



PRISON RAPE ELIMINATION ACT ANNUAL REPORT CY 2023

IOWA DEPARTMENT OF CORRECTIONS

www.idoc.gov.ia

Tel: (515) 725 5701
510 E 12th Street,
Des Moines, IA 50131



TABLE OF CONTENTS

Trauma Informed Reading _____	3
Purpose _____	4
Background _____	5
Definitions _____	6
2023 Achievements _____	8
Training and Education _____	8
Audits and Compliance _____	8
Governor’s Certification _____	8
IDOC Sexual Abuse Data & Comparison _____	9
IDOC Data Collection Capabilities _____	9
IDOC CY 2022 - 2023 Data Comparison _____	9
Sexual Violence Data by Prison for CY2023 and Five-Year Comparison _____	12
Anamosa State Penitentiary _____	12
Iowa State Penitentiary _____	13
Iowa Correctional Institution For Women _____	14
Fort Dodge Correctional Facility _____	15
North Central Correctional Facility _____	16
Clarinda Correctional Facility _____	17
Newton Correctional Facility _____	18
Mount Pleasant Correctional Facility _____	19
Iowa Medical Classification Center _____	20
The Way Forward _____	21
Reporting Sexual Violence _____	22

TRAUMA INFORMED READING

The pages of this report discuss incidences of sexual violence. While no graphic language is used the information may be distressing for those who have experienced, or know someone who has experienced, sexual violence.

PURPOSE

The Prison Rape Elimination Act (PREA) requires an agency to collect and review data to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices and training. The data collected should include identifying problem areas, identifying ongoing corrective action taken by the agency and each of its institutions, and comparative data from prior years to assess the agency's progress in addressing sexual abuse (Standard 115.88 (a) (b)).

This Annual PREA Report is prepared and published pursuant to PREA Standard 115.88 and provides information and comparison for calendar years 2013 through 2023.

Report compiled by:

Deanne Krumm
IDOC PREA Coordinator

Report approved by:



Dr. Beth Skinner
Director IDOC

BACKGROUND

On September 4, 2003, President George W. Bush signed the Prison Rape Elimination Act of 2003 (P.L. 108-79) (PREA) into law. The purpose of the act was to “provide for the analysis of the incidence and effects of prison rape in Federal, State, and local institutions and to provide information, resources, recommendations and funding to protect individuals from prison rape”. PREA seeks to establish a “zero tolerance” policy regarding rape, sexually abusive behavior, and sexual harassment in federal, state, and local correctional systems. PREA also mandated the publication of standards to ensure compliance and improve detection and response strategies in addressing sexual abuse and assault.

In August 2012, the United States Department of Justice (DOJ) issued the final PREA standards for: Prisons and Jails, Lockups, Community Confinement Facilities and Juvenile Facilities. These standards required DOJ audits of all facilities under the agency’s operational control along with the Governor’s certification of statewide compliance in all facilities operated under the operational control of the State’s executive branch. The first 3-year audit cycle began in August 2013. Failure to comply with the standards would result in a loss of 5 percent of identified federal grant funding.

September 4, 2023, marked the 20th Anniversary of the passage of the Prison Rape Elimination Act. PREA and the national standards that followed transformed how correctional agencies across the nation handled sexual abuse within their facilities, including the Iowa Department of Corrections (IDOC). IDOC policies were introduced to reflect the PREA standards and implement them into IDOC daily operations.

20 years on, the IDOC maintains a zero-tolerance policy to sexual abuse and continues to work daily to ensure our facilities continue to implement the standards to prevent, detect and respond to incidents of sexual abuse. Sexual abuse remains an issue in our facilities and the work you all do is imperative to ensuring we continue to identify and eliminate contributing factors to enhance the safety of our populations safe, while providing a robust response to appropriately investigate and sanction perpetrators.

DEFINITIONS

Offender on Offender Non-Consensual Acts

Offender on Offender Non-Consensual Acts are the most serious victimizations and include:

- Contact between the penis and the vulva or the penis and the anus, including penetration, however slight.
- Contact between the mouth and the penis, vulva, or anus.
- Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

Offender on Offender Abusive Sexual Contact

Offender on Offender Abusive Sexual Contact is less serious and includes intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person. Incidents in which the contact was incidental to a physical altercation are excluded.

Offender on Offender Precursor Behavior / Sexual Harassment

Offender on Offender Precursor Behavior / Sexual Harassment by another inmate includes:

- Repeated and unwelcome sexual advances.
- Requests for sexual favors
- Verbal comments, gestures, or actions of a derogatory or offensive sexual nature.

Offender on Offender Retaliation

Offender on Offender Retaliation occurs when an inmate harms or intimidates a person who has reported sexual abuse and assault – or attempts to do so – in response to the report.

Staff Sexual Misconduct

Staff Sexual Misconduct includes any consensual or non-consensual behavior or act of a sexual nature directed toward an inmate by staff, including romantic relationships. Such acts include:

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire.
- Completed, attempted, threatened, or requested sexual acts.

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

Staff Sexual Harassment

Staff Sexual Harassment includes repeated verbal comments or gestures of a sexual nature to an inmate by staff. Such statements include:

- Demeaning references to an inmate's sex or derogatory comments about his or her body or clothing.
- Repeated profane or obscene language or gestures.

Staff Retaliation

Staff Retaliation occurs when a staff member injures, harms, or intimidates a person who has reported sexual abuse and assault – or attempts to do so – in response to the report.

Investigative Findings

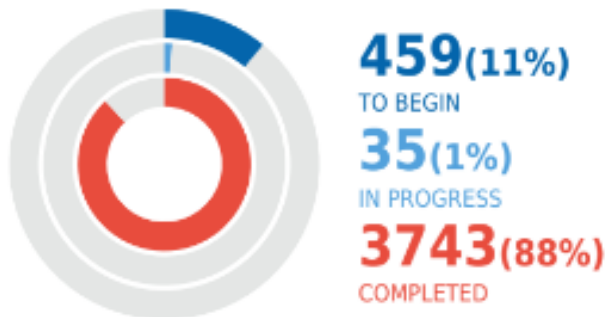
All investigations of detainee sexual abuse and/or assault relating to conduct in an IDOC facility are closed by the Division of Investigative Services with a finding of *substantiated*, *unsubstantiated*, or *unfounded*.

- A substantiated allegation is an allegation that was investigated and determined to have occurred.
- An unsubstantiated allegation is an allegation that was investigated, and the investigation produced insufficient evidence to make a final determination as to whether, or not, the event occurred.
- An unfounded allegation is an allegation that was investigated and determined not to have occurred.

2023 ACHIEVEMENTS

Training and Education

- All new IDOC employees completed initial PREA training.
- 88% of all IDOC employees completed annual PREA refresher training for FY23 (CY reporting was not available).



- 16 employees completed Sexual Violence Investigator training to enhance institutional response to reports of sexual violence.
- 23 employees completed Qualified Staff Support Person training to aid in advocacy response.

Audits and Compliance

- One third of IDOC's institutional facilities were audited in 2023 pursuant to DOJ requirements. All facilities achieved full compliance. Audit reports can be accessed via the links below.
 - [Iowa Medical Classification Center](#) - fully compliant, all standards met.
 - [Newton Correctional Facility](#) - fully compliant, all standards met.
 - [North Central Correctional Facility](#) - fully compliant, all standards met.
- All prior audit reports for all IDOC facilities can be found on the [IDOC website](#).

Governor's Certification

- Upon completion of audit year one of the fourth audit cycle, all the IDOC institutions under operational control of the State of Iowa Executive Branch, Office of the Governor, have met the requirements of all PREA standards and are fully certified. The Governor's certificate of compliance can be viewed via the link below.
 - [Governor's Certificate of Compliance](#)

IDOC SEXUAL ABUSE DATA & COMPARISON

IDOC Data Collection Capabilities

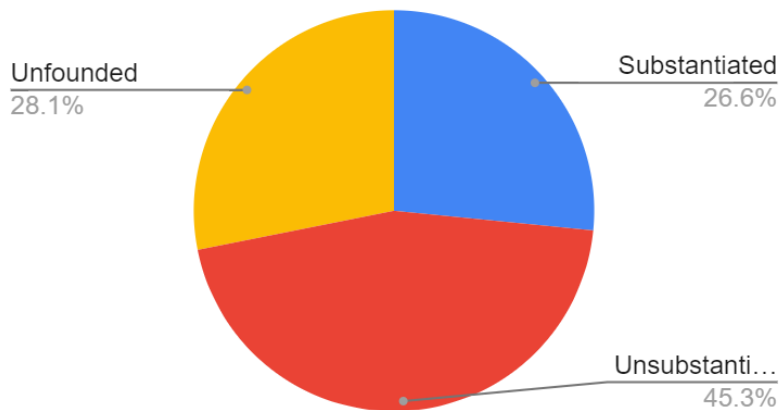
IDOC designed a PREA database to collect and record a uniformed set of data (and definitions) for each allegation investigated at every facility. PREA standard § 115.87 requires collecting, at a minimum, the data necessary to answer questions contained in annual “Survey of Sexual Violence” reports conducted by the DOJ. The IDOC’s PREA database was, in part, designed to provide the agency with that capability.

IDOC CY 2022 – 2023 Data Comparison

The following charts reflect aggregated comparative data from 2022 and 2023, absent any allegations investigated that were determined not to constitute a PREA violation pursuant to PREA Standard 115.6. Additionally, a comparative data graph is also provided to show sexual violence trends for the past five years (2019 through 2023).

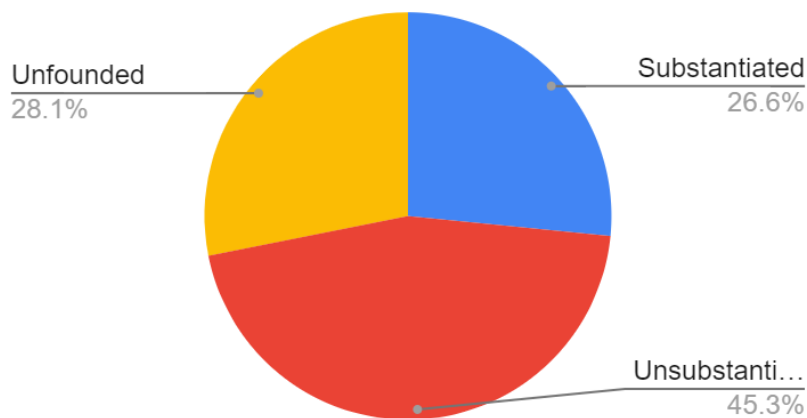
IDOC SEXUAL VIOLENCE INVESTIGATIONS PRISON TOTALS FOR CY2023				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	17	29	18	64
Offender-on-Offender Non-Consensual Acts	1	13	11	25
Offender-on-Offender Precursor Behavior	33	67	33	133
Offender-on-Offender Retaliation	2	7	2	11
Staff Sexual Harassment	0	4	11	15
Staff Sexual Misconduct	5	8	11	24
Staff Retaliation	0	0	0	0
Totals	58	128	86	272

2023

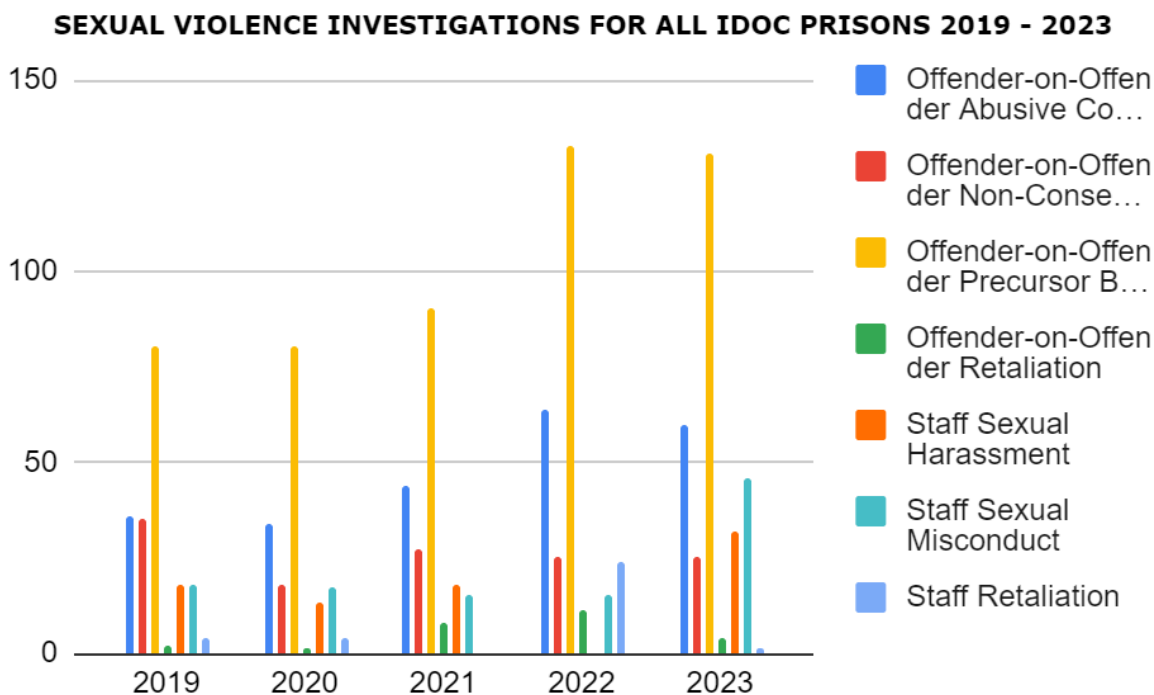


IDOC SEXUAL VIOLENCE INVESTIGATIONS PRISON TOTALS FOR CY2022				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	11	23	22	56
Offender-on-Offender Non-Consensual Acts	2	12	9	23
Offender-on-Offender Precursor Behavior	25	69	26	120
Offender-on-Offender Retaliation	1	2	1	4
Staff Sexual Harassment	6	7	16	29
Staff Sexual Misconduct	6	9	24	39
Staff Retaliation	0	1	0	1
Totals	51	123	98	272

2022



The total number of PREA investigations remained constant from 2022 to 2023 at 272 cases. The number of **substantiated** allegations increased from 51 in 2022 to 58 in 2023 (13.7 %). The number of **unsubstantiated** allegations increased from 123 to 128 (4%). The number of **unfounded** allegations decreased from 98 in 2022 to 86 in 2023 (-12.2%).



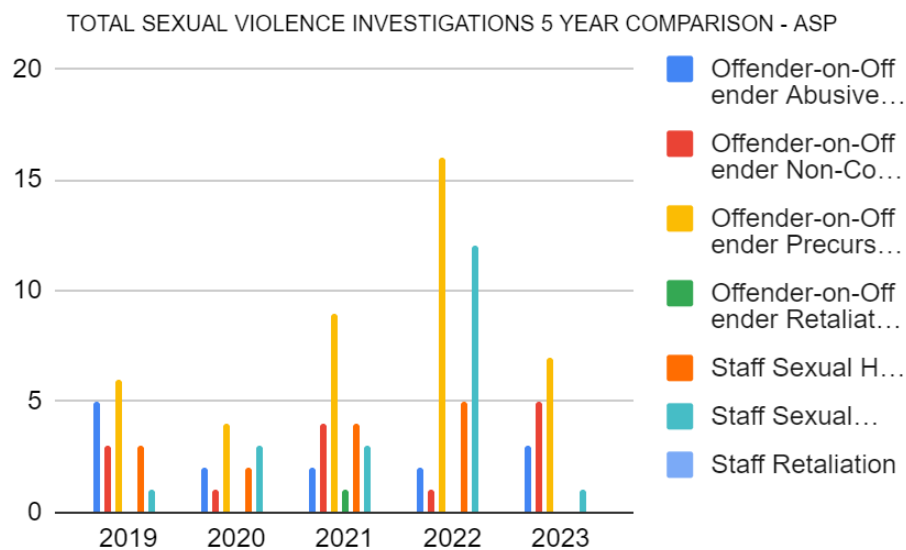
While the total number of PREA investigations has increased over the past five years, this is not necessarily indicative of increased instances of sexual violence but of an enhanced reporting culture and IDOC's commitment to investigating all reported allegations of sexual violence. This is supported by BJS data which shows that, in 2011, before the national standards were issued there were 8,768 allegations of sexual violence nationwide. By the end of 2015, that total had increased to 24,661, an increase of more than 180% which was attributed to greater reporting. Investigation numbers remaining constant from 2022 to 2023 may indicate stabilization in reporting, combined with more robust investigations due to the continued enhancement of IDOC's sexual violence investigators.

Sexual Violence Data by Prison for CY2023 and Five-Year Comparison

Anamosa State Penitentiary

ASP is a maximum security prison for men located in the Jones County community of Anamosa, Iowa - approximately 25 miles northeast of Cedar Rapids, Iowa. It has a capacity to house 908 individuals, the average population for FY23 was 945.21.

CY 2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS – ASP				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	1	1	1	3
Offender-on-Offender Non-Consensual Acts	1	3	1	5
Offender-on-Offender Precursor Behavior	2	2	3	7
Offender-on-Offender Retaliation	0	0	0	0
Staff Sexual Harassment	0	0	0	0
Staff Sexual Misconduct	1	0	0	1
Staff Retaliation	0	0	0	0
Totals	5	6	5	16



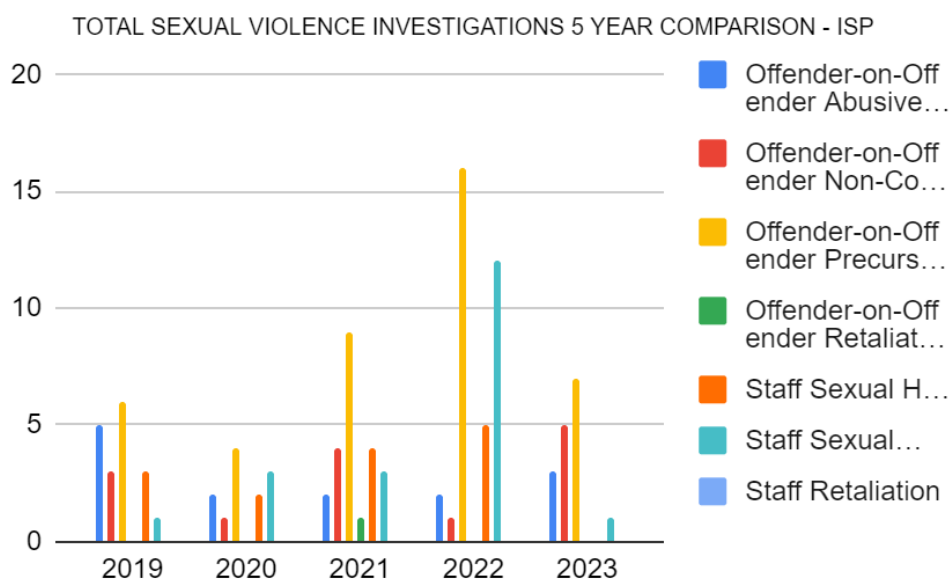
ASP Achievements / Challenges

- Three additional sexual violence investigators trained.
- Ongoing issues related to lack of cameras or poor camera placement, were able to resolve some issues by adding new cameras. This has enhanced observation in some areas that were previously unable to be viewed, additional cameras still required to resolve all areas of concern.
- Issues related to housing of transgender population are ongoing to due physical plant constraints.

Iowa State Penitentiary

ISP is a maximum security prison for men located in the Lee County community of Fort Madison, Iowa. It has a capacity to house 612 individuals, the average population for FY23 was 727.32.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS – ISP				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	1	1	1	3
Offender-on-Offender Non-Consensual Acts	1	3	1	5
Offender-on-Offender Precursor Behavior	2	2	3	7
Offender-on-Offender Retaliation	0	0	0	0
Staff Sexual Harassment	0	0	0	0
Staff Sexual Misconduct	1	0	0	1
Staff Retaliation	0	0	0	0
Totals	5	6	5	16



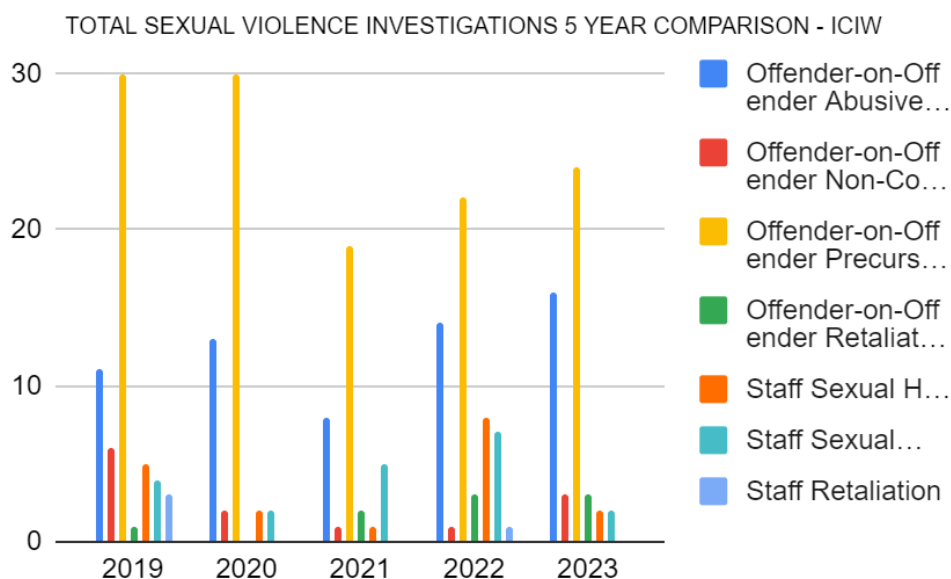
ISP Achievements / Challenges

- Implemented frequent meetings with institutional key stakeholders to stay abreast of any potential physical plant or technology issues that may impact PREA compliance, and to review current sexual violence investigations.
- Four additional sexual violence investigators trained.
- Some issues encountered due to false allegations being made by a small percentage of the population.

Iowa Correctional Institution for Women

ICIW is a minimum and medium security prison for women located in the Polk County community of Mitchellville, Iowa. ICIW serves as the reception and classification center for females sentenced to prison in Iowa. It has a capacity to house 774 individuals, the average population for FY23 was 664.58.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - ICIW				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	9	4	3	16
Offender-on-Offender Non-Consensual Acts	0	0	3	3
Offender-on-Offender Precursor Behavior	3	15	6	24
Offender-on-Offender Retaliation	1	2	0	3
Staff Sexual Harassment	0	1	1	2
Staff Sexual Misconduct	0	2	0	2
Staff Retaliation	0	0	0	0
Totals	13	24	13	50



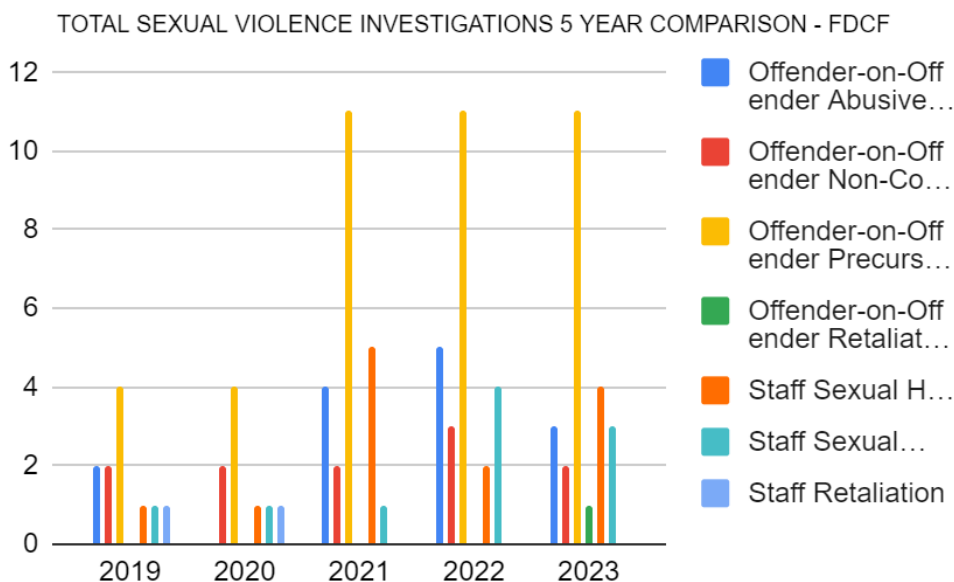
ICIW Achievements / Challenges

- A new PREA Compliance Manager was appointed, and it took some time for the new incumbent to acclimate to the position due to the knowledge gap.
- Two additional sexual violence investigators trained.
- Moving forward would like to see more standardizations across the department for compliance requirements (such as bulletin boards) so expectations are known.

Fort Dodge Correctional Facility

FDCF is a medium security prison for men located in the Webster County community of Fort Dodge, Iowa. It has a capacity to house 1162 individuals, the average population for FY23 was 1163.11.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - FDCF				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	1	1	1	3
Offender-on-Offender Non-Consensual Acts	0	1	1	2
Offender-on-Offender Precursor Behavior	3	7	1	11
Offender-on-Offender Retaliation	1	0	0	1
Staff Sexual Harassment	0	2	2	4
Staff Sexual Misconduct	0	2	1	3
Staff Retaliation	0	0	0	0
Totals	5	13	6	24



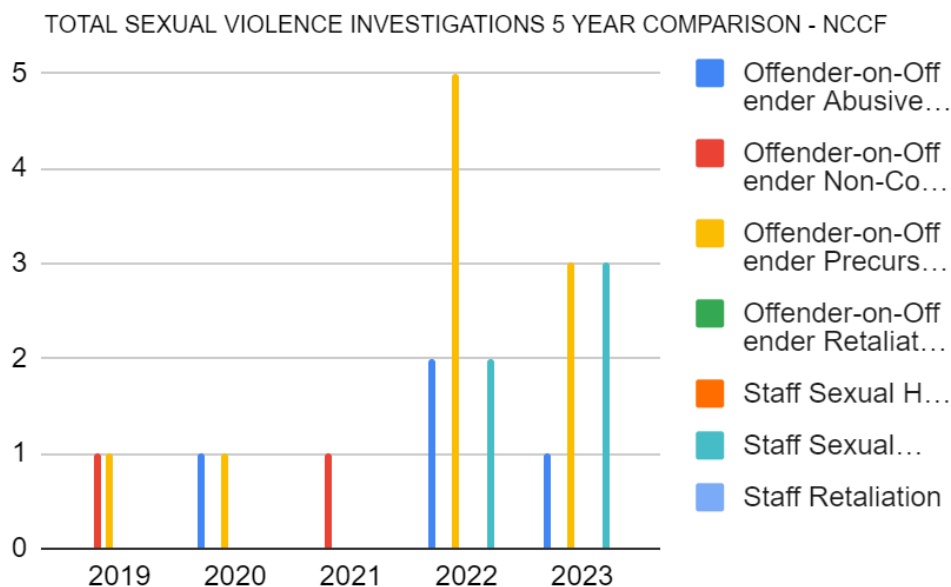
FDCF Achievements / Challenges

- New PREA Compliance Manager appointed.

North Central Correctional Facility

NCCF is a minimum security prison for men located in the Calhoun County community of Rockwell City, Iowa. It has a capacity to house 244 individuals, the average population for FY23 was 464.79.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - NCCF				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	0	0	1	1
Offender-on-Offender Non-Consensual Acts	0	0	0	0
Offender-on-Offender Precursor Behavior	0	2	1	3
Offender-on-Offender Retaliation	0	0	0	0
Staff Sexual Harassment	0	0	0	0
Staff Sexual Misconduct	1	1	1	3
Staff Retaliation	0	0	0	0
Totals	1	3	3	7



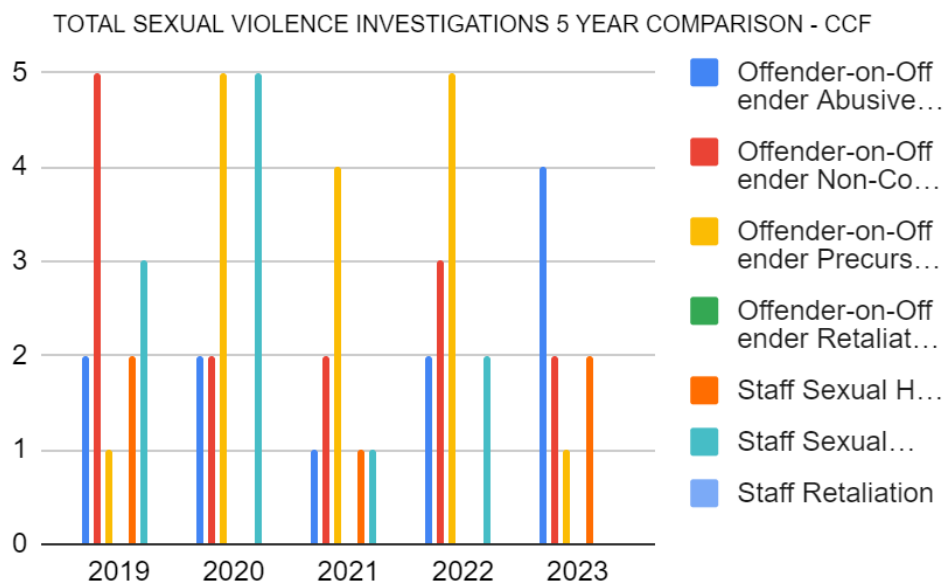
NCCF Achievements / Challenges

- Identified some issues related to lack of cameras or poor camera placement, were able to resolve by adding new cameras and changing camera locations. This has enhanced observation in critical areas that were previously unable to be viewed.

Clarinda Correctional Facility

CCF is a medium security prison for men located in the Page County community of Clarinda, Iowa. It has a capacity to house 750 individuals, the average population for FY23 was 985.83.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - CCF				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	0	4	0	4
Offender-on-Offender Non-Consensual Acts	0	0	2	2
Offender-on-Offender Precursor Behavior	0	1	0	1
Offender-on-Offender Retaliation	0	0	0	0
Staff Sexual Harassment	0	0	2	2
Staff Sexual Misconduct	0	0	0	0
Staff Retaliation	0	0	0	0
Totals	0	5	4	9



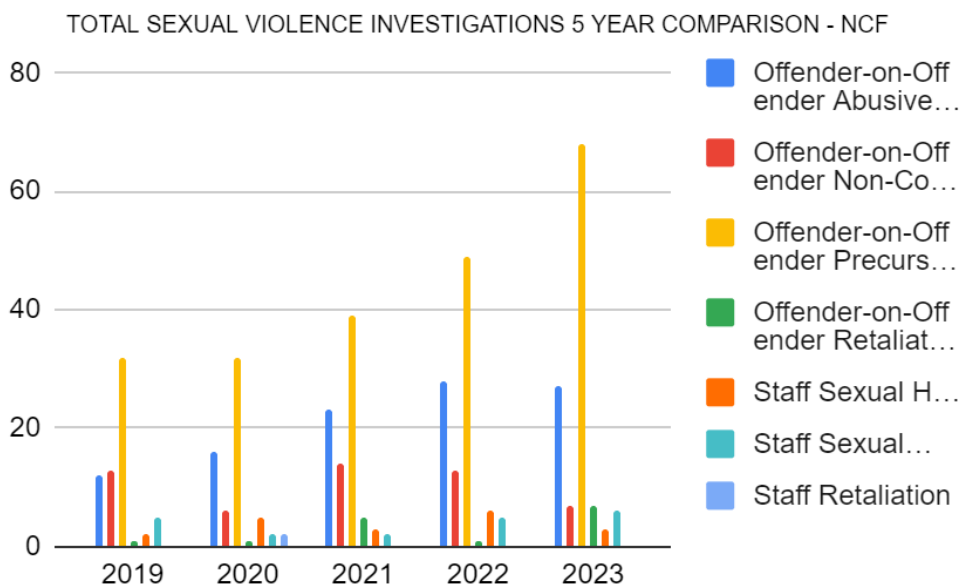
CCF Achievements / Challenges

- New PREA Compliance Manager appointed.
- Two additional sexual violence investigators trained.

Newton Correctional Facility

NCF is a minimum and medium security prison for men located in the Jasper County community of Newton, Iowa. It has a capacity to house 1014 individuals, the average population for FY23 was 1216.06.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - NCF				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	1	14	11	26
Offender-on-Offender Non-Consensual Acts	0	4	3	7
Offender-on-Offender Precursor Behavior	21	30	17	68
Offender-on-Offender Retaliation	0	5	2	7
Staff Sexual Harassment	0	0	3	3
Staff Sexual Misconduct	2	0	4	6
Staff Retaliation	0	0	0	0
Totals	24	53	40	117



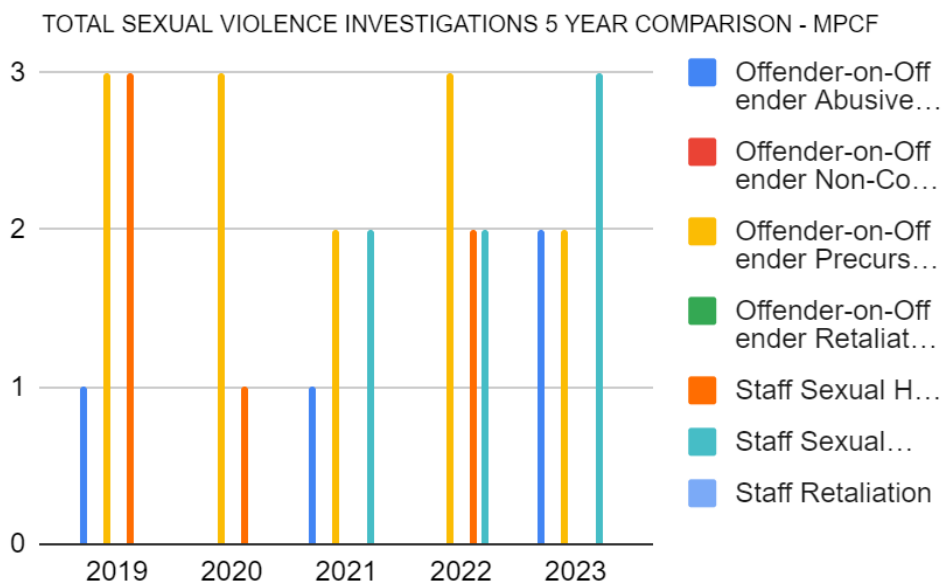
NCF Achievements / Challenges

- PREA audit was conducted and determined fully compliant for all standards. Experienced some difficulty due to the volume of documentation requests from the Auditor; however, the audit was successful overall and assisted in tightening up some minor processes.
- Five additional sexual violence investigators trained.
- Experiencing a lack of female staff willing to conduct searches of transgender individuals.

Mount Pleasant Correctional Facility

MPCF is a minimum security prison for men located in the Henry County community of Mount Pleasant, Iowa. It has a capacity to house 940 individuals, the average population for FY23 was 1082.93.

CY2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - MPCF				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	1	0	1	2
Offender-on-Offender Non-Consensual Acts	0	0	0	0
Offender-on-Offender Precursor Behavior	0	0	2	2
Offender-on-Offender Retaliation	0	0	0	0
Staff Sexual Harassment	0	0	0	0
Staff Sexual Misconduct	0	2	1	3
Staff Retaliation	0	0	0	0
Totals	1	2	4	7



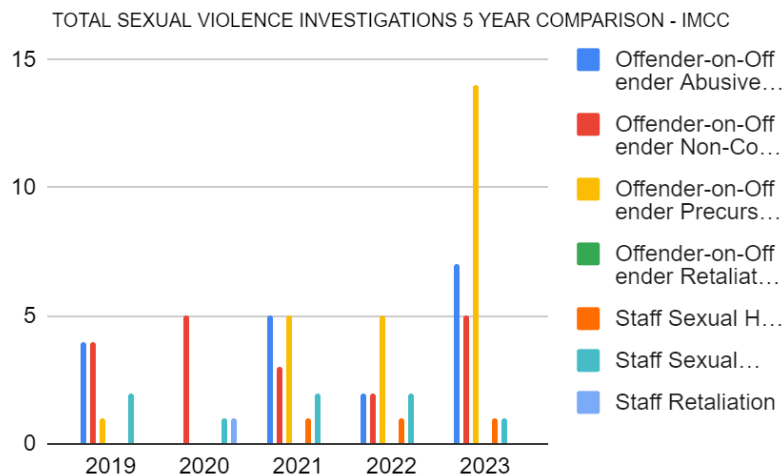
MPCF Achievements / Challenges

- Commenced preparation for audit which will be occurring in the next year of the audit cycle.
- Two additional sexual violence investigators trained.
- Additional person added to the PREA compliance team to assist the PREA Compliance Manager with overall coordination of investigations, retaliation tracking and incident reviews.

Iowa Medical Classification Center

IMCC is a medium security correctional facility located in the Johnson County community of Oakdale in Coralville, Iowa. IMCC serves as the reception / classification center for all males sentenced to prison in Iowa (new intakes are assessed and then assigned to one of the IDOC's facilities), In addition, houses individuals with special needs and the youthful incarcerated individual population. It has a capacity to house 585 individuals, the average population for FY23 was 854.35.

CY 2023 IDOC SEXUAL VIOLENCE INVESTIGATIONS - IMCC				
Type	Substantiated	Unsubstantiated	Unfounded	Total
Offender-on-Offender Abusive Contact	4	3	0	7
Offender-on-Offender Non-Consensual Acts	0	4	1	5
Offender-on-Offender Precursor Behavior	4	7	3	14
Offender-on-Offender Retaliation	0	0	0	0
Staff Sexual Harassment	0	1	0	1
Staff Sexual Misconduct	0	0	1	1
Staff Retaliation	0	0	0	0
Totals	8	15	5	28



IMCC Achievements / Challenges

- PREA audit conducted and determined fully compliant for all standards. Initially have some minor issues presented by the Auditor which were easily resolved with simple physical plant changes or the provision of additional documentation.
- Enhanced the PREA orientation video process to ensure all individuals can adequately view and hear the presentation, and to provide sufficient time for Q&A.
- Long term PCM replaced, new incumbent continuing to acclimate to the position.

THE WAY FORWARD

IDOC's commitment to ensuring the continued safety and security of all individuals in IDOC facilities is unwavering and includes ongoing work to address areas of change and to implement best practices that improve the agency's ability to prevent, detect, and respond to allegations of sexual abuse and/or assault. Based on the results of this year's analysis and efforts to date, the IDOC intends to focus on the following actions during the next year:

- Ensure comprehensive audits are conducted at IDOC facilities by certified PREA auditors to assess compliance with the PREA Standards.
- Provide training and guidance to PREA Compliance Managers across the department to support ongoing compliance and to prepare for and support external audits of IDOC facilities.
- Update and expand training for sexual violence investigators to enable them to fulfill their responsibilities in appropriately responding to incidences of sexual violence in IDOC facilities.
- Continue to ensure all IDOC employees are trained to fulfill their PREA compliance responsibilities upon hire and annually, with a goal of having 100% of staff trained.
- Continue to ensure volunteers and community partners working within IDOC facilities are trained to fulfill their PREA compliance responsibilities.
- Continue assessing compliance with the PREA Standards by performing annual self-assessments and, when warranted, taking corrective action.

IDOC's commitment to maintaining an aggressive PREA program focused on eliminating sexual violence within its facilities remains at the forefront of the agency's mission. As in previous annual reports, the activities in this report continue to align with IDOC's goal of preventing sexual violence and reflect our sustained efforts to enhance current response capabilities. IDOC will continue to work closely with federal, state, and local partners to consider new approaches and feedback to enhance prevention, detection, and response protocols.

REPORTING SEXUAL VIOLENCE

Individuals in IDOC facilities that has been subjected to any form of sexual violence may confidentially and, if desired, anonymously report these incidents to:

- The Warden / Superintendent or District Director
- Any IDOC employee
- The Victim and Restorative Justice Director
 - Iowa Department of Corrections Central Office | Address: 510 East 12th St., Des Moines, IA 50319 | Phone: (800) 778-1182
- The Iowa Office of Ombudsman
 - Office of Citizens' Aide/Ombudsman | Ola Babcock Miller Building | Address: 1112 East Grand Ave., Des Moines, IA 50319 | Toll Free Phone (888) 426-6283 or (515) 281-3592
- Send an email to PREA.reporting@iowa.gov (inbox monitored by the IDOC PREA Compliance Coordinator)
- The facility's assigned Residential Manager / PREA Compliance Manager
 - Contact information for each facility can be found on the IDOC website: <https://doc.iowa.gov/prison-rape-elimination-act/how-report-allegations-sexual-violence-idoc>