

# Fiscal Year 2022 Annual Report



## Message from the Director

The First District continues our commitment to provide effective community based correctional supervision and services to individuals placed under our jurisdiction. As we have transitioned back to a more normal way of doing our work, I am extremely proud of the great work staff did during the pandemic to continue providing quality supervision.

During the last fiscal year 10 new probation/parole officer I's were trained and certified in the Iowa Risk-Revised instrument as well as the DRAOR CBC revised instrument. During the last year staff completed the IRR assessment at a 91% proficiency rate, which is excellent. DRAOR audits showed a 100% scoring accuracy rate. We recognize we must understand an individual's risk as well as their needs to provide the best opportunities under supervision. Completing the risk instruments correctly is the foundation of this work.

I also want to recognize the great effort of our staff completing 99.4% of mandatory training. Only one staff person, who had some medical issues, was unable to meet all of the expectations. It is important that staff are provided training to better do their work. Assistant Director Denise Cooper leads the effort for this CQI work. She and her staff do an excellent job ensuring staff are provided the latest and most updated training.

During the pandemic, much of our contacts with clients was via virtual meetings, including many groups. In fact, we were able to expand the number of groups offered and have capacity to provide group to the highest risk clients under our supervision. We continue to expand this capacity and emphasized evening and weekend groups.

In addition to our continued efforts to improve our outcomes with clients, we also continue to address our physical plant. The largest projects were the completion of the window replacement and asbestos tile removal at the Waterloo Residential Correctional Facility. We were also able to upgrade three vehicles across the district. All said we spent nearly 1 million dollars addressing some significant maintenance issues.

I appreciate the support we receive from the First District Board of Directors. They have a genuine interest in the work we do in our communities. I also want to thank the staff of the district for their on-going commitment to do great work. The past couple of years created some challenging times and the staff in the 1st District handled it like true professionals and ensured clients were still provided quality supervision and service from this agency.

Sincerely,



Ken Kolthoff  
District Director

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## Our Vision, Mission, Values & Beliefs, and Goals

### VISION



### MISSION

Creating Opportunities for Safer Communities

### VALUES & BELIEFS

People can change  
Our efforts help make people safer  
We must work as a team if we are to succeed  
Every person should be treated with dignity and respect

### GOALS

- ❖ To implement evidence-based practices in treatment and supervision for the purpose of supporting consistency and decreasing recidivism.
- ❖ To increase offender satisfaction in their treatment and supervision experience.
- ❖ To embrace diversity through enhancing sensitivity to differences, recognize the commonalities, and respect the uniqueness of all individuals.
- ❖ To provide training to all staff consistent with their job responsibilities and the department's mission.
- ❖ To provide a safe environment for visitors, employees, and offenders.
- ❖ To provide technological and information resources for staff to more efficiently and effectively do their jobs.
- ❖ To provide office space and residential facilities which meet the needs of the offenders being served.
- ❖ To provide accurate and efficient accountability in all fiscal activities.

# First Judicial District 5 Year Strategic Plan Fiscal Year 2018 through Fiscal Year 2023

## Priorities, Goals, & Desired Outcomes

**Strategic Priority #1:** Identify and focus resources toward individuals most likely to reoffend.

### **Strategic Goals:**

- Continuously review data and make evidenced based decisions.
- Complete thorough investigations and provide to the court prior to sentencing.
- Support sentencing options that provide individuals an opportunity to change while prioritizing safety to the community.
- Assess all individuals placed under supervision to make informed decisions for supervision levels and programming needs.
- Deploy techniques that enhance offenders' motivation and capabilities to complete treatment successfully.
- Work with the institutions to effectively transition offenders returning to the community.

**Strategic Priority #2:** Focus on evidence based and research-informed practices for improved offender success.

### **Strategic Goals:**

- Invest in program models that reduce recidivism.
- Support supervision and treatment opportunities for mentally ill offenders.
- Use cognitive behavioral and social learning models.
- Continuously review and evaluate offender program effectiveness.
- Expand continuous quality improvement processes.
- Measure process, practice, and outcomes.
- Monitor the quality and performance of implemented program models to ensure recidivism reduction outcomes.
- Expand collaboration with other agencies, organizations, and community partners to better utilize resources and improve outcomes.

**Strategic Priority #3:** Funding and budget focused toward staff resources, training, and equipment to carry out the agency mission at the highest level.

### **Strategic Goals:**

- Improve supervision success rates by providing staffing sufficient to offenders risk and needs.
- Increase the use of "banked" caseloads for lower risk offenders allowing manageable workloads for high risk caseloads.
- Increase residential officer positions to improve accountability and compliance monitoring.

- Adequately staff secretarial, cook, and maintenance positions.
- Allocate and restructure management staff to improve ability to effectively train, support, and provide guidance to staff districtwide.

**Desired Outcomes:**

- Evidenced based decisions supporting *long-term public safety*.
- Adequate fiscal support to carry out the agency mission at the *highest level*.
- Responsible use of taxpayer dollars- offenders will be effectively monitored for compliance and accountability while being provided opportunities for *successful supervision*.
- Quality Assurance and *Continuous Quality Improvement*.
  - Will have regularly scheduled training and booster opportunities.
  - Case managers will be proficient in the use of assessment instruments.
  - Offenders will be supervised at an appropriate level.
  - Offenders will be referred appropriately to interventions, internal as well as external, to effectively address their needs.

## Department Overview

The First Judicial District Department of Correctional Services is an agency established under Iowa Code Chapter 905 to provide correctional services throughout the eleven counties of Northeast Iowa, comprising the First Judicial District.

In October of 1973, the first efforts at establishing community-based corrections in the First Judicial District revolved around a Waterloo based agency established to provide correctional services in Black Hawk, Grundy, and Buchanan Counties, named the “Tri-County Department of Court Services.”

In late 1974, a similar office was established in Oelwein to provide correctional services in Fayette, Chickasaw, Clayton, Howard, Winneshiek, and Allamakee Counties.

In November of 1976, the two agencies were merged into one to provide most of the basic pre-institutional services in nine of the eleven counties of the district and a limited level of service in Dubuque and Delaware Counties. In 1977, all eleven counties merged into one agency called, “The First Judicial District Department of Correctional Services.”

Early efforts to establish a residential facility/probation office in the Waterloo-Cedar Falls area finally paid off in late 1976 with the establishment of a residential facility in the old Ellis Hotel building in downtown Waterloo. A second residential facility was leased in 1978 in Dubuque at an old house on Garfield Street. In November of 1981, Waterloo probation staff separated from the residential setting and moved from the Ellis Hotel to the current Waterloo Probation/Parole Office at 527 E. 5<sup>th</sup> Street. In 1984, a 36-bed facility was built in Dubuque on Elm Street; and in 1985, the Waterloo Residential Facility moved from the condemned Ellis Hotel to a newly constructed 56-bed facility at 310 East 6<sup>th</sup> Street.

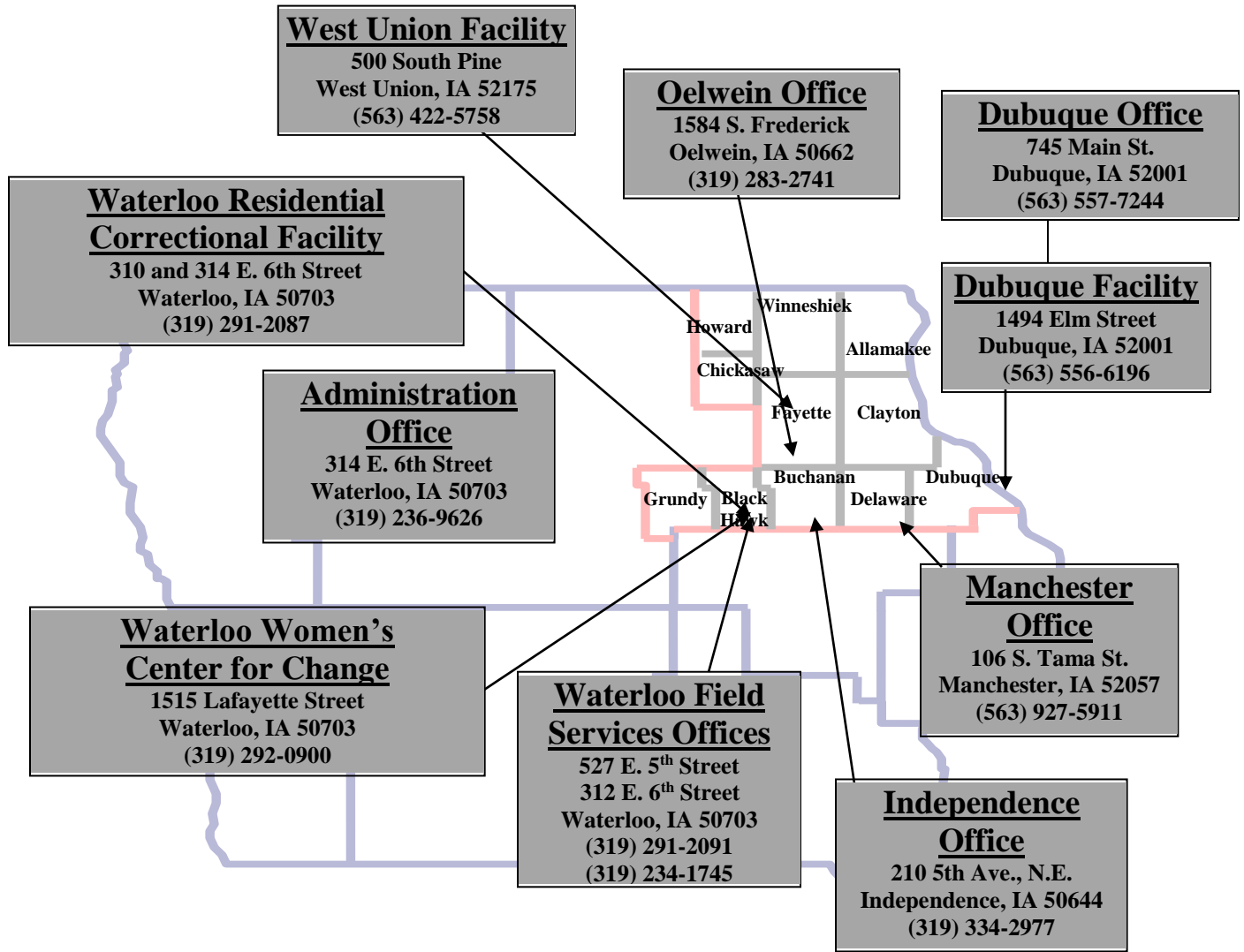
On July 1, 1984, the State Department of Corrections turned over the administration of all community-based correctional services to the eight judicial districts, bringing on Parole, Compact Services, and Work Release for those inmates released from prison. The Work Release Facility in Waterloo had been in operation since November of 1971. In March of 1991 an addition was completed to the Waterloo Residential Facility to house Work Release and Administrative Offices. In this transition Work Release expanded from a 20-bed facility to a 64-bed multi-program facility.

In 1992, a 32-bed multi-program correctional facility was completed in West Union. In 1995, an 8-bed expansion was built creating a 40-bed co-correctional facility. In 2001 an additional 8 beds were added, raising capacity to 48. In May of 1999, the Dubuque Field Services Offices moved to a new location at 745 Main Street. In early 2001, a 44-bed expansion of the Dubuque Residential Facility was completed bringing the total design capacity to 80 beds. In June of 2004, the Oelwein Field Services Office moved to 1584 South Frederick Street in Oelwein. In April of 2005, the Decorah Field Services Office moved to 1014 South Mill Street in Decorah.

In June 2011, construction of the Waterloo Women’s Center for Change at 1515 Lafayette Street was completed. This new facility is dedicated to serve female offenders in the Waterloo area. The center combines both residential and field services programming with 45 residential beds and office space for probation officers and other staff.

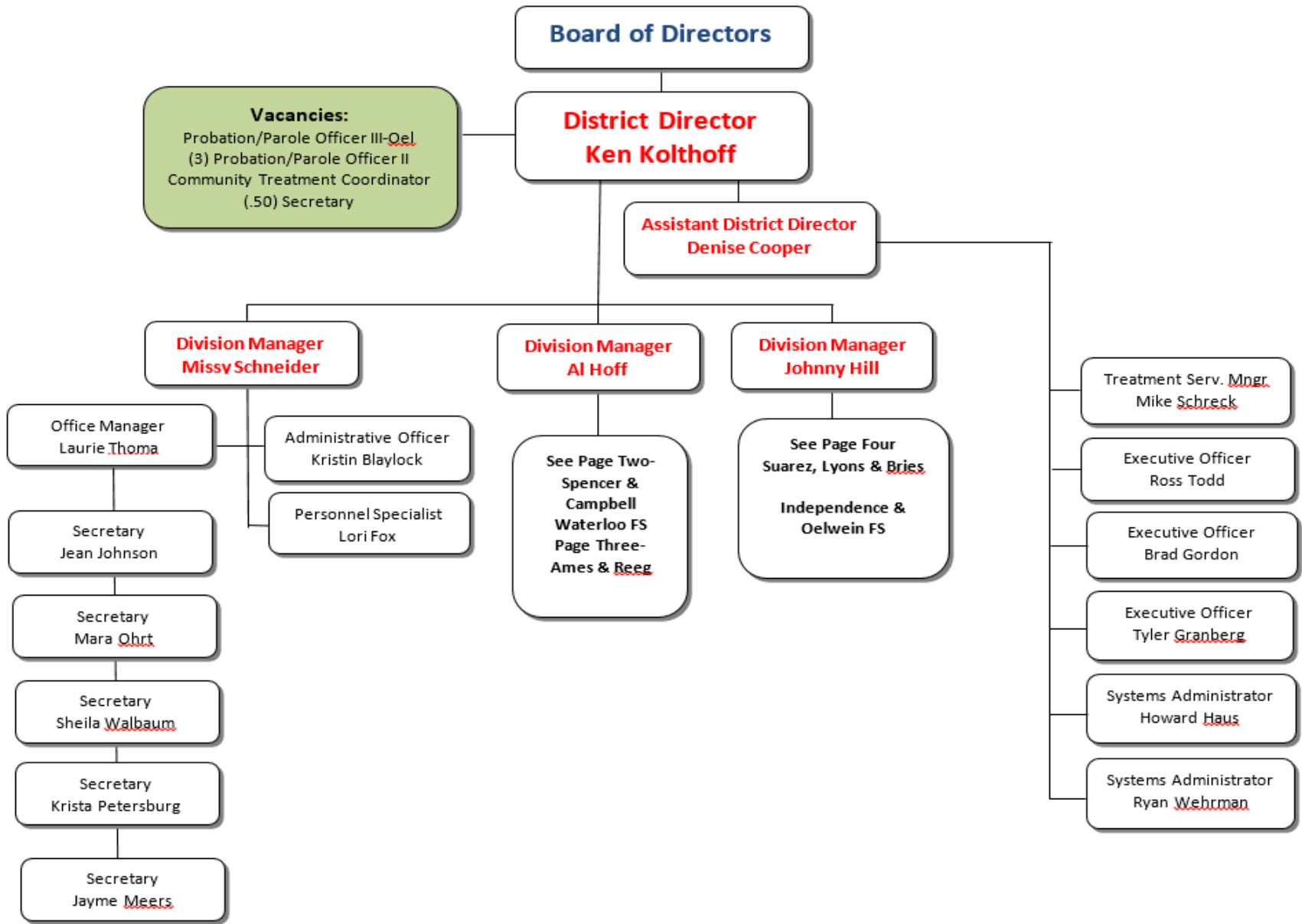
The First Judicial District Department of Correctional Services provides a comprehensive program of community correctional services, including: Pre-trial Services, Pre-sentence Investigations, Probation Supervision, Residential Correctional Facilities, Work Release, Parole, Interstate Compact Services, and Special Programs.

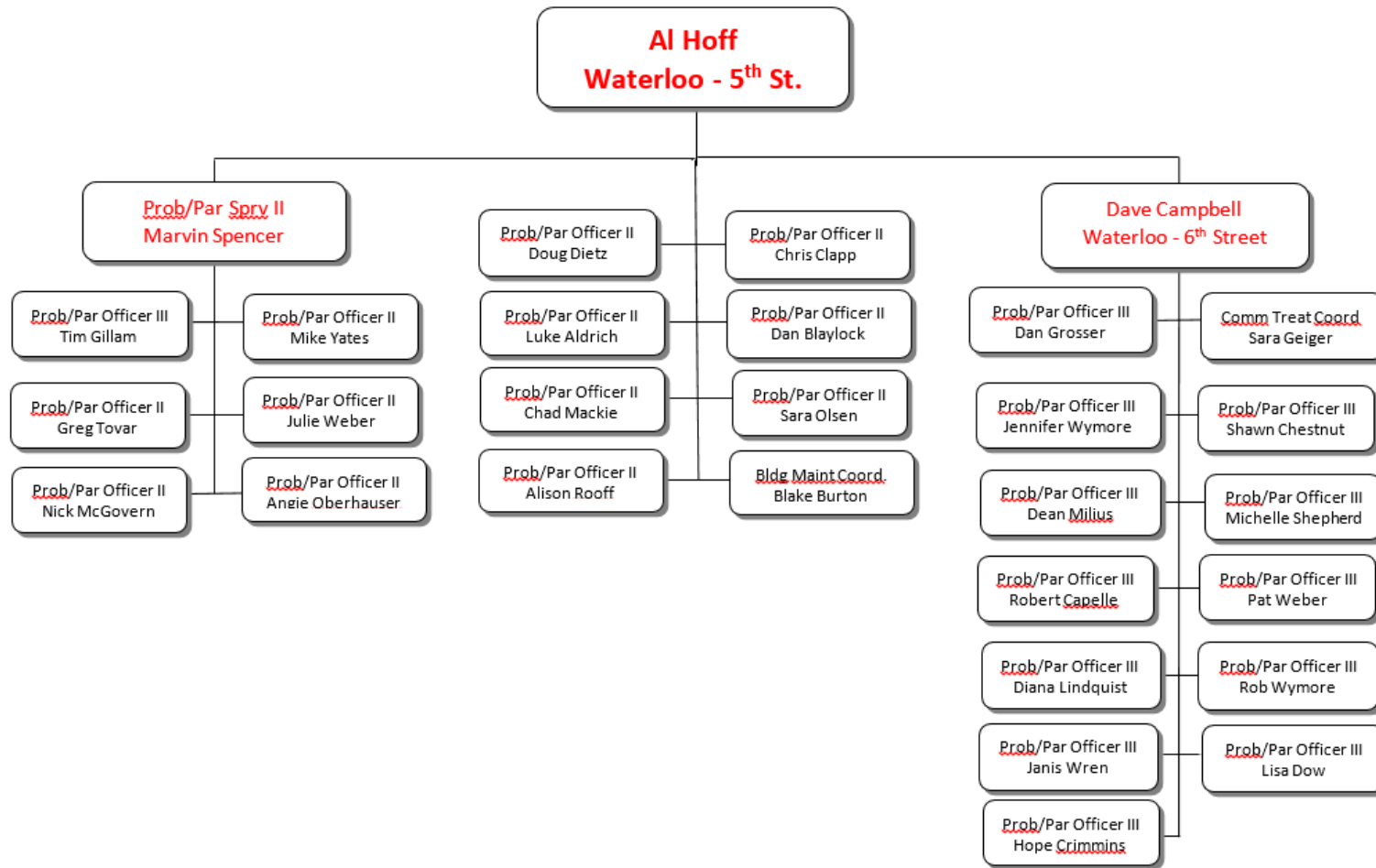
## First District Offices and Residential Facilities

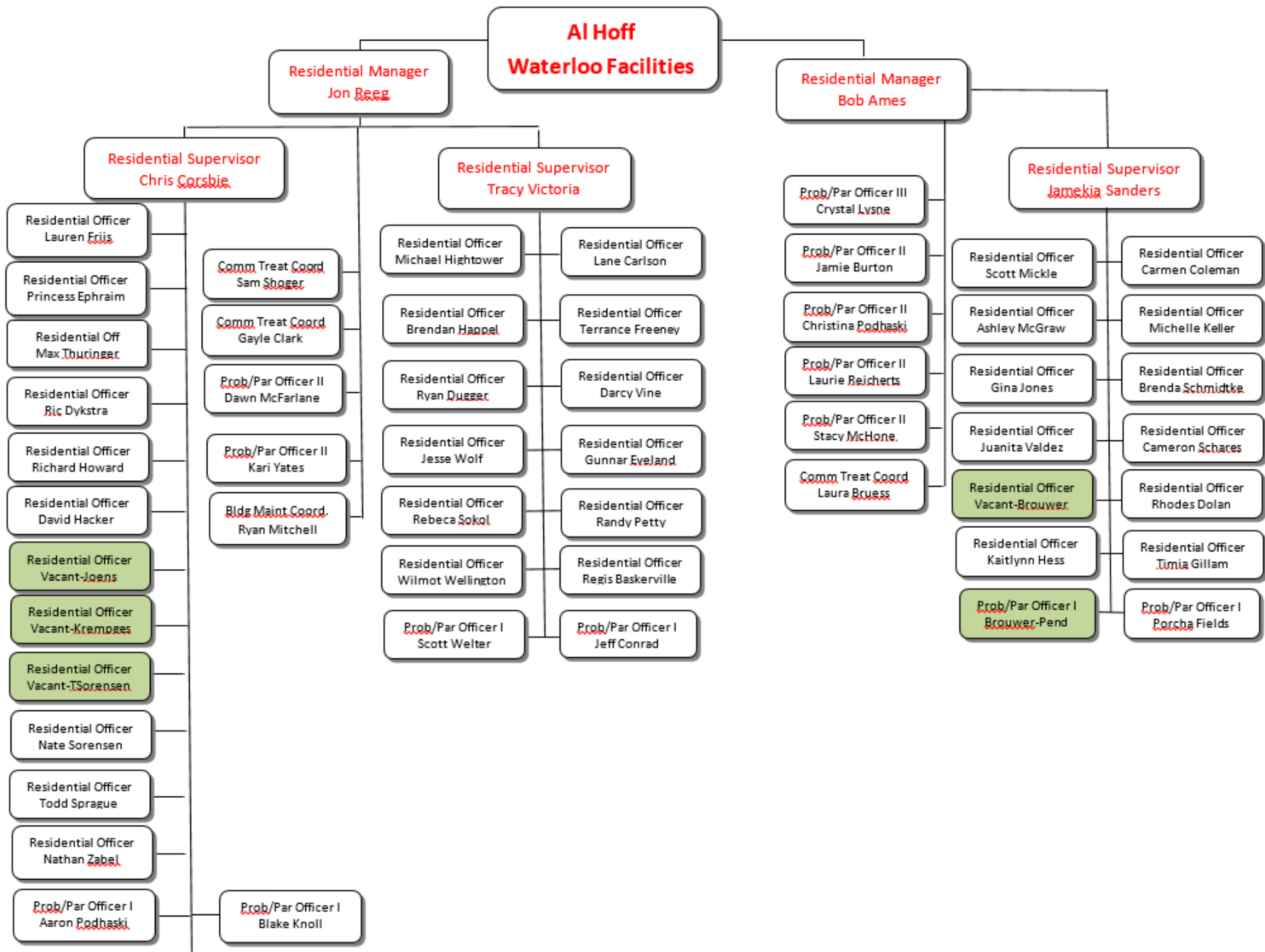




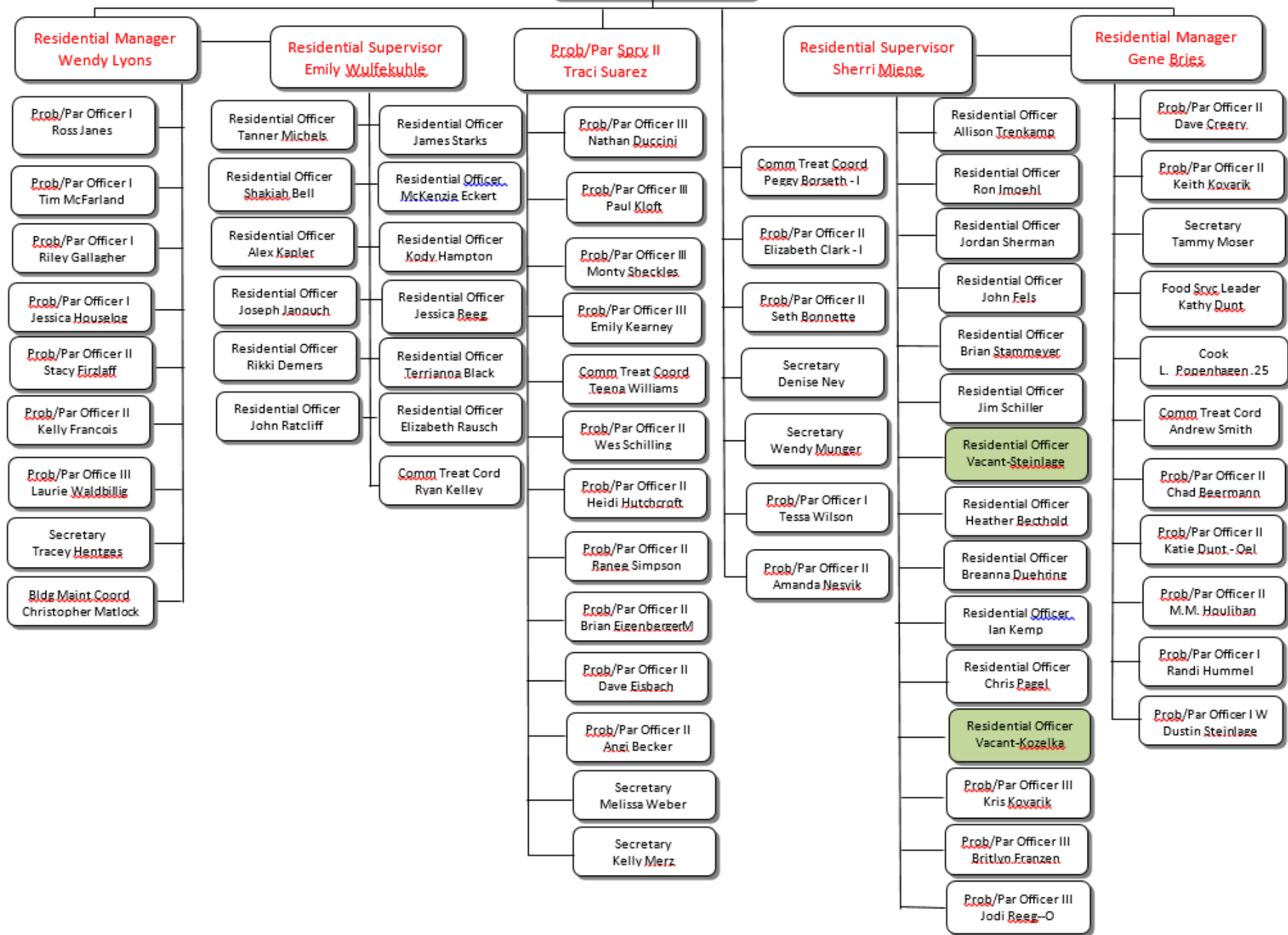
**Table of Organization as of June 30, 2022—183.75 FTE's**







# Johnny Hill



## Board of Directors

### 1st Judicial District Department of Correctional Services Board of Directors (\*Indicates Executive Committee Member)

Allamakee County-----	Larry Schellhammer*– Vice Chair
Black Hawk County-----	Craig White
Buchanan County-----	Gary Gissel
Chickasaw County-----	Tim Zoll*– Chair
Clayton County-----	Steve Doepke
Delaware County-----	Shirley Helmricks*
Dubuque County-----	Ann McDonough*
Fayette County-----	Marty Stanbrough
Grundy County-----	Vic Vandelaar
Howard County-----	Pat Murray*
Winneshiek County-----	Gary Rustad
Judicial-----	Lena Heit
Judicial-----	Teri Schmitz
Judicial-----	Les Blair*
Eastern Advisory-----	John Shook*
Northeast Advisory-----	Jason Howes
Western Advisory-----	Lynn Neill

## Advisory Committees

### Eastern

Jeremy Jensen  
Valerie Bell  
Karen Hoeger  
Joseph Kennedy  
John LeClaire  
John Shook  
John Markham  
Dawn Hall

### Northeastern

Angela Nelson  
Jason Howes  
Robert Harri  
Charles Woodcock  
Bill Wolfgram

### Western

JoAnn Finkenbinder  
Lynn Neill  
Leon Mosley

## Drug Courts

*Available in Black Hawk, Dubuque, and Delaware Counties*

Drug Court represents a non-traditional approach to criminal offenders who are addicted to drugs. Rather than focusing only on the crimes and punishments, Drug Court also attempts to solve some of each individual's underlying problems. The program is built upon a unique partnership between the criminal justice and drug treatment communities, one which structures treatment intervention around the authority and personal involvement of a single Drug Court Judge.

The Drug Court Teams utilize a group of professionals consisting of the following members:



**Drug Court Judge**  
**County Attorney**  
**Defense Attorney**  
**Probation/Parole Officer**  
**Law Enforcement Representative**  
**Substance Abuse Treatment Provider**

Drug Court is dependent upon the creation of a non-adversarial courtroom atmosphere where a single Judge and dedicated correctional and treatment staff work together toward the common goal of breaking the cycle of drug abuse and criminal behavior. An environment with clear and explicit rules is created and a participant's compliance is within their own control.

The mission of Drug Court is to enhance public safety and benefit the community through a judicially supervised, collaborative effort to more efficiently work with substance abusing offenders, thereby reducing recidivism and associated crime.

<b>Drug Court Totals</b>	
Active on 7/1/21	26
New Admissions	31
Closed	19
Active on 6/30/22	39
Total served FY'22	57

## Iowa Domestic Abuse Program

*Available District-wide*

Iowa law mandates anyone convicted of domestic abuse must complete the Iowa Domestic Abuse Program (IDAP). This program provides group education and treatment for offenders who have either been convicted of domestic abuse charges or who have a pattern of abusive behavior within their relationships. Classes are offered at a variety of times to accommodate offender personal schedules. To complete the program, participants must attend 24 two-hour sessions and satisfactorily complete all homework. In addition, all participants are responsible for paying for their classes.

<b>Iowa Domestic Abuse Program</b>	
Active on 7/1/21	514
New Admissions	344
Closed	298
Active on 6/30/22	536
Total served FY'22	858

## Swift, Certain, and Fair Program

*Available in Black Hawk County*

The Swift, Certain, and Fair (SCF) Program continues to operate in Black Hawk County. The target population includes probation clients with a history of substance abuse issues and non-compliance on supervision. Under the SCF Program, all participants are required to call in daily to see if they are required to report to the Residential Facility for drug testing. In addition, all violations are addressed immediately by the Court.

<b>Swift, Certain, and Fair</b>	
Active on 7/1/21	84
New Admissions	35
Closed	54
Active on 6/30/22	67
Total served FY'22	119

## Mental Health Jail Assessment & Diversion

*Available in Dubuque, Buchanan, Delaware, Bremer, and Black Hawk Counties*

Community Treatment Coordinators in all three Divisions conduct mental health screenings on individuals incarcerated in local county jails to determine if appropriate services exist within the community that would allow the offender a suitable placement alternative in lieu of prison or jail. Through collaboration with multiple community agencies, each individual’s mental health needs are addressed in conjunction with issues related to housing, medications, provider support, and appropriate correctional supervision. The Community Treatment Coordinators may supervise offenders in the community or make referrals to various mental health programs within the Department. The Mental Health Jail Assessment Program has proven to be a valuable asset to the First District in our efforts to more effectively address the needs and issues of mentally ill people in the correctional system.

<b>Mental Health Jail Assessment Programs</b>	<b>Black Hawk County</b>	<b>Bremer County</b>	<b>Buchanan County</b>	<b>Delaware County</b>	<b>Dubuque County</b>
Number of Offenders screened in Fiscal Year 2022	135	7	13	18	122
Number of Offenders released from jail to the community with services provided	95	4	9	10	81
Number of Offenders released from jail to a Residential Care Facility/Crisis Placement	35	0	2	1	34

## Mental Health Re-Entry

*Available in Black Hawk County*

With the growing prevalence of mentally ill people on correctional supervision, the need to offer more rehabilitative options in conjunction with specialized supervision has become necessary. The Department has a total of four Probation/Parole Officers specifically assigned to supervise high-risk/high-need mentally ill offenders in Black Hawk County. These agents supervise male and female offenders on pre-trial, probation, parole, and in the Waterloo Residential Facilities. “Mental Health Re-entry” is a broad term used to identify this offender population.

<b>Mental Health Re-Entry</b>	
Active on 7/1/21	138
New Admissions	141
Closed	117
Active on 6/30/22	166
Total served FY’22	279



## Sex Offender Treatment & Supervision

*Available District-wide*

Program staff for the SOTP unit includes 10 Specialized Probation/Parole Officers located across the District. All sex offenders are supervised at an enhanced level of supervision and are expected to participate in treatment provided by the Department. Various assessments are used to determine individual risk and ascertain treatment needs and goals. Testing instruments such as the polygraph are used to verify information about sexual deviancy patterns and monitor compliance with supervision conditions.

Specialized SOTP Treatment groups using the Good Lives curriculum are conducted by Probation/Parole Officers in the Sex Offender Unit. Supervision of the SOTP unit has been streamlined in order to more efficiently address the complexities of specific laws for sex offenders, sex offender registry issues, increased periods of supervision, electronic monitoring requirements, and the development of more uniform programming across the District.

<b>Sex Offender Treatment &amp; Supervision</b>	
Active on 7/1/21	318
New Admissions	100
Closed	82
Active on 6/30/22	332
Total served FY'22	418

## Electronic Monitoring

*Available District-wide*

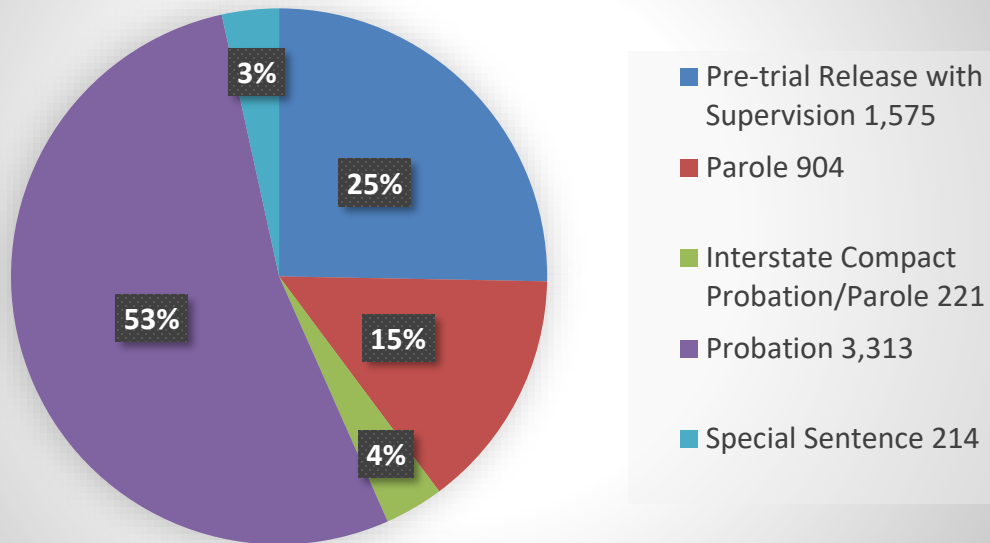
Electronic monitoring is used to enhance offender accountability through the use of sophisticated technology. It is a cost-effective tool used to assist officers in monitoring the whereabouts of offenders in the community. Electronic monitoring is used primarily with sex offenders. As mandated by Iowa law, sex offenders may be required to wear electronic monitoring (EM) devices or global positioning system (GPS) units depending on their crime. The movements of an offender can be tracked by the GPS and viewed via computer by Department staff.

<b>Global Positioning - Satellite</b>	
Active on 7/1/21	81
New Admissions	197
Closed	199
Active on 6/30/22	88
Total served FY'22	278

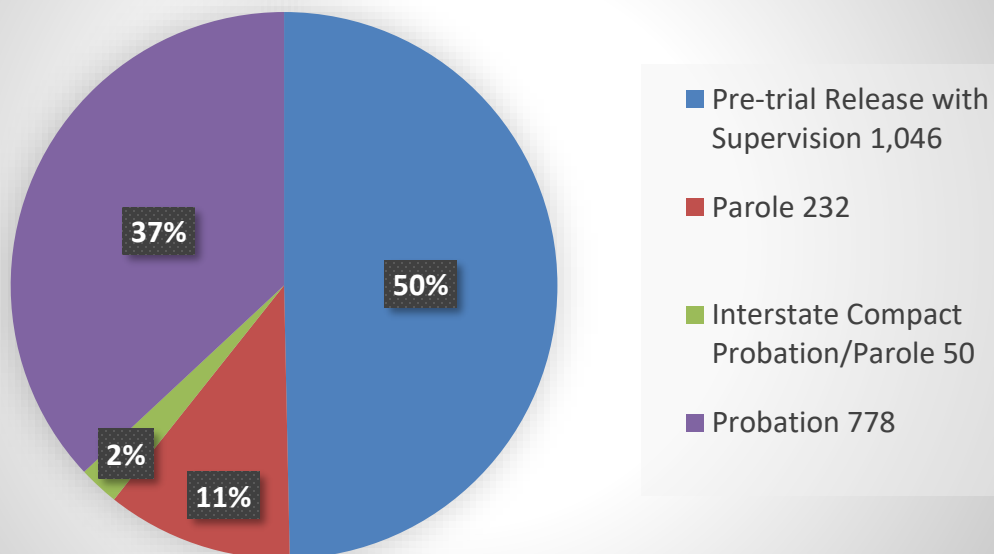
## Field Services Division

The majority of offenders supervised by the Department of Correctional Services are monitored by staff in the Field Services Division. Often referred to as “street supervision” this category typically includes pre-trial supervision, various probation supervision levels, and parole. The following pages include information about the numerous supervision types and services provided by the Field Services Division.

### Offenders Served in Field Services



### Field Services Successful Discharges



## Standard Pre-Trial Supervision

*Available District-wide*

The Department established Pre-Trial Services in 1974. Arrestees are interviewed in local jails and are assessed for their likelihood to be present for future Court appearances and their potential danger to the community. Recommendations to the Court for release may include release on own recognizance, release with supervision, release on bond, or release on bond with supervision. If release with supervision is ordered, the defendant is monitored to assure attendance at Court appearances and compliance with conditions of release. Defendants are supervised until the disposition of their cases or until further Court order.

**Pre-Trial Interviews  
Completed FY '22=1,351**

<b>Standard Pre-trial Supervision</b>	
Active on 7/1/21	555
New Admissions	1,020
Closed	1,194
Successful Completions	1,046
Unsuccessful Completions	21
Administrative Closures	127
Active on 6/30/22	425
Total served FY'22	1,575

## Intensive Pre-Trial Supervision

*Available in Black Hawk County*

An Intensive Pre-Trial Supervision Program was initially established in 1985 to help alleviate jail overcrowding in Black Hawk County. Even after the construction of a new county jail, Intensive Pre-trial Supervision has continued to provide services for high-risk defendants who may otherwise remain incarcerated. The program is a cost-effective alternative to incarceration for people awaiting further Court proceedings in their cases.

**Intensive Pre-Trial  
Interviews  
Completed FY '22=55**

<b>Intensive Pre-trial Supervision</b>	
Active on 7/1/21	166
New Admissions	246
Closed	257
Active on 6/30/22	155
Total served FY'22	412

## Low Risk Probation

*Available District-wide*

The Low Risk Supervision Program was developed to remove the majority of low risk offenders from standard supervision caseloads in order to address high caseloads for standard officers in a cost-effective manner. Offenders participate in a group intake where they are informed of their court-ordered obligations and responsibilities for supervision. Once their obligations are met, they may be eligible for discharge from supervision.

<b>Low Risk Probation</b>	
Active on 7/1/21	952
New Admissions	426
Closed	493
Successful Completions	400
Unsuccessful Completions	29
Administrative Closures	26
Intermediate Sanction	38
Active on 6/30/22	887
Total served FY'22	1,378

## Intensive Probation & Parole—Domestic Violence

*Available in Black Hawk County*

Domestic Violence offenders who have been assessed as high-risk and require more assistance and supervision than those on standard probation or parole are placed on Intensive Supervision. These offenders may be subject to increased contact standards, frequent home visits, curfews, surveillance, and may be required to wear electronic monitoring devices. In addition, these offenders are required to participate in Iowa Domestic Abuse Program groups and regularly report to the Domestic Violence Court.

<b>Intensive Probation &amp; Parole—Domestic Violence</b>	
Active on 7/1/21	76
New Admissions	69
Closed	66
Successful Completions	40
Unsuccessful Completions	20
Administrative Closures	3
Intermediate Sanction	3
Active on 6/30/22	116
Total served FY'22	145

## Probation & Parole

**Probation:** Offenders are placed on probation after being found guilty of a criminal offense. They typically receive a jail or prison sentence which is suspended “with good behavior” and they are then placed on probation. This sentencing option gives offenders an opportunity to correct their behavior while remaining in the community. In order to effectively address criminogenic needs, offenders participate in an assessment process, case planning, and appropriate treatment. Probation Officers monitor compliance with supervision expectations, work with offenders to facilitate behavioral change, and report progress to the Court.

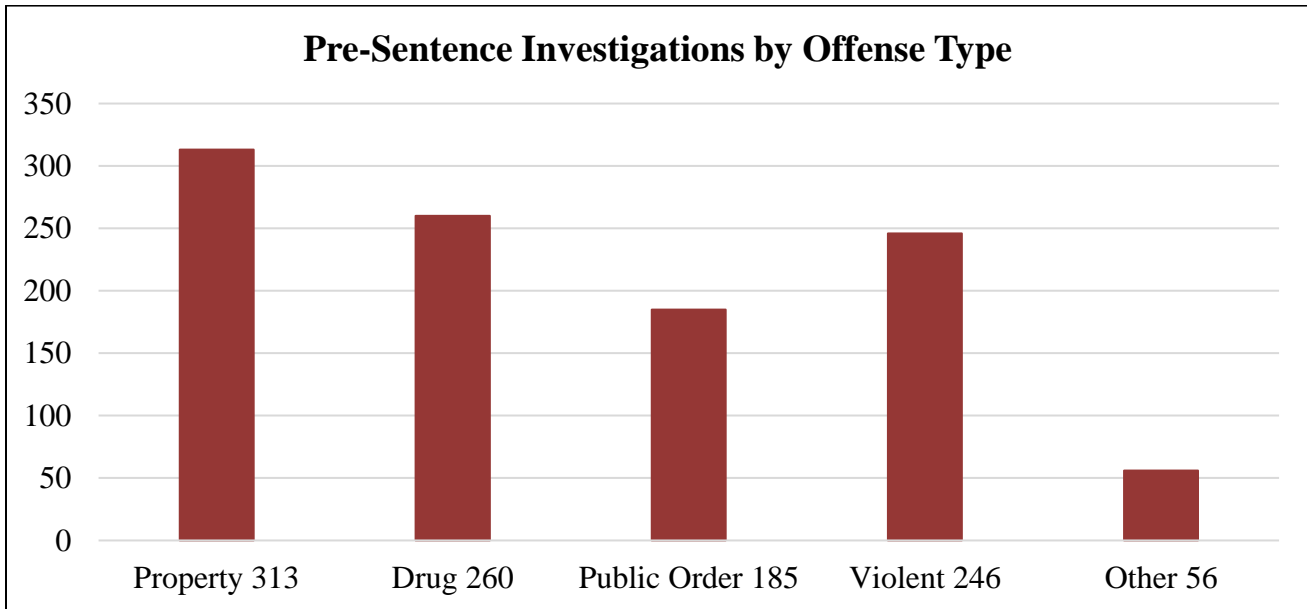
**Parole:** Offenders are granted a parole as authorized by the Iowa Board of Parole either directly out of a state institution or from a Work Release Facility. The 1<sup>st</sup> District Re-Entry Coordinator works with prison counselors and re-entry coordinators to help facilitate a smooth transition from incarceration back to the community. Parole Officers conduct assessments, make referrals for treatment and assistance, facilitate lifestyle changes, and monitor compliance with parole conditions.

<b>Probation (all Specialties included)</b>	
Active on 7/1/21	2,020
New Admissions	1,293
Closed	1,080
Successful Completions	778
Unsuccessful Completions	266
Administrative Closures	36
Active on 6/30/22	2,018
Total served FY'22	3,313

<b>Parole (all Specialties included)</b>	
Active on 7/1/21	563
New Admissions	341
Closed	427
Successful Completions	232
Unsuccessful Completions	107
Administrative Closures	6
Intermediate Sanction	82
Active on 6/30/22	499
Total served FY'22	904

## Pre-Sentence Investigations

The Pre-Sentence Investigation (PSI) is a detailed report provided to the Court prior to sentencing. Because the Court has considerable discretion in most cases, it relies on the report to provide an accurate and objective description of the individual and their background. The report includes details regarding an individual’s criminal history, substance abuse issues, social history, family, education, employment, and other pertinent information. Also included are proposed correctional and treatment plans to meet the needs of the offender and safeguard the public. Finally, a sentencing recommendation from the Department is made to the Court. The Pre-Sentence Investigation report also provides valuable information to other correctional staff who later supervise the offender.



Pre-Sentence Investigations by Offense Class		
Offense Class	Total	Percentage
A Felony	3	0.3%
B Felony	43	4.1%
C Felony	228	21.5%
D Felony	580	54.7%
Felony - Enhancement to Original Penalty	61	5.8%
Aggravated Misdemeanor	114	10.8%
Serious Misdemeanor	27	2.5%
Simple Misdemeanor	2	0.2%
Other	2	0.2%
<b>Total/Percent</b>	<b>1,060</b>	<b>100%</b>

Pre-Sentence Investigations by Form Type		
Form Type	PSI Count	Percentage
Long	310	29.2%
Short	721	68.0%
Pre Plea	29	2.8%
<b>Total/Percent</b>	<b>1,060</b>	<b>100%</b>

## First District Residential Facilities: Dubuque, Waterloo, & West Union

Residential Supervision is provided in all four facilities for the following types of offenders:

- ❖ Work Release
- ❖ Operating While Intoxicated/321.J
- ❖ Probation
- ❖ Federal
- ❖ Direct Sentence/Alternative Jail Site
- ❖ Interstate Compact
- ❖ Parole
- ❖ Pre-trial Release

Offenders are committed to Residential Facilities either by Court order, through the Corrections Continuum, or as ordered by the Iowa Board of Parole. In addition, Federal residents may be housed in residential facilities via a contract with the United States Bureau of Prisons. Offenders may be placed in facilities on several different legal statuses such as pre-trial, probation, parole, work release, and federal.

First District Facilities provide safe and secure environments which are conducive to positive offender change. Offenders progress through facility programs via a level system, whereby privileges are gained through compliance with program rules and treatment objectives. Programming is individually tailored to meet offender needs and may include securing and maintaining employment, participating in an education program, performing community service work, substance abuse and mental health counseling, and cognitive-behavioral treatment. Probation/Parole Officers assist offenders with money management to ensure they meet their financial obligations including victim restitution and child support. Facility residents also pay rent to the facility, which helps defray the costs associated with their stay.

Program Utilization Summary (R & VC Beds)	
Active on 7/1/21	238
New Admissions	924
Closed	635
Active on 6/30/22	311
Total Served FY'22	1,162

**New admissions –  
1<sup>st</sup> District Facilities  
Fiscal Year 22: 924**

**Total Bed Capacity=323  
Male=252  
Female=71**

Average Daily Population	
Waterloo Residential Facility	98.58
Waterloo Women’s Center for Change	29.54
Dubuque Residential Facility	72.76
West Union Residential Facility	51.92
Total	252.80

## First District Residential Facilities



**Waterloo Women's Center for Change, Bed Capacity=45, Females Only**



**West Union Residential Facility  
Bed Capacity=48  
Male=40, Female=8**



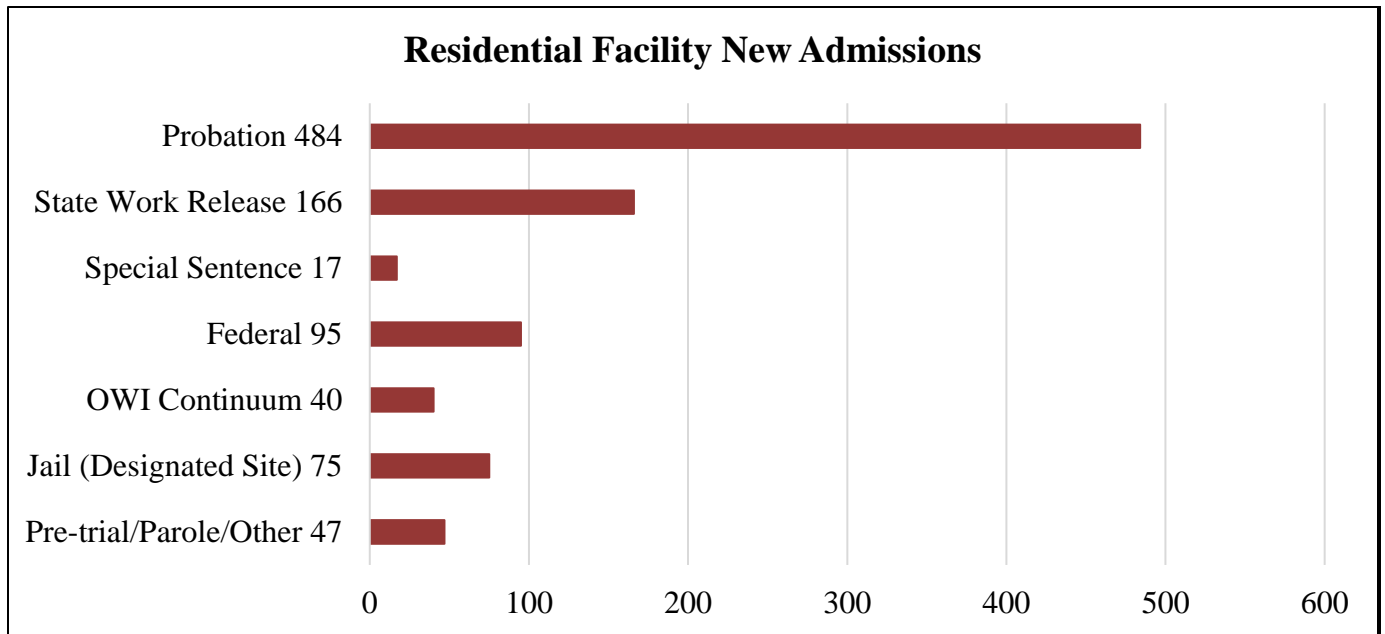
**Dubuque Residential Facility  
Bed Capacity=80  
Male=62, Female=18**



**Waterloo Residential Facility, Bed Capacity=150, Males Only**



## First District Residential Facilities



## Community Service Work

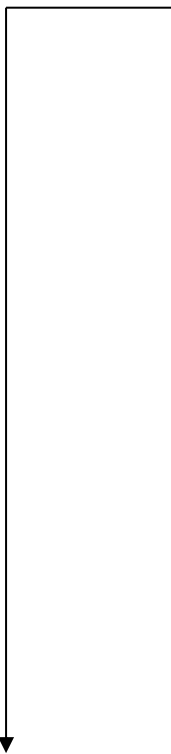
*Available District-wide*

The 1<sup>st</sup> District is committed to helping restore harm done to the many victims in our communities. One method used to help accomplish this goal is for offenders to perform community service work in our neighborhoods. While this labor certainly benefits the community, it can also provide opportunities for offenders to learn job skills and develop a sense of responsibility to society. There are several options for how and why community service work is ordered and incorporated into programming. These may include:

- **Community Service Sentencing:** Offenders are ordered by the Court to perform community service work as part of their probation conditions.
- **Community Service In Lieu of Payment for Court Fees:** Offenders may perform community service work in lieu of paying selected court fees, if approved by the Court. Community service work cannot be used to repay any victim damages or victim restitution.
- **Community Service as an Intermediate Sanction:** Offenders may be ordered by the Court or the Corrections Continuum Committee to perform community service work as a penalty for violations of supervision.
- **Community Service as a Component of the Level System:** Offenders may be required to perform community service work in order to advance through the Residential Facility Level System, which determines eligibility for furloughs and successful discharge from the facility.

## FY '22 Financial Information

TOTAL REVENUES BY SOURCE	FY 2022	FY 2021	% Change
State	15,553,865	15,219,261	2%
Federal	1,208,717	1,541,955	-22%
County	529,396	465,339	14%
Offender Fees (Detailed Below)	2,100,509	1,791,337	17%
Iowa Domestic Abuse Program Support	202,219	180,219	12%
Intra-State Transfers	0	995,482	-100%
Interest	8,095	6,006	35%
Other	28,636	23,490	22%
Previous Fiscal Year Carryover	1,123,366	869,350	29%
<b>TOTAL</b>	<b>20,754,803</b>	<b>21,092,439</b>	<b>-2%</b>



Offender Fees Collected	FY 2022	FY 2021	% Change
Residential Rent	1,442,740	1,139,142	27%
Residential Day Reporting Fees	168,611	131,689	28%
Supervision Fees	437,864	458,100	-4%
Program Fees	51,294	62,406	-18%
<b>TOTAL</b>	<b>2,100,509</b>	<b>1,791,337</b>	<b>17%</b>

## FY '22 Financial Information

Expenditures by Cost Center	FY 2022	FY 2021	% Change
Salaries & Benefits	17,334,281	17,906,090	-3%
Travel & Subsistence	75,181	50,959	48%
Supplies	319,186	325,826	-2%
Contractual Services	1,996,988	1,348,106	48%
Equipment & Repairs	506,937	277,625	83%
Debt Reduction & Insurance	64,597	60,465	7%
<b>TOTAL</b>	<b>20,297,170</b>	<b>19,969,072</b>	<b>2%</b>

Expenditures by Major Cost Center	FY 2022	FY 2021	% Change
Administration **	2,084,225	2,019,973	3%
Probation/Parole	4,507,308	5,079,802	-11%
Residential Services	9,668,211	7,979,613	21%
Special Projects (detailed below)	4,037,426	3,894,203	4%
COVID	0	995,482	-100%
<b>TOTAL</b>	<b>20,297,170</b>	<b>19,969,073</b>	<b>2%</b>

**\*\*First District Administration directs, manages, establishes policies and procedures, and oversees all community based district operations including: strategic planning, budgeting, personnel, technology, fiscal management and accountability, offender services, program development, and contracted services.**

<b>FY '22 EXPENDITURES BY SPECIAL PROJECT BY REVENUE SOURCE</b>	State	Federal, Local, Or Other	Total
Probation/Parole/Pre-trial Intensive	105,305	115,669	220,974
Sex Offender Treatment	1,301,105	161,074	1,462,179
Mental Health	370,851	288,886	659,737
Iowa Domestic Abuse Program	0	383,580	383,580
Drug Courts	332,834	0	332,834
Re-Entry	567,017	0	567,017
Jail Assessment	0	332,271	332,271
Swift, Certain, and Fair	0	78,834	78,834
<b>TOTAL</b>	<b>2,677,112</b>	<b>1,360,314</b>	<b>4,037,426</b>

## Supplementary Statistical Information

<b>1<sup>st</sup> District Field Services by Supervision Status FY22</b>					
<b>Supervision Status</b>	<b>Active on 7-1-21</b>	<b>New</b>	<b>Closures</b>	<b>Active on 6-30-22</b>	<b>Offenders Served</b>
Interstate Compact Parole	35	13	19	27	48
Interstate Compact Probation	102	71	65	109	173
Parole	563	341	427	499	904
Pretrial Release With Supervision	555	1,020	1,194	425	1,575
Probation	2,020	1,293	1,080	2,018	3,313
Special Sentence	171	43	44	183	214
Other	3	17	4	3	20
<b>District Total</b>	<b>3,449</b>	<b>2,798</b>	<b>2,833</b>	<b>3,264</b>	<b>6,247</b>

<b>1<sup>st</sup> District Field Services by Supervision Status and Reason for Change FY22</b>					
<b>Supervision Status</b>	<b>Administrative</b>	<b>Intermediate Sanction</b>	<b>Successful</b>	<b>Unsuccessful</b>	<b>Totals</b>
Interstate Compact Parole	9	0	10	0	19
Interstate Compact Probation	25	0	40	0	65
Parole	6	82	232	107	427
Pretrial Release With Supervision	127	0	1,046	21	1,194
Probation	36	0	778	266	1,080
Special Sentence	6	9	16	13	44
Other	0	0	2	2	4
<b>Totals:</b>	<b>209</b>	<b>91</b>	<b>2,124</b>	<b>409</b>	<b>2,833</b>

## Supplementary Statistical Information

<b>1<sup>st</sup> Judicial District Region Specialties FY22</b>					
<b>Specialty</b>	<b>Active on 7-1-21</b>	<b>New Admits</b>	<b>Active on 6-30-22</b>	<b>Offenders Served</b>	<b>Closures</b>
Day Reporting - Residential	63	276	116	339	227
Domestic Abuse Supervision	76	69	80	145	66
Drug Court Supervision	40	63	48	103	61
Federal BOP	17	63	27	80	53
Federal – Direct Home Confinement	3	14	6	17	11
Federal Public Law	7	44	9	51	42
Global Positioning - Satellite	81	197	88	278	199
Home Confinement - Federal Offender	4	13	2	17	15
Jail (Designated Site)	4	180	11	184	173
Low Risk Probation	952	426	887	1,378	493
Mental Health Re-Entry	138	141	166	279	117
One Stop Re-Entry	2	0	2	2	0
OWI Pre-Placement	7	46	7	53	46
PTR – Level 2	7	7	4	14	10
PTR – Level 3	559	799	398	1,358	965
PTR – Level 4	185	272	175	457	282
SCRAM (Secure Continuous Remote Alcohol Monitor)	1	0	1	1	0
Sex Offender	508	392	569	900	354
<b>District Total Specialties</b>	<b>2,654</b>	<b>3,002</b>	<b>2,596</b>	<b>5,656</b>	<b>3,114</b>

## 1<sup>st</sup> District ~ Quick Facts as of June 30, 2022

### About Employees~

**Number of Employees: 174**

1 <sup>st</sup> District Staff Diversity Profile	Percentage
Women	50.5%
People of Color	11.5%

### About 1<sup>st</sup> District Facilities~

Facility Locations & Capacity	Men	Women
Dubuque	62	18
West Union	40	8
Waterloo	150	45
<b>Totals</b>	<b>252</b>	<b>71</b>

**New admissions to  
1<sup>st</sup> District Facilities  
in FY22=924**

**Successful Supervision  
Discharges in  
FY22=2,124**

### About Offenders~

Number of Offenders on 6-30-22	
Field Services	3,264
Residential Facilities	311
<b>Total</b>	<b>3,575</b>

**Field Services  
Offenders Served in  
FY22=6,247**

Race/Ethnic Origin	Percentage
White	74.7%
Black	25.2%
Other	0.1%
<b>Total</b>	<b>100%</b>

**Pre-Sentence  
Investigations Completed  
in FY22=1,060**

Gender	Percentage
Male	76.1%
Female	23.9%
<b>Total</b>	<b>100%</b>

## Intern/Volunteer Services

The Department partners with local colleges and universities to provide students with an opportunity to complete internships or volunteer work experience in community-based corrections. Numerous Department employees were interns/volunteers before being hired.

### **Benefits to the student:**

- Practical experience will help with career planning and decisions.
- Provides an opportunity to learn and practice new skills.
- Increases their knowledge and enhances their chances of obtaining employment in the corrections field.
- Networking opportunities with professionals in the corrections field.

### **Benefits to the Department:**

- Develops a more experienced employee applicant pool.
- Improves the employee selection process by providing an opportunity to observe potential employees in the work environment.
- Assists Department staff with job tasks which may be performed in a supervised capacity.
- Gives Department staff an opportunity to help students grow and learn.

### ***Intern/Volunteer hours performed during FY '22:***

*Western Division=1,802*

*Eastern Division=1,881*

*Northeastern Division=140*

## Special Recognitions



Mike Ryan was hired with the 1<sup>st</sup> Judicial District on March 25, 1988 as a Probation/Parole Officer I in Independence. In September 1989 he was promoted to a Probation/Parole Officer II and in August 2014 promoted to the Division Manager. Mike has devoted over 33 years with the Department and will be retiring on July 22, 2021. Congratulation and Best Wishes!



Mary Taylor was hired with the 1<sup>st</sup> Judicial District on September 2, 1979 as a Part Time Clerk Typist at the Dubuque Probation/Parole Office. In October 1979 she was moved to Full Time and in March 1980 she was re-classified to a Secretary. Mary has devoted almost 42 years with the Department and will be retiring on August 31, 2021. Congratulation and Best Wishes!



Lisa Hoftender was hired with the 1<sup>st</sup> Judicial District on July 13, 1990 as a Residential Advisor at the Dubuque Residential Facility. In November 1995 she was promoted to Residential Counselor, which in June of 1999 was reclassified to a Probation/Parole Officer II. In August 2014 she transferred to the Dubuque Field Services office and has spent the last 7 years of her career there. Lisa has devoted over 31 years with the Department and will be retiring on September 30, 2021. Congratulations and Best Wishes!





Kyle Stewart was hired with the 1<sup>st</sup> Judicial District on April 8, 1984 as a Facility Technician at the Dubuque Residential Facility. In June 1985 he was promoted to a Facility Officer, which would be reclassified to a Residential Advisor in 1987. In June 1992 he was promoted to Probation/Parole Officer I and over the past 30 years, he has served in the role of Probation/Parole Officer II, Probation/Parole Supervisor, and Community Treatment Coordinator. Kyle has devoted over 37 years with the Department and will be retiring on October 1, 2021. Congratulations and Best Wishes!



William Tate was hired with the 1<sup>st</sup> Judicial District on March 18, 1991 as a Probation/Parole Officer I for the Western Division. In September 1992 he was promoted to Probation/Parole Officer II and in February 1996 to Probation/Parole Officer III. Bill has devoted over 30 years with the Department and will be retiring on December 31, 2021. Congratulations and Best Wishes!



Michael Roquet was hired with the 1<sup>st</sup> Judicial District on November 17, 1985 as a Part-Time Facility Technician. On April 6, 1986 he was promoted to Facility Officer, which in June 1987 was reclassified to a Residential Advisor. In August 1991 he was promoted to a Probation/Parole Officer I, February 1993 promoted to Probation/Parole Officer II, and in May 2014 he was promoted to a POIII. Michael has devoted over 36 years with the Department and will be retiring on December 31, 2021. Congratulations and Best Wishes!



Michael Schwab was hired with the 1<sup>st</sup> Judicial District on January 4, 1991 as a Residential Advisor at the Waterloo Residential Facility. In February 2008 he was promoted to Probation/Parole Officer II. Michael has devoted over 31 years with the Department and will be retiring on February 3, 2022. Congratulations and Best Wishes!



Brian Baker was hired with the 1<sup>st</sup> Judicial District on July 24, 1992 as a Residential Advisor at the Waterloo Residential Facility. In February 1999 he was promoted to Probation/Parole Officer II. Brian has devoted over 29 years with the Department and will be retiring on March 31, 2022. Congratulations and Best Wishes!



Dwayne Price was hired with the 1<sup>st</sup> Judicial District on January 30, 1987 as a Facility Officer Trainee. In June 1987 the positions was reclassified to Residential Advisor. In May 1998 he was promoted to a Probation/Parole Officer II. On April 8, 2008 he was promoted to a Probation/Parole Officer III. Dwayne has devoted over 35 years with the Department and will be retiring on April 22, 2022. Congratulations and Best Wishes!



Kathy Flaucher was hired with the 1<sup>st</sup> Judicial District on July 28, 1995 as a Secretary I. In June 2003 the position was reclassified to a Secretary position. Kathy has devoted over 26 years with the Department and will be retiring on May 31, 2022. Congratulations and Best Wishes!



Pamela Todd was hired with the 1<sup>st</sup> Judicial District on April 27, 1988 as a Probation/Parole Officer I. In October 1989, she was promoted to Probation/Parole Officer II, and in February 1991 was promoted to Probation/Parole Officer III. In 1996 she voluntarily began working as a Probation/Parole Officer II, and in December 2019 she was promoted to Community Treatment Coordinator. Pamela has devoted over 34 years with the Department and will be retiring on June 30, 2022. Congratulations and Best Wishes!

# Annual Report

## Fiscal Year 2022

This completes the FY '22 annual report.

It has been placed on the First District website: [www.FirstDCS.com](http://www.FirstDCS.com)

The home page of the website has information to phone or e-mail questions or comments regarding this annual report.

