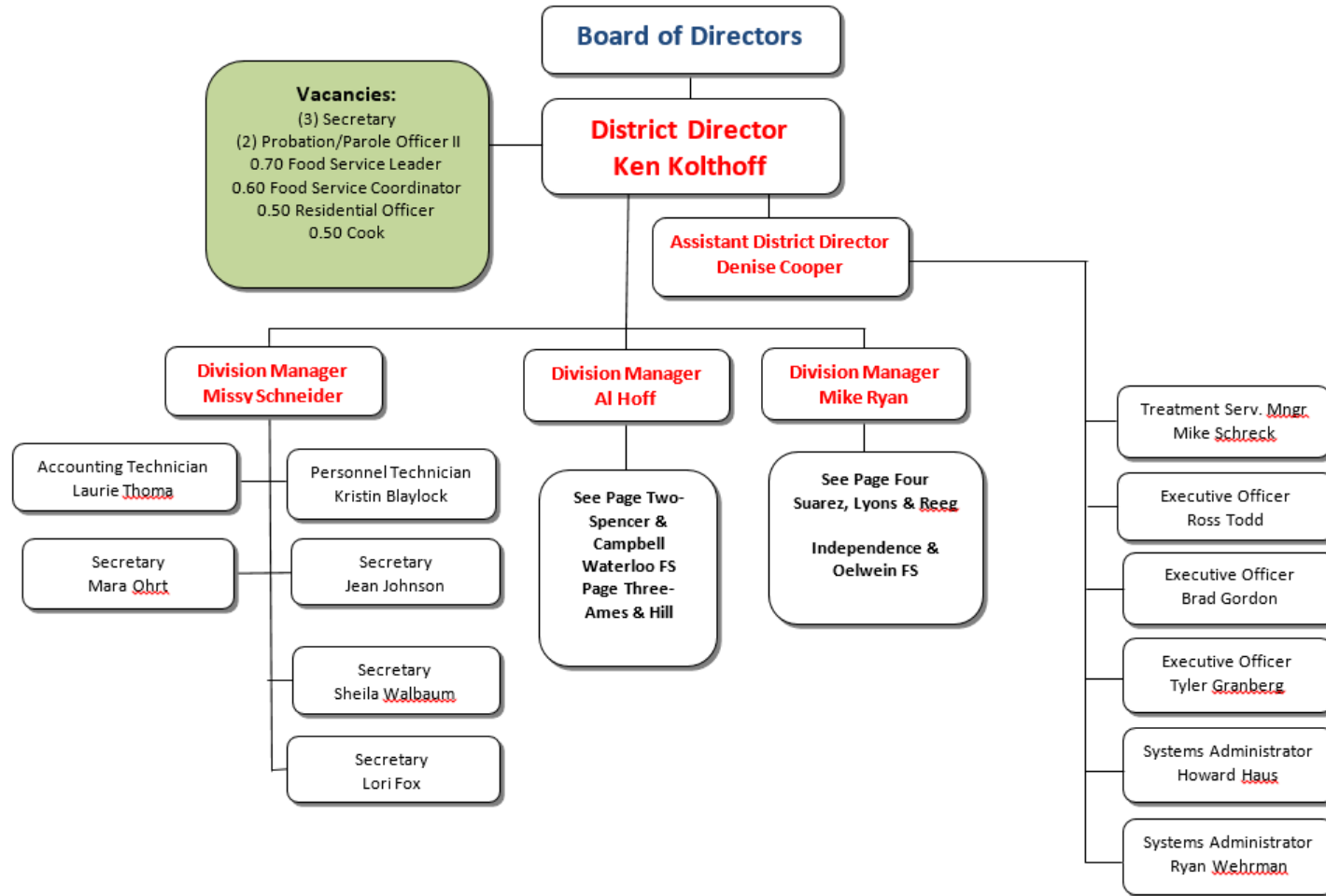
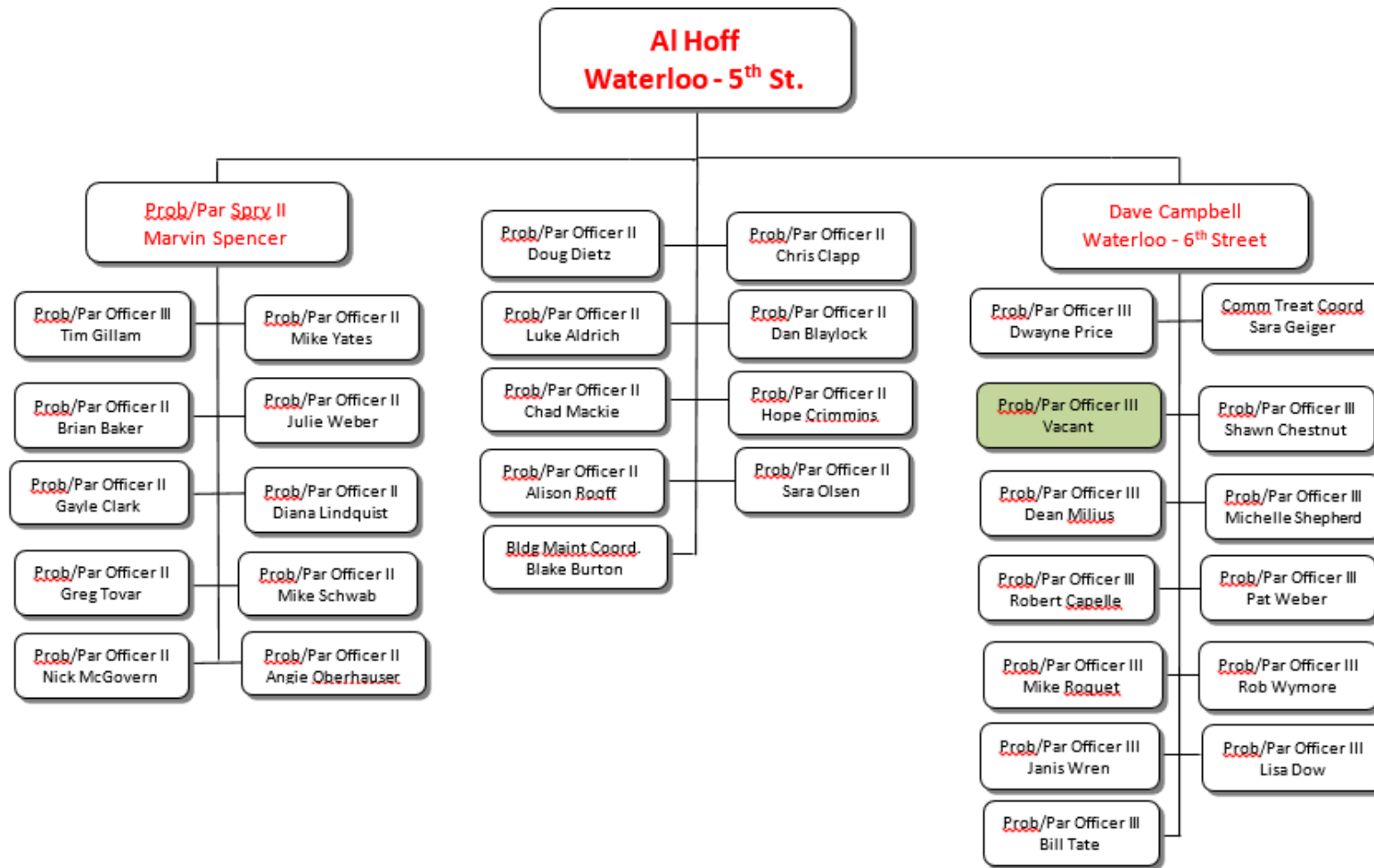
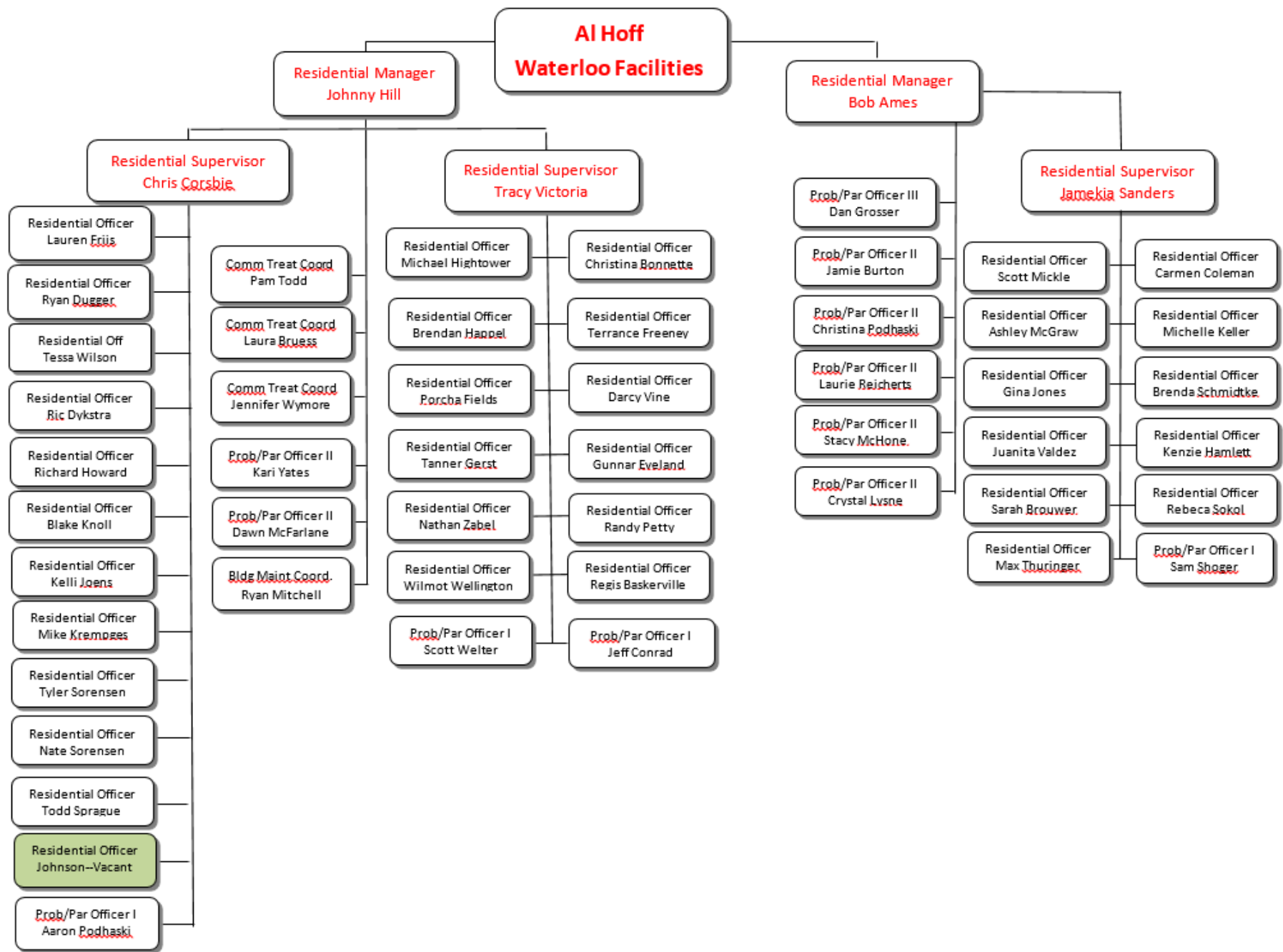


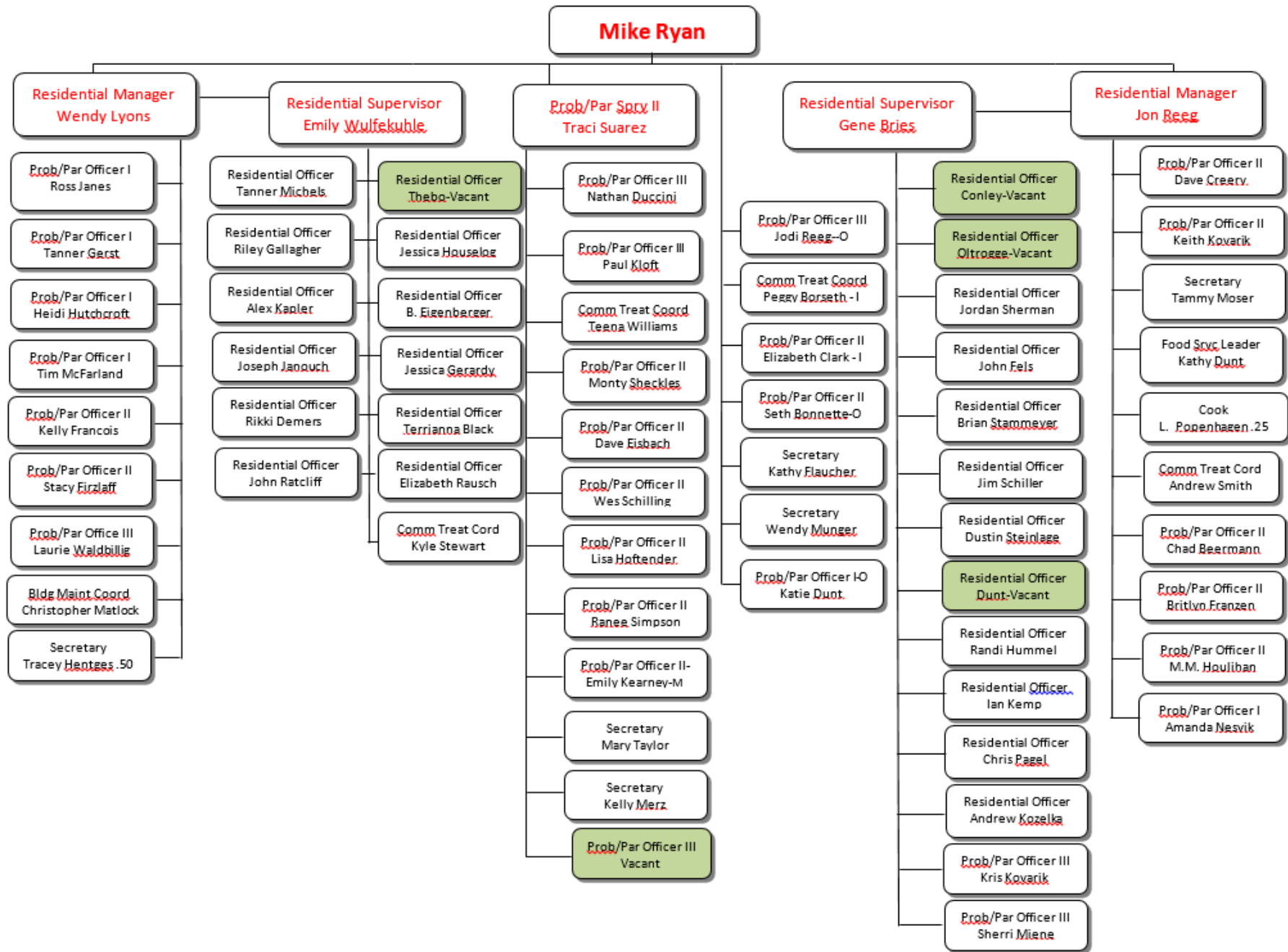
Table of Organization as of June 30, 2021



191.52 FTE's







Board of Directors

1st Judicial District Department of Correctional Services Board of Directors (*Indicates Executive Committee Member)

Allamakee County-----	Larry Schellhammer*– Vice Chair
Black Hawk County-----	Craig White
Buchanan County-----	Gary Gissel
Chickasaw County-----	Tim Zoll*– Chair
Clayton County-----	Steve Doeppke
Delaware County-----	Shirley Helmricks*
Dubuque County-----	Jay Wickham
Fayette County-----	Marty Stanbrough
Grundy County-----	Vic Vandelaar
Howard County-----	Pat Murray*
Winneshiek County-----	Floyd Ashbacher*
Judicial-----	Linda Nilges
Judicial-----	Melissa Ament
Judicial-----	Les Blair
Eastern Advisory-----	John Shook*
Northeast Advisory-----	Jason Howes
Western Advisory-----	JoAnn Finkenbinder

Advisory Committees

Eastern

Jeremy Jensen
Valerie Bell
Karen Hoeger
Joseph Kennedy
John LeClere
John Shook
John Markham

Northeastern

Angela Nelson
Jason Howes
Bob Harri
Marcia Oltrogge
Deidre Vick Froehlich
Bill Wolfgram

Western

Marilyn DeKoster
JoAnn Finkenbinder
Lynn Neill
Leon Mosley

Drug Courts

Available in Black Hawk, Dubuque, and Delaware Counties

Drug Court represents a non-traditional approach to criminal offenders who are addicted to drugs. Rather than focusing only on the crimes and punishments, Drug Court also attempts to solve some of each individual's underlying problems. The program is built upon a unique partnership between the criminal justice and drug treatment communities, one which structures treatment intervention around the authority and personal involvement of a single Drug Court Judge.

The Drug Court Teams utilize a group of professionals consisting of the following members:



**Drug Court Judge
County Attorney
Defense Attorney
Probation/Parole Officer
Law Enforcement Representative
Substance Abuse Treatment Provider**

Drug Court is dependent upon the creation of a non-adversarial courtroom atmosphere where a single Judge and dedicated correctional and treatment staff work together toward the common goal of breaking the cycle of drug abuse and criminal behavior. An environment with clear and explicit rules is created and a participant's compliance is within their own control.

The mission of Drug Court is to enhance public safety and benefit the community through a judicially supervised, collaborative effort to more efficiently work with substance abusing offenders, thereby reducing recidivism and associated crime.

Drug Court Totals	
Active on 7/1/20	33
New Admissions	22
Closed	27
Active on 6/30/21	27
Total served FY'21	55

Iowa Domestic Abuse Program

Available District-wide

Iowa law mandates anyone convicted of domestic abuse must complete the Iowa Domestic Abuse Program (IDAP). This program provides group education and treatment for offenders who have either been convicted of domestic abuse charges or who have a pattern of abusive behavior within their relationships. Classes are offered at a variety of times to accommodate offender personal schedules. To complete the program, participants must attend 24 two-hour sessions and satisfactorily complete all homework. In addition, all participants are responsible for paying for their classes.

Iowa Domestic Abuse Program	
Active on 7/1/20	484
New Admissions	320
Closed	272
Active on 6/30/21	492
Total served FY'21	804

Swift, Certain, and Fair Program

Available in Black Hawk County

In FY21 the Swift, Certain, and Fair (SCF) Program continued to operate in Black Hawk County. The target population includes probation clients with a history of substance abuse issues and non-compliance on supervision. Under the SCF Program, all participants are required to call in daily to see if they are required to report to the Residential Facility for drug testing. In addition, all violations are addressed immediately by the Court. The University of Northern Iowa will be conducting a research evaluation of the project.

In FY21 grant funding from the Bureau of Justice Assistance was used to operate the Swift, Certain, and Fair Program.

Swift, Certain, and Fair	
Active on 7/1/20	55
New Admissions	77
Closed	41
Active on 6/30/21	84
Total served FY'21	132

Mental Health Jail Assessment & Diversion

Available in Dubuque, Buchanan, Delaware, Bremer, and Black Hawk Counties

Community Treatment Coordinators in all three Divisions conduct mental health screenings on individuals incarcerated in local county jails to determine if appropriate services exist within the community that would allow the offender a suitable placement alternative in lieu of prison or jail. Through collaboration with multiple community agencies, each individual's mental health needs are addressed in conjunction with issues related to housing, medications, provider support, and appropriate correctional supervision. The Community Treatment Coordinators may supervise offenders in the community or make referrals to various mental health programs within the Department. The Mental Health Jail Assessment Program has proven to be a valuable asset to the First District in our efforts to more effectively address the needs and issues of mentally ill people in the correctional system.

Mental Health Jail Assessment Programs	Black Hawk County	Bremer County	Buchanan County	Delaware County	Dubuque County
Number of Offenders screened in Fiscal Year 2021	140	11	6	13	79
Number of Offenders released from jail to the community with services provided	103	4	14	11	66
Number of Offenders released from jail to a Residential Care Facility/Crisis Placement	30	0	5	0	13
Number of Offenders Supervised by CTC in Fiscal Year 2021	61	Total for Bremer/Buchanan/Delaware=40			110

Mental Health Re-Entry

Available in Black Hawk County

With the growing prevalence of mentally ill people on correctional supervision, the need to offer more rehabilitative options in conjunction with specialized supervision has become necessary. The Department has a total of four Probation/Parole Officers specifically assigned to supervise high-risk/high-need mentally ill offenders in Black Hawk County. These agents supervise male and female offenders on pre-trial, probation, parole, and in the Waterloo Residential Facilities. "Mental Health Re-entry" is a broad term used to identify this offender population.

Mental Health Re-Entry	
Active on 7/1/20	132
New Admissions	129
Closed	127
Active on 6/30/21	137
Total served FY'21	261

Sex Offender Treatment & Supervision

Available District-wide

Program staff for the SOTP unit includes 10 Specialized Probation/Parole Officers located across the District. All sex offenders are supervised at an enhanced level of supervision and are expected to participate in treatment provided by the Department. Various assessments are used to determine individual risk and ascertain treatment needs and goals. Testing instruments such as the polygraph are used to verify information about sexual deviancy patterns and monitor compliance with supervision conditions.

Specialized SOTP Treatment groups using the Good Lives curriculum are conducted by Probation/Parole Officers in the Sex Offender Unit. Supervision of the SOTP unit has been streamlined in order to more efficiently address the complexities of specific laws for sex offenders, sex offender registry issues, increased periods of supervision, electronic monitoring requirements, and the development of more uniform programming across the District.

Sex Offender Treatment & Supervision	
Active on 7/1/20	316
New Admissions	79
Closed	75
Active on 6/30/21	317
Total served FY'21	395

Electronic Monitoring

Available District-wide

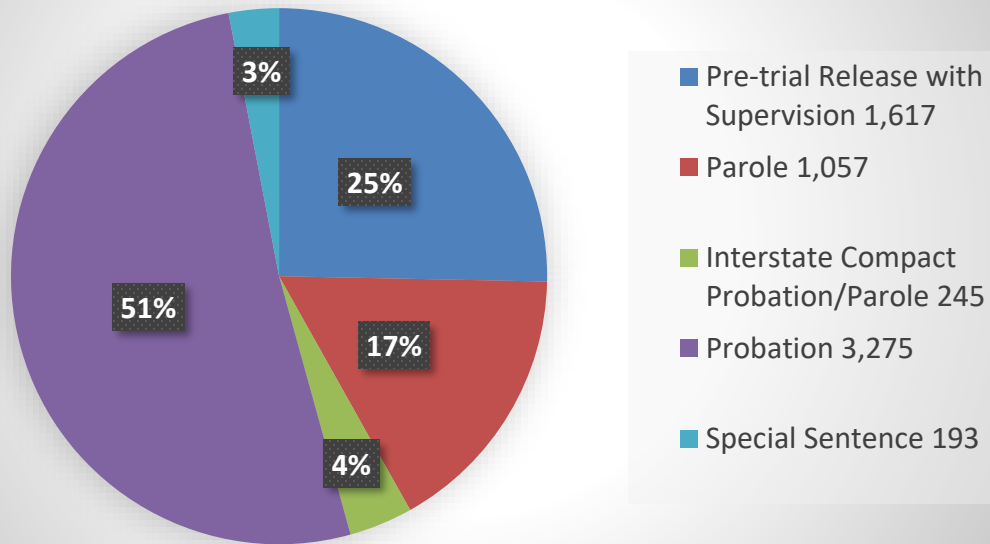
Electronic monitoring is used to enhance offender accountability through the use of sophisticated technology. It is a cost-effective tool used to assist officers in monitoring the whereabouts of offenders in the community. Electronic monitoring is used primarily with sex offenders. As mandated by Iowa law, sex offenders may be required to wear electronic monitoring (EM) devices or global positioning system (GPS) units depending on their crime. The movements of an offender can be tracked by the GPS and viewed via computer by Department staff.

Global Positioning - Satellite	
Active on 7/1/20	82
New Admissions	223
Closed	223
Active on 6/30/21	85
Total served FY'21	305

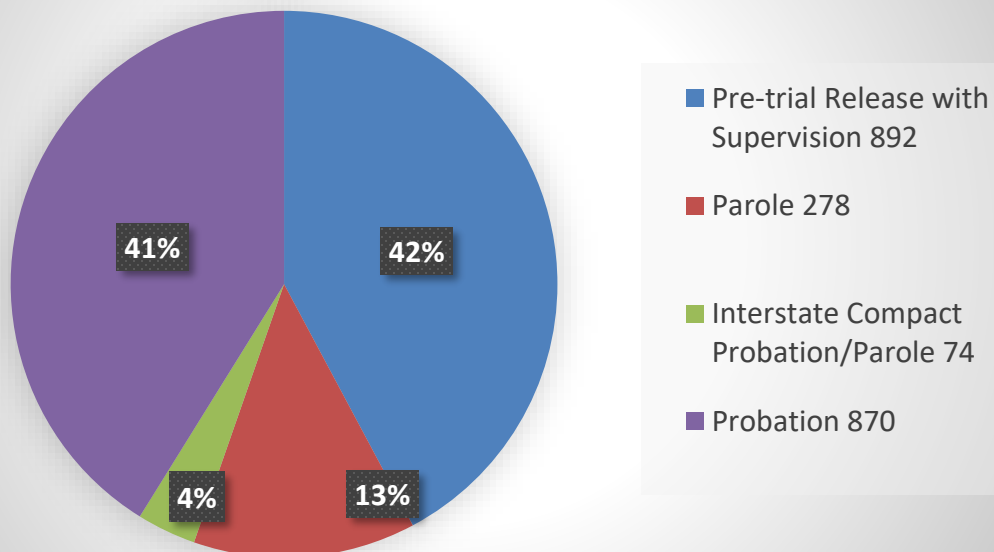
Field Services Division

The majority of offenders supervised by the Department of Correctional Services are monitored by staff in the Field Services Division. Often referred to as “street supervision” this category typically includes pre-trial supervision, various probation supervision levels, and parole. The following pages include information about the numerous supervision types and services provided by the Field Services Division.

Offenders Served in Field Services



Field Services Successful Discharges



Standard Pre-Trial Supervision

Available District-wide

The Department established Pre-Trial Services in 1974. Arrestees are interviewed in local jails and are assessed for their likelihood to be present for future Court appearances and their potential danger to the community. Recommendations to the Court for release may include release on own recognizance, release with supervision, release on bond, or release on bond with supervision. If release with supervision is ordered, the defendant is monitored to assure attendance at Court appearances and compliance with conditions of release. Defendants are supervised until the disposition of their cases or until further Court order.

**Pre-Trial Interviews
Completed FY '21=1,379**

Standard Pre-trial Supervision	
Active on 7/1/20	500
New Admissions	1,117
Closed	1,032
Successful Completion Rate	86.4% (892)
Unsuccessful Completion Rate	3.0% (31)
Administrative Closures	10.6% (109)
Active on 6/30/21	555
Total served FY'21	1,617

Intensive Pre-Trial Supervision

Available in Black Hawk County

An Intensive Pre-Trial Supervision Program was initially established in 1985 to help alleviate jail overcrowding in Black Hawk County. Even after the construction of a new county jail, Intensive Pre-trial Supervision has continued to provide services for high-risk defendants who may otherwise remain incarcerated. The program is a cost-effective alternative to incarceration for people awaiting further Court proceedings in their cases.

**Intensive Pre-Trial
Interviews
Completed FY '21=57**

Intensive Pre-trial Supervision	
Active on 7/1/20	171
New Admissions	225
Closed	217
Active on 6/30/21	179
Total served FY'21	396

Low Risk Probation

Available District-wide

The Low Risk Supervision Program was developed to remove the majority of low risk offenders from standard supervision caseloads in order to address high caseloads for standard officers in a cost-effective manner. Offenders participate in a group intake where they are informed of their court-ordered obligations and responsibilities for supervision. Once their obligations are met, they may be eligible for discharge from supervision.

Low Risk Probation	
Active on 7/1/20	969
New Admissions	451
Closed	464
Successful Completion Rate	84.7% (393)
Unsuccessful Completion Rate	1.9% (9)
Administrative Closures	3.7% (17)
Intermediate Sanction	9.7% (45)
Active on 6/30/21	958
Total served FY'21	1,420

Intensive Probation & Parole—Domestic Violence

Available in Black Hawk County

Domestic Violence offenders who have been assessed as high-risk and require more assistance and supervision than those on standard probation or parole are placed on Intensive Supervision. These offenders may be subject to increased contact standards, frequent home visits, curfews, surveillance, and may be required to wear electronic monitoring devices. In addition, these offenders are required to participate in Iowa Domestic Abuse Program groups and regularly report to the Domestic Violence Court.

Intensive Probation & Parole—Domestic Violence	
Active on 7/1/20	98
New Admissions	76
Closed	100
Successful Completion Rate	62.0% (62)
Unsuccessful Completion Rate	31.0% (31)
Administrative Closures	1.0% (1)
Intermediate Sanction	6.0% (6)
Active on 6/30/21	76
Total served FY'21	174

Probation & Parole

Probation: Offenders are placed on probation after being found guilty of a criminal offense. They typically receive a jail or prison sentence which is suspended “with good behavior” and they are then placed on probation. This sentencing option gives offenders an opportunity to correct their behavior while remaining in the community. In order to effectively address criminogenic needs, offenders participate in an assessment process, case planning, and appropriate treatment. Probation Officers monitor compliance with supervision expectations, work with offenders to facilitate behavioral change, and report progress to the Court.

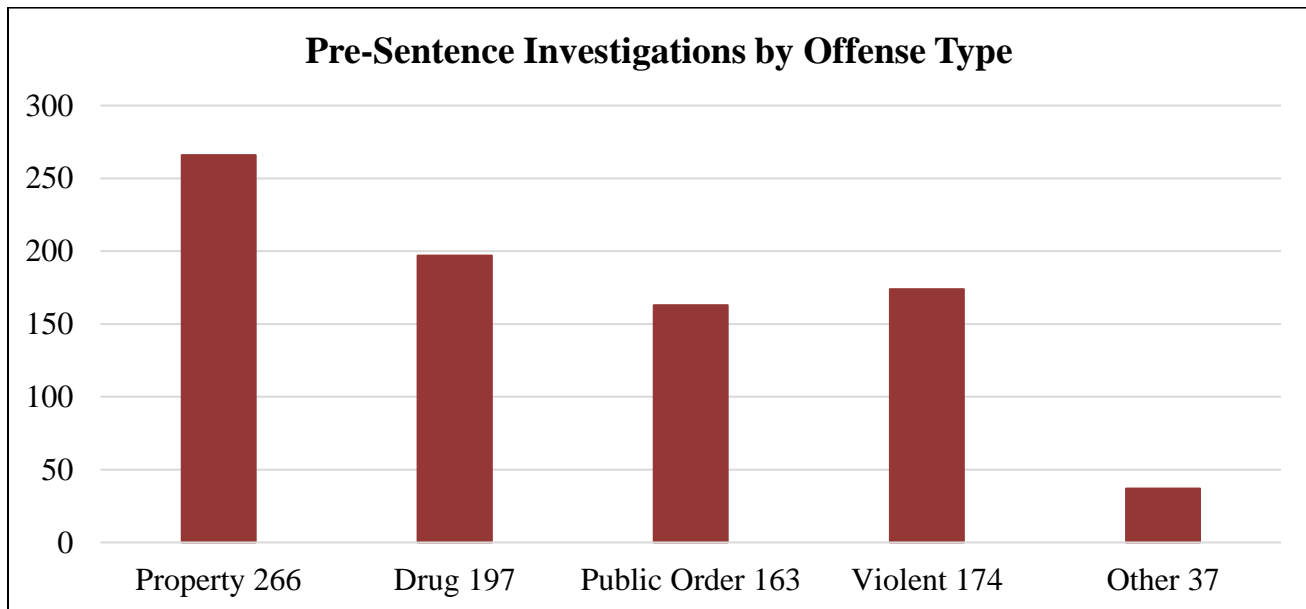
Parole: Offenders are granted a parole as authorized by the Iowa Board of Parole either directly out of a state institution or from a Work Release Facility. The 1st District Re-Entry Coordinator works with prison counselors and re-entry coordinators to help facilitate a smooth transition from incarceration back to the community. Parole Officers conduct assessments, make referrals for treatment and assistance, facilitate lifestyle changes, and monitor compliance with parole conditions.

Probation (all Specialties included)	
Active on 7/1/20	2,115
New Admissions	1,160
Closed	1,135
Successful Completion Rate	76.6% (870)
Unsuccessful Completion Rate	20.2% (229)
Administrative Closures	3.2% (36)
Active on 6/30/21	1,993
Total served FY'21	3,275

Parole (all Specialties included)	
Active on 7/1/20	749
New Admissions	308
Closed	505
Successful Completion Rate	55.0% (278)
Unsuccessful Completion Rate	27.3% (138)
Administrative Closures	1.2% (6)
Intermediate Sanction	16.5% (83)
Active on 6/30/21	567
Total served FY'21	1,057

Pre-Sentence Investigations

The Pre-Sentence Investigation (PSI) is a detailed report provided to the Court prior to sentencing. Because the Court has considerable discretion in most cases, it relies on the report to provide an accurate and objective description of the individual and their background. The report includes details regarding an individual's criminal history, substance abuse issues, social history, family, education, employment, and other pertinent information. Also included are proposed correctional and treatment plans to meet the needs of the offender and safeguard the public. Finally, a sentencing recommendation from the Department is made to the Court. The Pre-Sentence Investigation report also provides valuable information to other correctional staff who later supervise the offender.



Pre-Sentence Investigations by Offense Class		
Offense Class	Total	Percentage
A Felony	1	.1%
B Felony	27	3.2%
C Felony	165	19.6%
D Felony	491	58.5%
Felony - Enhancement to Original Penalty	39	4.6%
Aggravated Misdemeanor	86	10.2%
Serious Misdemeanor	19	2.4%
Simple Misdemeanor	6	.7%
Other	6	.7%
Total/Percent	840	100%

Pre-Sentence Investigations by Form Type		
Form Type	PSI Count	Percentage
Long	212	25.2%
Short	599	71.3%
Pre Plea	29	3.5%
Total/Percent	840	100%

First District Residential Facilities: Dubuque, Waterloo, & West Union

Residential Supervision is provided in all four facilities for the following types of offenders:

- ❖ Work Release
- ❖ Operating While Intoxicated/321.J
- ❖ Probation
- ❖ Federal
- ❖ Direct Sentence/Alternative Jail Site
- ❖ Interstate Compact
- ❖ Parole
- ❖ Pre-trial Release

Offenders are committed to Residential Facilities either by Court order, through the Corrections Continuum, or as ordered by the Iowa Board of Parole. In addition, Federal residents may be housed in residential facilities via a contract with the United States Bureau of Prisons. Offenders may be placed in facilities on several different legal statuses such as pre-trial, probation, parole, work release, and federal.

First District Facilities provide safe and secure environments which are conducive to positive offender change. Offenders progress through facility programs via a level system, whereby privileges are gained through compliance with program rules and treatment objectives. Programming is individually tailored to meet offender needs and may include securing and maintaining employment, participating in an education program, performing community service work, substance abuse and mental health counseling, and cognitive-behavioral treatment. Probation/Parole Officers assist offenders with money management to ensure they meet their financial obligations including victim restitution and child support. Facility residents also pay rent to the facility, which helps defray the costs associated with their stay.

Program Utilization Summary (R & VC Beds)

Active on 7/1/20	191
New Admissions	678
Closed	458
Active on 6/30/21	235
Total Served FY'21	869

**New admissions –
1st District Facilities
Fiscal Year 21: 678**

**Total Bed Capacity=323
Male=252
Female=71**

Average Daily Population

Waterloo Residential Facility	81
Waterloo Women's Center for Change	23
Dubuque Residential Facility	54
West Union Residential Facility	37
Total	195

First District Residential Facilities



Waterloo Women's Center for Change, Bed Capacity=45, Females Only



**West Union Residential Facility
Bed Capacity=48
Male=40, Female=8**

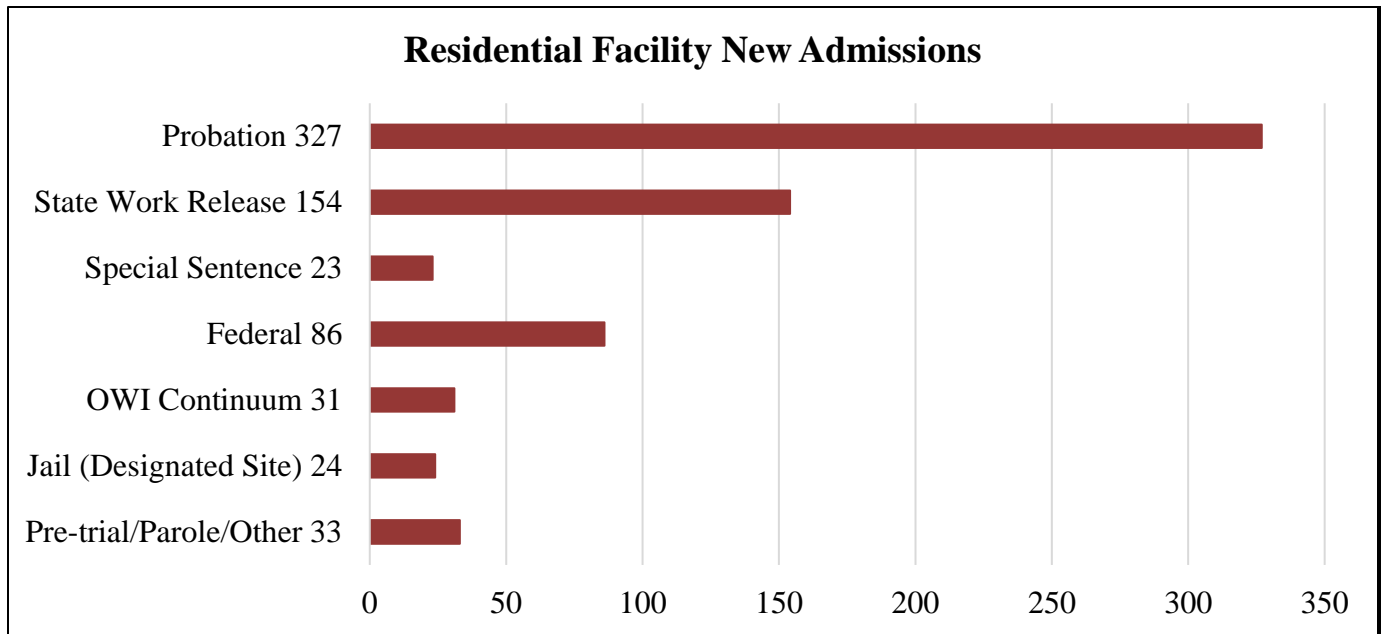


**Dubuque Residential Facility
Bed Capacity=80
Male=62, Female=18**



Waterloo Residential Facility, Bed Capacity=150, Males Only

First District Residential Facilities



Community Service Work

Available District-wide

The 1st District is committed to helping restore harm done to the many victims in our communities. One method used to help accomplish this goal is for offenders to perform community service work in our neighborhoods. While this labor certainly benefits the community, it can also provide opportunities for offenders to learn job skills and develop a sense of responsibility to society. There are several options for how and why community service work is ordered and incorporated into programming. These may include:

- ***Community Service Sentencing:*** Offenders are ordered by the Court to perform community service work as part of their probation conditions.
- ***Community Service In Lieu of Payment for Court Fees:*** Offenders may perform community service work in lieu of paying selected court fees, if approved by the Court. Community service work cannot be used to repay any victim damages or victim restitution.
- ***Community Service as an Intermediate Sanction:*** Offenders may be ordered by the Court or the Corrections Continuum Committee to perform community service work as a penalty for violations of supervision.
- ***Community Service as a Component of the Level System:*** Offenders may be required to perform community service work in order to advance through the Residential Facility Level System, which determines eligibility for furloughs and successful discharge from the facility.

Community Service Work Hours completed in First District in FY '21 = 2,691

FY '21 Financial Information

TOTAL REVENUES BY SOURCE	FY 2020	FY 2021	% Change
State	15,219,261	15,219,261	0%
Federal	1,201,610	1,541,955	28%
County	491,087	465,339	-5%
Offender Fees (Detailed Below)	2,428,827	1,791,337	-36%
Iowa Domestic Abuse Program Support	163,349	180,219	10%
Intra-State Transfers	0	995,482	99548100%
Interest	11,214	6,006	-87%
Other	29,354	23,490	-25%
Previous Fiscal Year Carryover	1,095,581	869,350	-26%
TOTAL	20,640,283	21,092,439	2%

Offender Fees Collected	FY 2020	FY 2021	% Change
Residential Rent	1,702,623	1,139,142	-49%
Residential Day Reporting Fees	181,310	131,689	-38%
Supervision Fees	497,862	458,100	-9%
Program Fees	47,032	62,406	33%
TOTAL	2,428,827	1,791,337	-36%

FY '21 Financial Information

Expenditures by Cost Center	FY 2020	FY 2021	% Change
Salaries & Benefits	17,844,790	17,906,090	0.3%
Travel & Subsistence	61,983	50,959	-23%
Supplies	403,555	325,826	-24%
Contractual Services	1,176,234	1,348,106	15%
Equipment & Repairs	225,562	277,625	23%
Debt Reduction & Insurance	58,809	60,465	3%
TOTAL	19,770,933	19,969,072	1%

Expenditures by Major Cost Center	FY 2020	FY 2021	% Change
Administration **	1,982,550	2,019,973	2%
Probation/Parole	5,212,843	5,079,802	-3%
Residential Services	8,736,269	7,979,613	-9%
Special Projects (detailed below)	3,839,271	3,894,203	1%
COVID	0	995,482	99548100%
TOTAL	19,770,933	19,969,073	-4%

****First District Administration directs, manages, establishes policies and procedures, and oversees all community based district operations including: strategic planning, budgeting, personnel, technology, fiscal management and accountability, offender services, program development, and contracted services.**

FY '21 EXPENDITURES BY SPECIAL PROJECT BY REVENUE SOURCE	State	Federal, Local, Or Other	Total
Probation/Parole/Pre-trial Intensive	105,260	113,760	219,020
Sex Offender Treatment	1,375,669	62,406	1,438,075
Mental Health	415,458	116,304	531,762
Iowa Domestic Abuse Program	0	349,595	349,595
Drug Courts	339,667	3,000	342,667
Re-Entry	427,774	71,884	499,658
Jail Assessment	0	328,082	328,082
Swift, Certain, and Fair	0	185,344	185,344
TOTAL	2,663,828	1,230,375	3,894,203

Supplementary Statistical Information

1 st District Field Services by Supervision Status FY21					
Supervision Status	Active on 7-1-20	New	Closures	Active on 6-30-21	Offenders Served
Interstate Compact Parole	47	12	24	35	59
Interstate Compact Probation	133	53	91	100	186
Parole	749	308	505	567	1,057
Pretrial Release With Supervision	500	1,117	1,032	555	1,617
Probation	2,115	1,160	1,135	1,993	3,275
Special Sentence	171	22	39	170	193
Other	1	21	2	3	22
District Total	3,716	2,693	2,828	3,423	6,409

1 st District Field Services by Supervision Status and Reason for Change FY21					
Supervision Status	Administrative	Intermediate Sanction	Successful	Unsuccessful	Totals
Interstate Compact Parole	13	0	11	0	24
Interstate Compact Probation	28	0	63	0	91
Parole	6	83	278	138	505
Pretrial Release With Supervision	109	0	892	31	1,032
Probation	36	0	870	229	1,135
Special Sentence	3	8	17	11	22
Other	0	0	1	1	21
Totals:	195	91	2,132	410	2,828

Supplementary Statistical Information

1st Judicial District Region Specialties FY21					
Specialty	Active on 7-1-20	New Admits	Active on 6-30-21	Offenders Served	Closures
Day Reporting - Residential	104	178	65	282	218
Domestic Abuse Supervision	98	76	76	174	100
Drug Court Supervision	42	72	51	114	70
Federal BOP	28	64	17	92	77
Federal – Direct Home Confinement	1	5	3	6	3
Federal Public Law	2	34	9	36	27
Global Positioning - Satellite	82	223	85	305	223
Home Confinement - Federal Offender	2	22	4	24	20
Intensive Supervision	1	0	0	1	1
Jail (Designated Site)	7	71	4	78	74
Low Risk Probation	969	451	958	1,420	464
Mental Health Re-Entry	132	129	137	261	127
One Stop Re-Entry	6	0	2	6	4
OWI Pre-Placement	4	34	7	38	31
PTR – Level 1	1	0	0	1	1
PTR – Level 2	3	6	7	9	2
PTR – Level 3	474	930	562	1,404	843
PTR – Level 4	171	225	179	396	217
SCRAM (Secure Continuous Remote Alcohol Monitor)	1	1	1	2	1
Sex Offender	487	336	516	823	321
Warrant	5	3	1	8	7
District Total Specialties	2,620	2,860	2,684	5,480	2,831

1st District ~ Quick Facts as of June 30, 2021

About Employees~

Number of Employees: 179

1 st District Staff Diversity Profile	Percentage
Women	48%
People of Color	13%

**Pre-Sentence
Investigations Completed
in FY21=840**

About 1st District Facilities~

Facility Locations & Capacity	Men	Women
Dubuque	62	18
West Union	40	8
Waterloo	150	45
Totals	252	71

**New admissions to
1st District Facilities
in FY21=678**

The Offenses~

Offense Type	Percentage
Violent	31.8%
Property	22.1%
Drug	22.1%
Public Order	20.5%
Other	3.5%
Total	100%

**Successful Supervision
Discharges in
FY21=2,132**

**Field Services
Offenders Served in
FY21=6,409**

About Offenders~

Number of Offenders on 6-30-21	
Field Services	3,423
Residential Facilities	235
Total	3,658

Gender	Percentage
Male	75.3%
Female	24.7%
Total	100%

Race/Ethnic Origin	Percentage
White	70.3%
Black	26.4%
Other	3.3%
Total	100%

Age Group	Percentage
18 through 30	38.4%
31 through 50	47.0%
51 or older	14.6%
Total	100%

Intern/Volunteer Services

The Department partners with local colleges and universities to provide students with an opportunity to complete internships or volunteer work experience in community-based corrections. Numerous Department employees were interns/volunteers before being hired.

Benefits to the student:

- Practical experience will help with career planning and decisions.
- Provides an opportunity to learn and practice new skills.
- Increases their knowledge and enhances their chances of obtaining employment in the corrections field.
- Networking opportunities with professionals in the corrections field.

Benefits to the Department:

- Develops a more experienced employee applicant pool.
- Improves the employee selection process by providing an opportunity to observe potential employees in the work environment.
- Assists Department staff with job tasks which may be performed in a supervised capacity.
- Gives Department staff an opportunity to help students grow and learn.

Intern/Volunteer hours performed during FY '21:

Western Division=960

Eastern Division=2,254

Northeastern Division=0

Special Recognitions



RaeAnn Kennedy was hired with the 1st Judicial District on March 26, 2004 as a Probation/Parole Officer II at the Dubuque Probation/Parole Field office. She has devoted over 16 years there. RaeAnn is retiring on December 31, 2020. Congratulations and best wishes!



Linda Torres was hired as a Residential Officer in Dubuque on 09/12/2005. She has devoted 15 years to the Department of Corrections there. Linda is retiring on September 24, 2020! Congratulations and Best Wishes!



Cindy Schmitt was hired as a Secretary in Dubuque on 07/01/1994. She has devoted over 26 years to the Department of Corrections there. Cindy is retiring on September 30, 2020! Congratulations and Best Wishes!



Janet Harms was hired as a Bookkeeper in Waterloo on 04/21/1986. In June 1987, the position was reclassified to an Account Clerk I, in January 1993 to an Account Clerk II, and in November 1999 to an Accounting Technician. In May 2003 Janet was promoted to the Administrative Assistant position. In December 2017 she was reclassified to a Personnel Specialist. Janet is retiring on December 28, 2020! Congratulations and Best Wishes!



DeDe Jewell was hired as a Residential Officer in Waterloo on 02/05/1988. She has devoted over 32 years to the Department of Corrections there. DeDe is retiring on December 31, 2020! Congratulations and Best Wishes!



Janice McLaughlin was hired as a Cook in Dubuque on 07/15/2005. In April 2009 she was promoted to Food Service Coordinator and devoted over 11 years to the Department of Corrections there. Janice is retiring on December 31, 2020! Congratulations and Best Wishes!

Special Recognitions



Mark Blatz was hired as a Facility Technician in Dubuque on 07/06/1982. In March 1984 he was promoted to Facility Officer and in December 1985 was promoted to Probation/Parole Officer. In August 1994 he was promoted to a Probation/Parole Officer III where he would devote over 26 years to the Department of Corrections there. Mark is retiring on December 31, 2020! Congratulations and Best Wishes!



Jane Duehr was hired as a Residential Officer at West Union Residential Facility on 02/02/1996. In January 2003 she was promoted to Probation/Parole Officer III, where she would devote over 17 years to the Department of Corrections there. Jane is retiring on January 19, 2021! Congratulations and Best Wishes!



Darrell Todd was hired with the 1st Judicial District on August 28, 1992 as a Residential Advisor/Officer at the West Union Residential Facility. In November 2013 he was promoted to Probation/Parole Officer II at the West Union Residential Facility. Darrell is retiring on April 29, 2021! Congratulations and Best Wishes!



Lloyd Herman was hired as a Residential Officer in Dubuque on 07/16/1999, after working in Anamosa. Lloyd has devoted over 20 years of service to the Department of Corrections in Dubuque. Lloyd is retiring on May 27, 2021! Congratulations and Best Wishes!



Julie Thibadeau was hired as a Cook at the Dubuque Residential Facility on 01/29/2010. Julie has devoted over 10 years of service to the Department of Corrections in Dubuque. Julie is retiring on May 21, 2021! Congratulations and Best Wishes!



Ricky Conley was hired as a Residential Officer at the West Union Residential Facility on 07/09/1999. Ricky has devoted 30 years of service to the Department of Corrections in West Union and IMCC. Ricky is retiring on June 29, 2021! Congratulation and Best Wishes!

Special Recognitions



Mark Oltrogge was hired as a Residential Officer at the West Union Residential Facility on 02/17/1995. Mark has devoted 26 years of service to the Department of Corrections in West Union. Mark is retiring on June 30, 2021! Congratulations and Best Wishes!



Roger Oberhauser was hired as a Residential Officer at the Waterloo Residential Facility on 05/17/1991. In January 1995 he was promoted to Probation/Parole Officer II for the Western Division and has devoted over 26 years to the Department of Corrections in this position. Roger is retiring on June 30, 2021! Congratulations and Best Wishes!

Annual Report

Fiscal Year 2021

This completes the FY '21 annual report.

It has been placed on the First District website: www.FirstDCS.com

The home page of the website has information to phone or e-mail questions or comments regarding this annual report.

