

Iowa Department of Corrections FY2015 Annual Report

Offender Success is our goal.
Reentry is our process.
Evidence Based Practices are our tools.
Staff is our most important asset.

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Message from Director Jerry Bartruff



For over half of this fiscal year, the Iowa Department of Corrections director was John Baldwin, who retired on January 29, 2015. In his farewell letter to all staff, he wrote in part:

When I began at DOC, the state had only five institutions that housed fewer than 1,500 offenders. Community based corrections was just getting started and we might have supervised 2,000 offenders across Iowa. Today, we supervise more than 39,000 offenders each day. The constant in all of this change has been the DOC staff, an exemplary group of professionals dedicated to improving public safety and quality of life for all Iowans. I will sincerely miss all of the wonderful people that work in the Department of Corrections. You have demonstrated time and time again your dedication, compassion, stellar work ethic, and commitment to improving outcomes for our offenders....By improving our offenders' outcomes, we also improve the quality of life in our individual communities, and our state as a whole. The work we do here at DOC pays observable dividends. It is my parting wish that you feel as personally proud of this accomplishment as I do.

*Sincerely,
John Baldwin, Director*

This year has been one of transition, and I am humbled and honored to have been appointed director of this first-class organization. I second John Baldwin's emphasis that community based corrections and institutions are effective because of our professional, trained and committed staff. I will do everything I can to ensure they have the support they need to continue to carry out our mission.

For the first time since the passage of the Second Chance Act (SCA) legislation, the U.S. Bureau of Justice Assistance has invested in comprehensive system-wide change in corrections to develop strategies to reduce recidivism. Iowa was one of eleven states selected to receive planning funds, and one of among four states to receive three million dollars of implementation funds over the next three years. A multi-agency task force is assisting in guiding activities to include training, pre-release planning, job competencies, evidence-based workloads and quality assurance. This work enhances the department's strategic goals and objectives so that long after the grant is done, we will have achieved system-wide change that is sustainable. It is my belief that this system-wide change will enhance long term public safety in our institutions and in our communities.

Message from the Board of Corrections

Sitting from Left to Right: Dr. Mary Chapman, Dr. Lisa Hill, Rebecca Williams
 Standing from Left to Right: Larry Kudej, Rev. Michael Coleman, Richard LaMere, Dr. John Chalstrom



The Iowa Board of Corrections acknowledges the work Governor Branstad and Lt. Governor Reynolds accomplished to restore predictability and stability to the state's budget process. The Board believes that the state is on a prudent and sustainable financial course. The Board also understands its obligation to bring forward budget considerations that, if funds permit, would improve Iowa's Correctional System. To this end, a list of operational considerations above the FY 2017 status quo budget request include:

Description		Amount
Mitchellville Support	Provides additional funding to offset fixed cost increases for utilities, medical and mental health and dietary services.	\$78,611
Pharmaceuticals	Provides funds to offset fixed cost increases for pharmacy increases, as offender care has significantly increased due to patent expiration of generic drugs which has led to decrease in the number of manufacturer and supplies to decrease overall competition and increase prices.	\$1,250,000
Expired Grants	Provides treatment program restoration for domestic violence, drug court, substance abuse and mental health and reentry initiatives based on risk management and recidivism reductions as measured by successful data driven outcomes.	\$564,928
DHS Institutional Realignment	Provides funding for shared staff and support costs with the closures of the Mental Health Institutes at both Mount Pleasant and Clarinda.	\$1,952,794
County Confinement	Provides local control and oversight between District and Counties while permitting systemic efficiencies and management of jail days.	\$750,000
Total		\$4,596,333

The Board deeply appreciates the Governor's support for Corrections and focus on public safety. In FY 2017, the Department will continue to hire, train and retain the best possible staff, focus resources toward individuals most likely to reoffend and focus on evidence based and research-informed practices for improved offender reentry which lower future costs of corrections and improve public safety for all Iowans and continue to review and implement systemic efficiencies through Department guiding principles to ensure optimal use of resources.

In order to accomplish desired outcomes of public safety and crime reduction, offender accountability, responsible use of taxpayer dollars and quality services, the Department is honored to be one of only five states who received a Second Chance award to reduce state-wide recidivism. The goal of the initiative is to reduce recidivism by 30% in five years and comprehensively address system needs and challenges. The State-wide Recidivism Reduction initiative affords the Department an opportunity to build on existing work and infrastructure, increase cost-effectiveness, and leverage resources across multiple state and local agencies. Moreover, this initiative is an opportunity to showcase and demonstrate the importance of state-wide agency collaboration around public safety.

We will continue to challenge the Department to invest in program models that reduce recidivism, expand continuous quality improvement processes, seek housing options for geriatric, seriously mentally ill and other hard to place offenders (e.g.; sex offenders, etc.), expand collaboration with other agencies/organizations, staff in correlation to offenders' risk levels and expand effective community supervision. Performing these strategic initiatives will lead to desired outcomes in public safety and crime reduction, offender accountability, responsible use of taxpayer dollars and quality services.

Through evidence-based and research-informed practices, and attention to offender reentry, the Department has been able to lower the recidivism rate of offenders leaving prison to 29.7%, which ranks nationally among the top tier states. In the past, African-American offenders released from prison had disproportionately higher recidivism rates compared to Caucasians. Because of research informed reentry efforts for African-American offenders in Waterloo and Des Moines which began in 2009, the Department has eliminated the recidivism gap. There is now no significant difference in recidivism rates between Caucasians (30.7%) and African-Americans (30.2%) in Iowa.

On behalf of the Iowa Board of Corrections,

Rev. Michael Coleman, Chair
Board of Corrections

Richard LaMere, Vice Chair
Board of Corrections

Agency Overview



Strategic Goals

- Incarcerate only those who need it.
- Staff in correlation to offenders' risk levels.
- Expand effective community supervision.

Strategic Goals

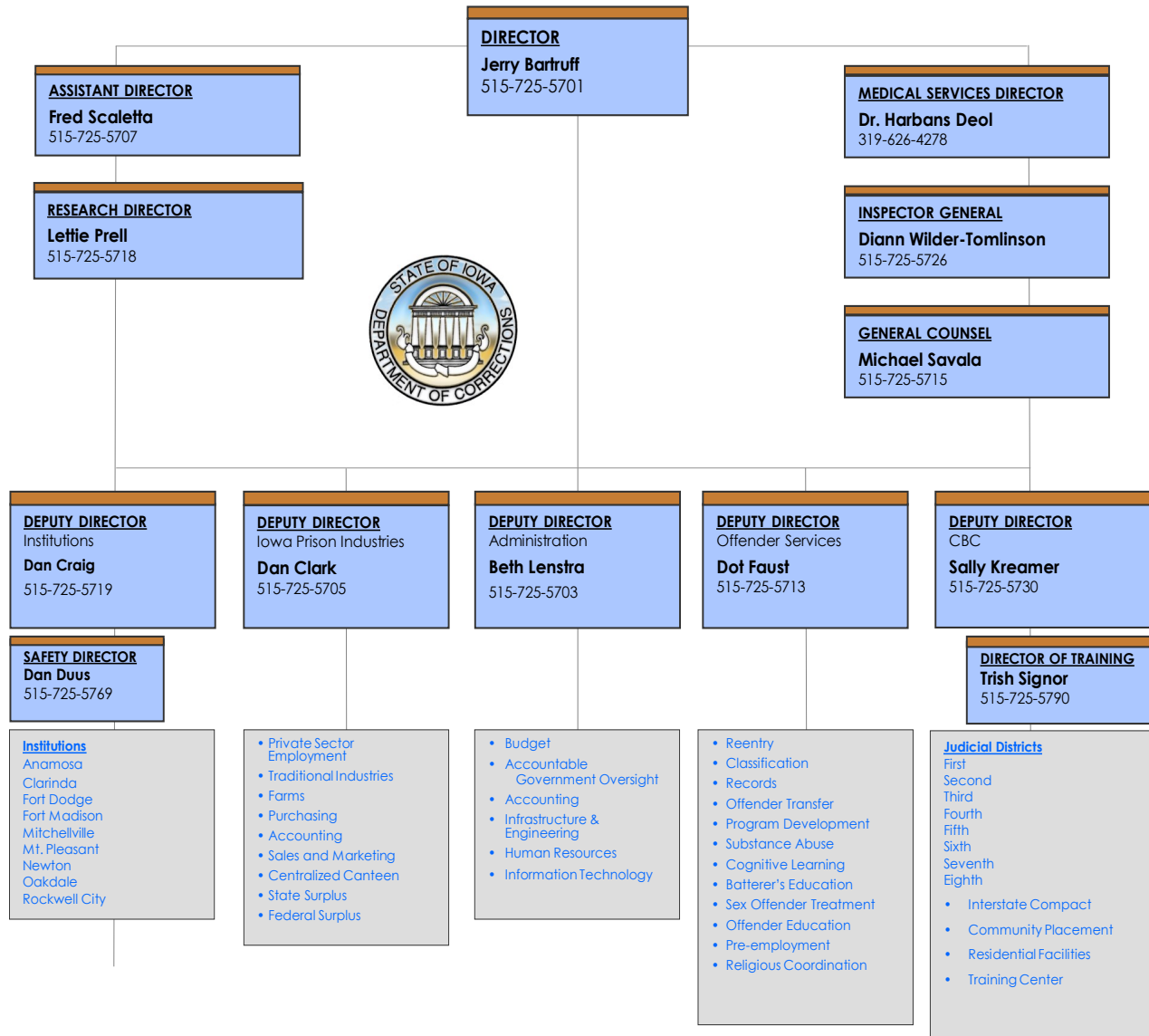
- Invest in program models that reduce recidivism.
- Expand continuous quality improvement processes.
- Seek housing options for geriatric and seriously mentally ill offenders.
- Expand collaboration with other agencies/organizations.

Desired Outcomes

Public safety & crime reduction
Offender accountability
Responsible use of taxpayer dollars
Quality services

Organizational Chart

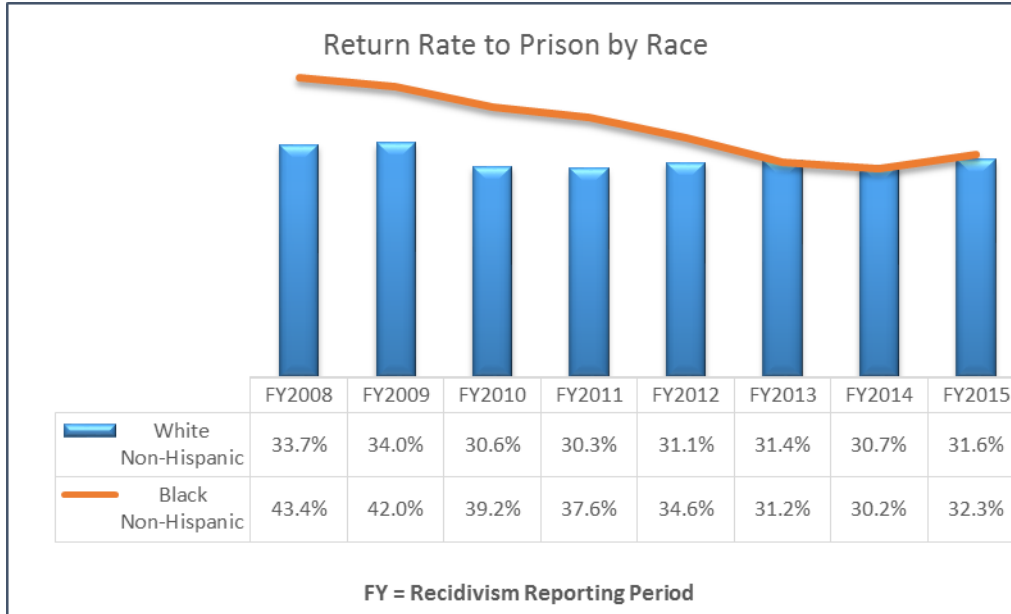
Iowa Department of Corrections – Central Office



Agency Accomplishments

Maintaining Stability in Prison Recidivism Rates.

The return rate to prison remained stable this reporting year. Also for the third year in a row, there is no statistically significant difference in recidivism rates between non-Hispanic Whites and African-American offenders. African-American offender reentry efforts in Des Moines and Waterloo continue to make a difference.



Statewide Recidivism Reduction Initiative.

The Governor's Office of Drug Control Policy (ODCP) with the Iowa Department of Corrections (IDOC) are recipients of a three million dollar grant over three-years from the U.S. Department of Justice, to support implementation strategies to reduce state-wide recidivism for adult offenders. The primary goal is a significant reduction in recidivism for parolees and higher risk probationers to eventually reach a 30% reduction in recidivism rates and contribute to long-term public safety.

The IDOC identified several focus areas for the grant initiative in order to reduce recidivism including: engaging interagency steering committee, comprehensive system-wide quality assurance plan, statewide training plan, enhancement of reentry policy and practice, state-wide job competencies, and evidenced-based workloads. This effort is a state-level interagency collaboration among multiple state agencies that will require cooperation and commitment to achieve the same goals: public safety and reducing victimization.

In FY2015 the IDOC hired a recidivism reduction coordinator to oversee all aspects of the initiative.

Agency Accomplishments

Mental Health Information Sharing

IDOC and Eyerly Ball Community Mental Health Services are currently collaborating in an Information Sharing Solution grant awarded by the U.S. Bureau of Justice Assistance. The grant is the two-way exchange of mental health data between the agencies. Offenders with chronic mental illness who are not properly diagnosed and treated have a more difficult time in prison and a higher rate of recidivism when released from prison. The goal of the grant is to provide corrections and the mental health treatment providers current medical information that includes; diagnosis, prescribed medications, assessment and screenings. The sharing of this information saves duplication of services, gives the provider a more accurate mental health picture of the offender, and the offender receives faster services and provision of needed medication. Both IDOC and Eyerly Ball are excited to be a part of the project and are in the process of developing the programming to make this exchange possible.

Apprenticeship Program

Many “returning citizens” in the Iowa Department of Corrections (IDOC) are taking advantage of opportunities in a skill-based training and education program. The IDOC has partnered with the U.S. Department of Labor Office of Apprenticeship to develop apprenticeship programs in five of our nine state institutions. A pilot program was started at the Anamosa State Penitentiary in early 2014 (41 participants). In June of 2015 we added programs for men, at Clarinda, Rockwell City, Newton, and one for women at Mitchellville. In FY16 the Department plans to start programs at the remaining four facilities, Ft. Madison, Mt. Pleasant, Oakdale (IMCC), and Ft. Dodge.

There are currently sixteen apprenticeship programs offered: cabinet maker, welding combination, metal fabrication, computer operation, electrical maintenance, plumbing, cooking, refrigeration/air conditioning. Apprenticeship participants are taught using on-the-job training.

The Iowa Department of Corrections continues to collaborate with community colleges, Iowa Workforce Development, Iowa Works, Veteran’s Affairs, manufacturers, labor unions and others to assist in developing a highly skilled workforce by training offenders for jobs that are in high demand.

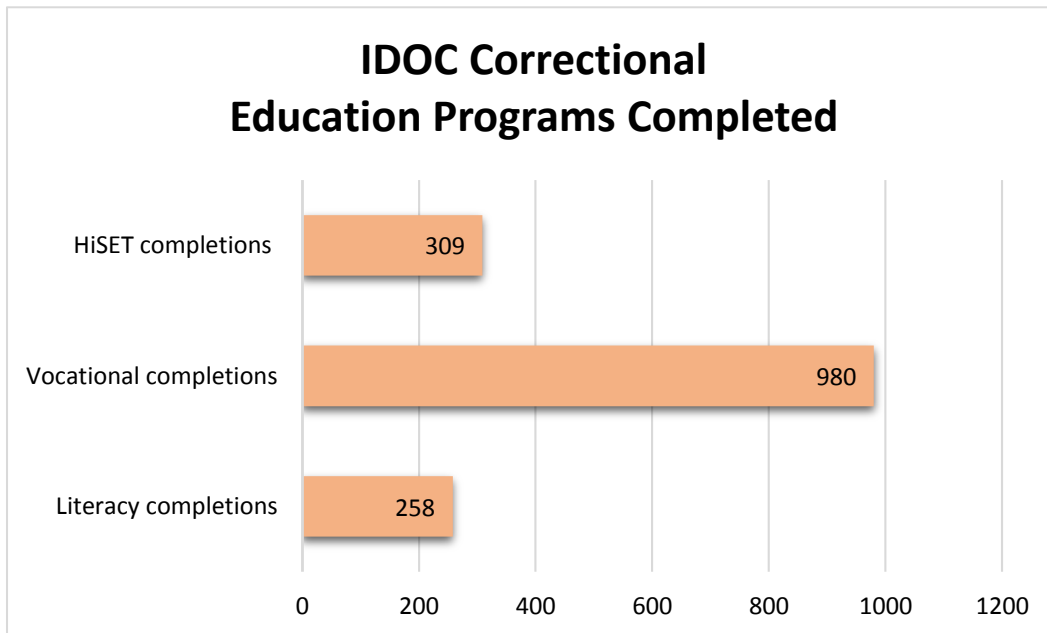
Agency Accomplishments

Implementing the Prison Rape Elimination Act (PREA).

The Department's PREA Coordinator continued to lead the statewide effort to implement the PREA Standards. Audits under the PREA standards are in three-year cycles, beginning August 20 of each year, with one-third of a system's facilities required to be audited each 12-month period. During the first audit year ending August 19, 2014, three institutions (Iowa Medical & Classification Center, Newton Correctional Facility and North Central Correctional Facility) successfully passed their PREA audits, exceeding requirements for some standards. This year, three additional institutions (Mt. Pleasant Correctional Facility, Anamosa State Penitentiary and Forth Dodge Correctional Facility) successfully passed their PREA audits, against exceeding some requirements. The remaining three institutions are preparing for their audits during the audit year ending August 19, 2016.

Over 1,500 Graduates of Correctional Education Programs.

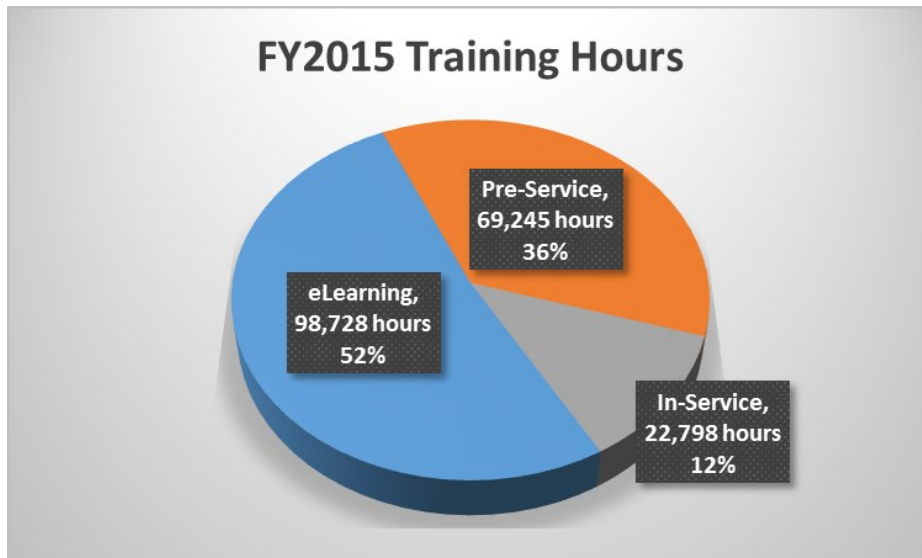
The state's community colleges contract with IDOC to provide quality education and vocational programs in the state prison system. During FY2015, 258 offenders completed literacy programs; 309 received high school equivalency diplomas (HiSET); and 980 completed vocational education programs.



Agency Accomplishments

Providing Staff Training.

The IDOC Learning Center database tracks all training throughout the department, including Central Office and institution staff, and eLearning for community-based corrections staff (the CBCs have separate tracking systems for other types of training they provide). This database shows a total of 190,770.95 hours of training provided statewide during FY2015. This averages to 43.25 training hours per employee for the fiscal year.



Community Based Corrections



First District



Fifth District



Second District



Sixth District



Third District



Seventh District

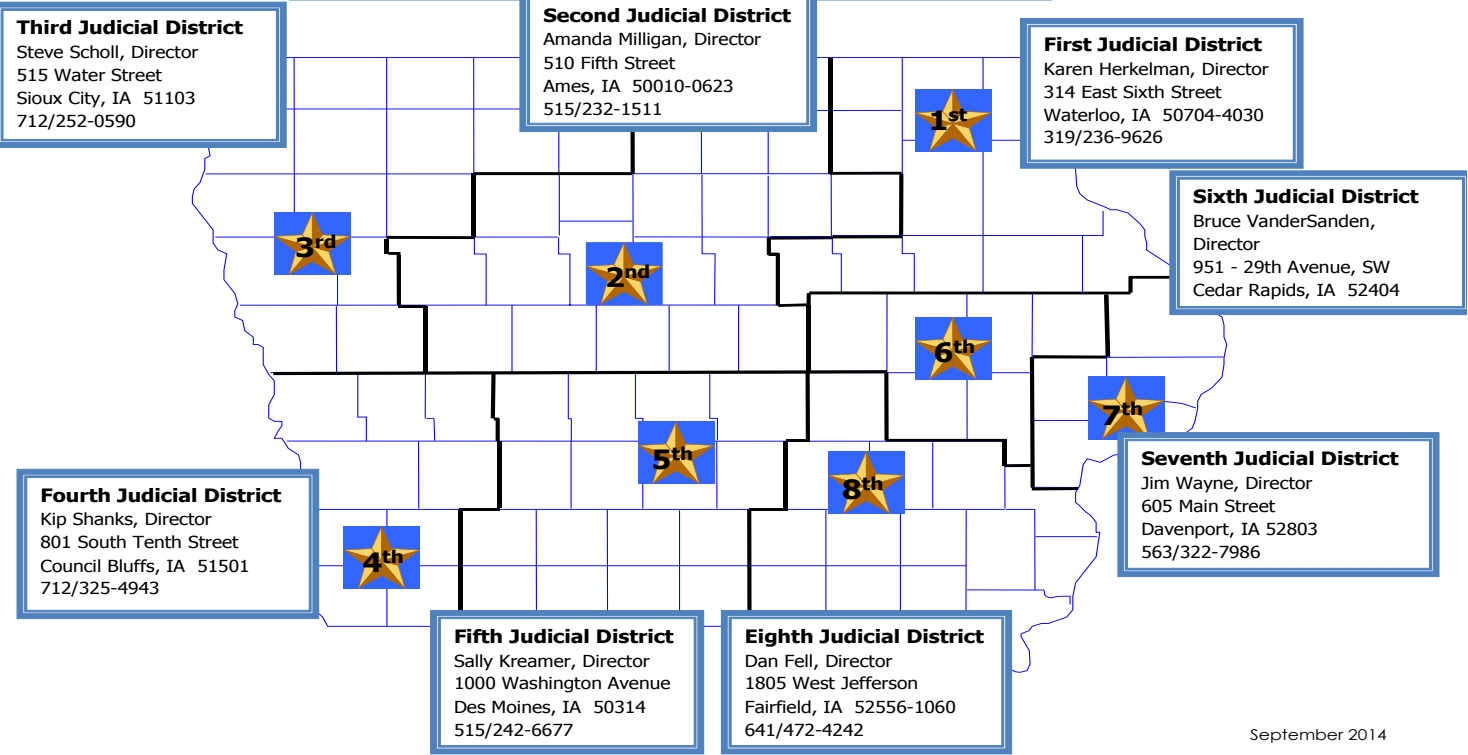


Fourth District

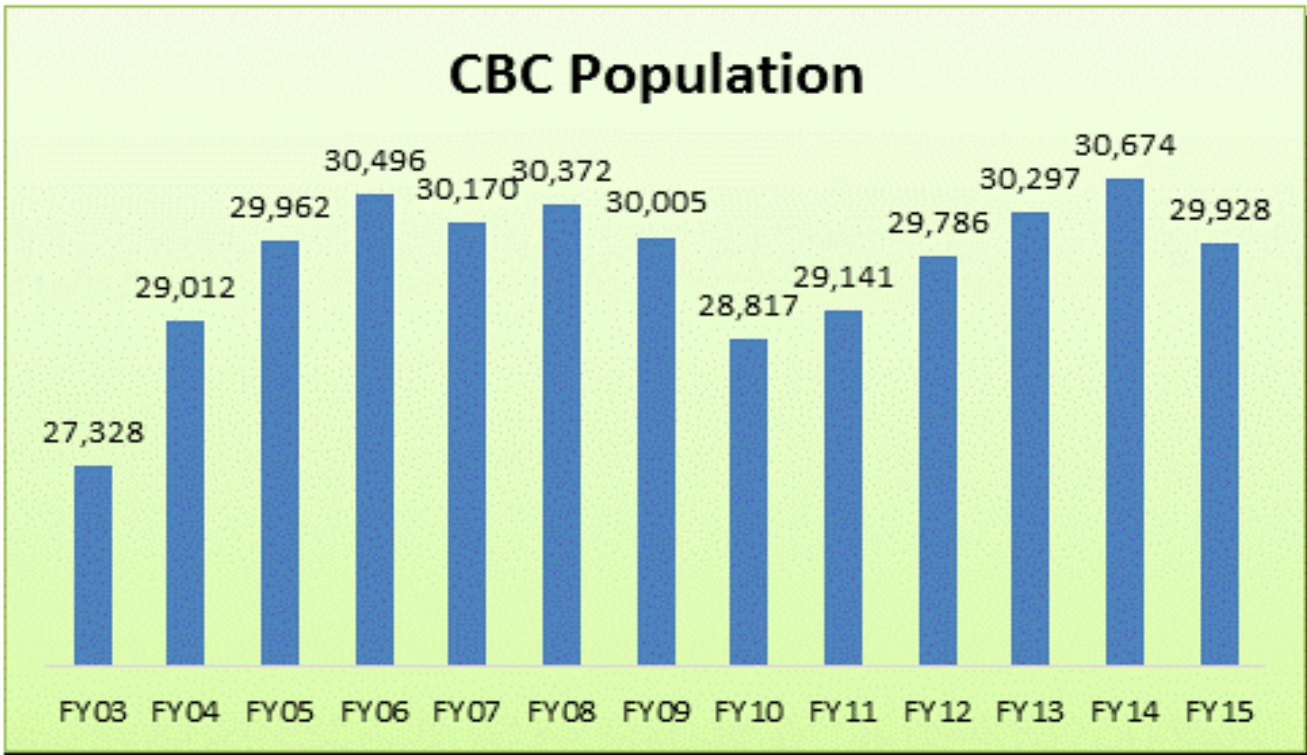


Eight District

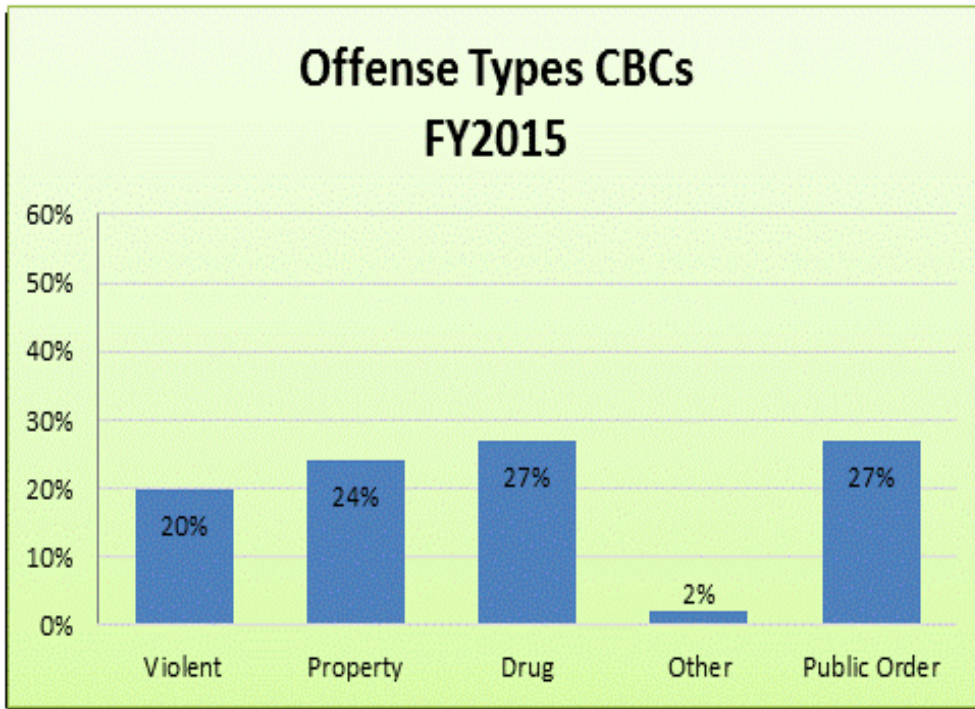
Iowa Department of Correctional Services
COMMUNITY-BASED CORRECTIONS



September 2014

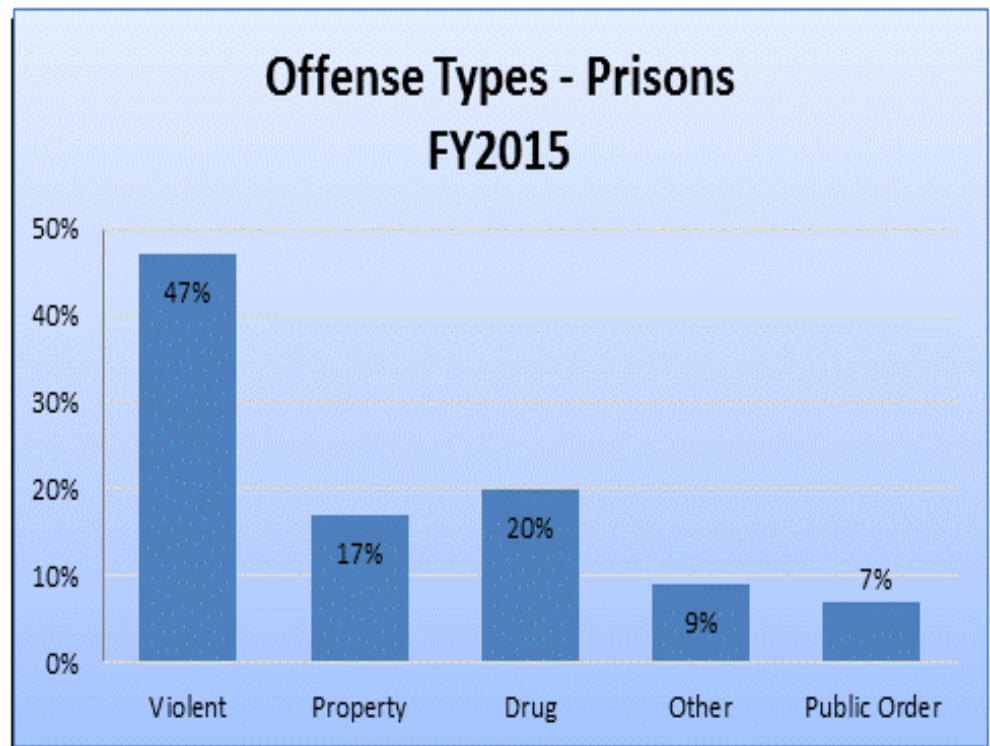


Offense Types



The majority of offenders supervised in Community Based Corrections fall under the Drug and Public Order (drunk driving) categories.

Nearly half of offenders in Iowa Prisons are incarcerated for Violent Crimes.



CBC Offenders Served

CBC Field Services	Active at Start 7-01-14	New Admits FY15	Closures FY15	Active at End 6-30-15	Offenders Served
CCUSO Transitional Release	2	3	1	3	5
Federal	0	1	1	0	5
Interstate Compact Parole	318	190	197	312	1
Interstate Compact Probation	1,016	515	519	599	508
No Correctional Supervision Status	6	32	33	7	1,531
OWI Continuum	1	38	2	8	38
Parole	3,648	2,797	2,516	2,554	39
Pretrial Release With Supervision	1,667	4,389	4,720	1,292	6,445
Probation	21,658	15,211	14,547	21,326	6,056
Special Sentence	642	137	174	685	36,869
Statewide Total	28,958	23,313	22,790	28,106	52,271

CBC Residential Services*	Active at Start 7/1/14	New Admits FY15	Closures FY15	Active at End 6/30/15	Offenders Served*
CCUSO Release w/Supervision	1	3	1	2	4
Federal	189	547	484	247	736
Interstate Compact Parole	1	5	3	1	6
Interstate Compact Probation	0	16	4	0	16
Jail (Designated Site)	5	38	31	3	43
OWI Continuum	111	304	360	114	415
Parole	9	48	29	8	57
Pretrial Release With Supervision	7	40	25	0	47
Probation	824	2,077	1,939	799	2,901
Special Sentence	70	203	164	85	273
Work Release	510	1,918	1,797	554	2,428
Statewide Total	1,727	5,199	4,887	1,813	6,926

* Includes VC and VT

Total offenders served Field and Residential—FY15 = 59,197

Iowa Prisons



Anamosa State Penitentiary



Clarinda Correctional Facility



FORT DODGE CORRECTIONAL FACILITY



Iowa Correctional Institution for Women



Iowa Medical and Classification Center



Iowa State Penitentiary



Mt Pleasant Correctional Facility

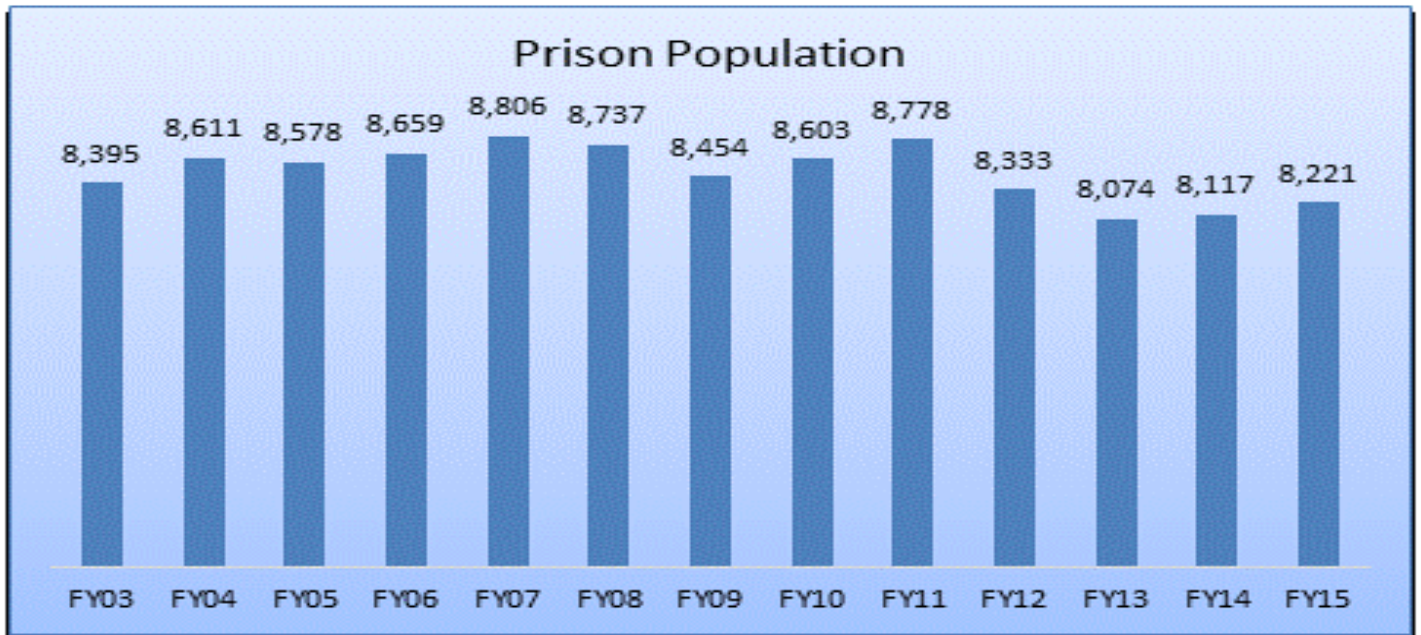
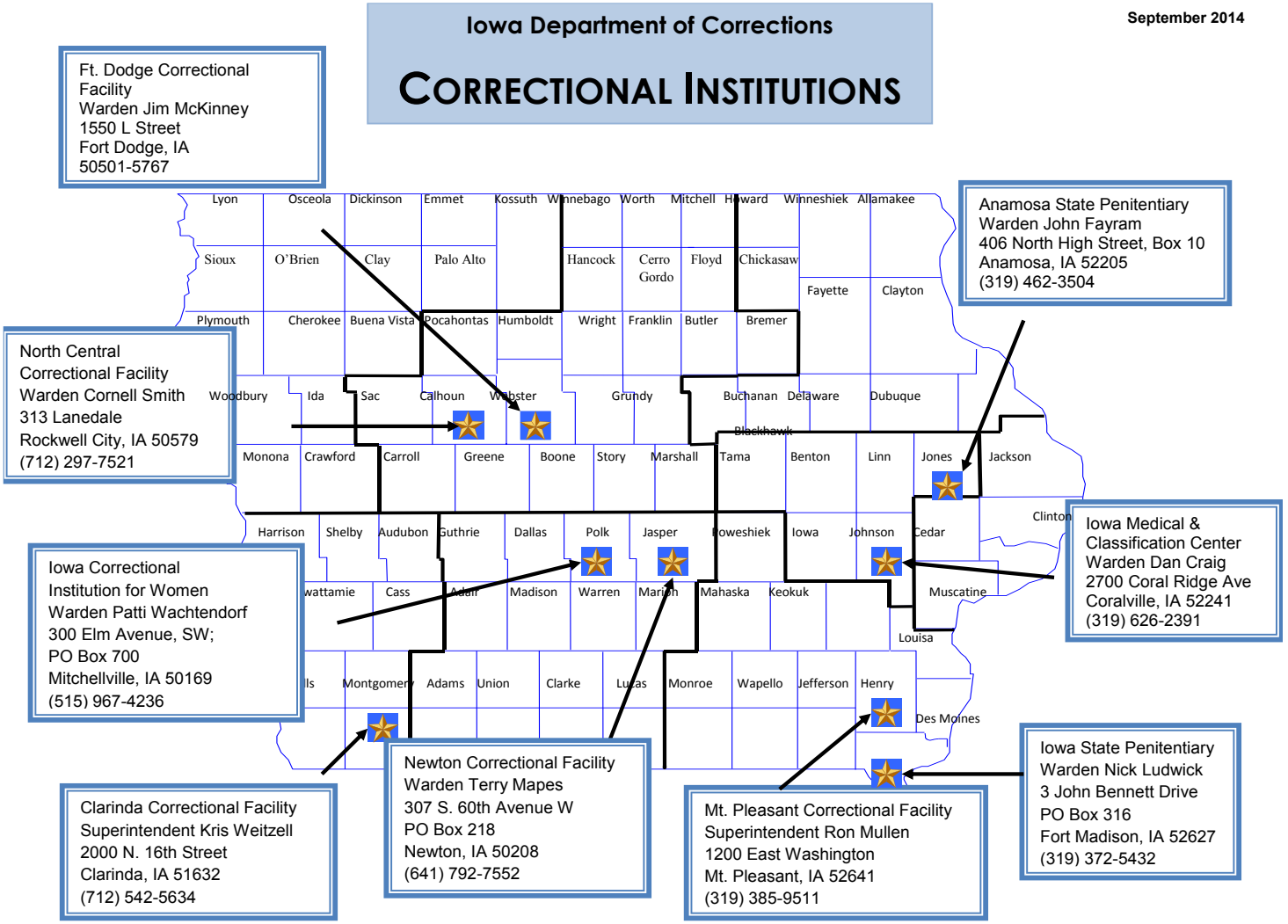


Newton Correctional Facility



North Central Correctional Facility

CORRECTIONAL INSTITUTIONS



Institution Population

Iowa Prison Population, Admissions & Releases				
		<u>FY2014</u>	<u>FY2015</u>	<u>% Change</u> <u>FY2014-15</u>
New Court Commitment		2,060	1,821	-11.6%
Probation Revocations		1,637	1,569	-4.2%
Parole Returns		511	538	5.3%
Escape Returns		0	0	
Work Release Returns		529	582	10.0%
OWI Facility Returns		48	63	31.3%
Special Sentence Returns		64	91	42.2%
County Jail Holds		445	542	21.8%
Other Admissions		46	60	30.4%
Total Admissions		5,340	5,266	-1.4%
Release to Work Release		1,192	1,337	12.2%
Release to OWI Facility		132	124	-6.1%
Parole Releases		2,313	2,013	-13.0%
Release to Shock Probation		112	98	-12.5%
Escapes		1	0	-100.0%
Expiration of Sentence		1,049	1,048	-0.1%
Release to Special Sentence		172	188	9.3%
County Jail Holds		188	215	14.4%
Other Final Discharges		33	32	-3.0%
Other Releases		105	111	5.7%
Total Releases		5,297	5,166	-2.5%
Ending Prison Population		8,117	8,217	1.2%
Prison Capacity		7,428	7,276	
Population as % of Capacity		109%	113%	

Agency Performance Report

Iowa Department of Corrections FY2015 Performance Report

Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments and Analysis
Core Function: Offender supervision, custody and treatment			
Post-Custody/Post-Supervision Recidivism Rate (3 year follow-up; high risk offenders only)	30.3%	31.9%	Target met. The difference is not statistically significant.
Number of escapes (prison only)	2	0	Target met.
Number of disturbances (prison only)	2	0	Target met.
Percent DNA collected on eligible offenders	92.81%	90.83%	Did not meet target due to new requirements to collect DNA on some persons convicted of aggravated misdemeanors. We intend to meet target next year.
Service, Product or Activity: Risk Identification			
Percent required validated risk assessments completed within 90 days.	Prisons-97%	Prisons-94%	Target met.
Percent required custody classifications completed within 45 days.	99.1%	98.2%	Target met. The difference is not statistically significant.
Percent of prison inmates housed in institutions that match their security level per custody classification assessments.	Max-92% Med-84% Min-68%	Max-67% Med-99% Min-70%	Target met for Medium and Minimum Security; target for Maximum Security was not met. Regarding the latter, this was due to preparations for moving offenders to the new maximum security prison. We intend to meet all targets next year.
Service, Product or Activity: Risk Management			
Number of Suicides/Attempts	3/22	1/12	Target met. However, we are striving for zero.
Number of offender deaths from natural causes and other than suicide	13	15	As the inmate population ages we are planning for an increase in end-of-life care and additional deaths.
Prison population as a % of capacity	112%	113%	Target met.
Community Corrections Staffing: % required FTEs that are filled.	61.3%	83.8%	Target exceeded. However, more needs to be done to right-size staff and offender populations to provide best supervision.
Service, Product or Activity: Risk Reduction			
Percent of interventions designated by Offender Services as evidence based.	43.8%	45.3%	Target Exceeded.
Service, Product or Activity: Basic Life Care			
Number of offender serious injuries	19	36	Did not meet target.
Number of staff serious injuries	6	14	Did not meet target. However, we achieved a 36% reduction from last year's number of 22.
Staff sexual misconduct: Investigations/substantiated	32/9	25/4	Target met.
Offender on Offender abusive contact: investigations/substantiated	34/10	18/4	Target met.
Offender on Offender non-consensual acts: investigations/substantiated	26/7	34/10	Target not met, however this measure may be reflecting improvements in reporting, investigations and substantiations.
Staff sexual harassment: investigations/substantiated	10/2	31.3	Target not met, however this measure may be reflecting improvements in reporting, investigations and substantiations.

Performance Measure Highlights

Number of Staff Serious Injuries

Why this is important: It's about caring for the welfare of our staff.

Also, workplace injuries and deaths cost the nation billions of dollars annually, including medical costs, and lost wages and productivity.

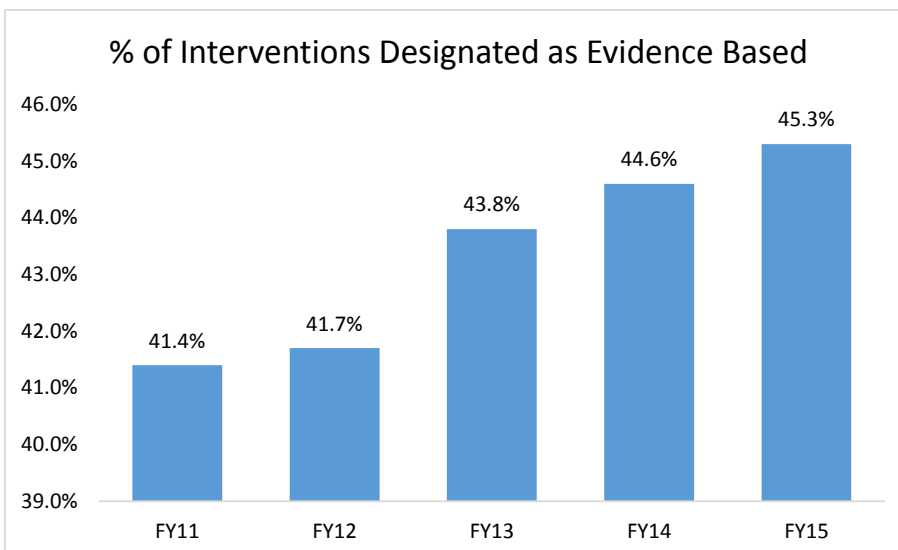
What we're doing about this. As more staff are trained by institution safety officers, there is a reduction

in staff injuries due to hazards recognized and avoided. Ongoing vigilance and commitment to safety is important to sustain reduced injuries.



Percent of interventions designated as evidence-based

Why this is important: It's about investing in program models that reduce recidivism, and ensuring those programs are operated the way they should be to reduce offenders' risk levels and promote public safety. In this way we use taxpayer dollars responsibly and promote quality services.



What we're doing about this: With the assistance of Federal grant funds, we are taking a systematic look at institution and community based corrections programs. In the first phase, we are identifying old, unused programs and inactivating them. When this is accomplished, we will undertake a systematic review of the remaining programs, and identify which programs are

sound, which need quality improvement, and which should be eliminated.

Fiscal

The Department of Corrections received \$378,695,471 in General Fund appropriations during FY 2015. This was an increase of \$4.1 million compared to FY 2014. The changes include:

- \$215,000 increase to fully fund the reception center at the Iowa Correctional Institution for Women at Mitchellville.
- \$641,000 decrease to eliminate FY 2014 one-time costs associated with renovating space at Fort Madison to house minimum-security offenders and opening the residential facility in Ottumwa.
- \$1.4 million increase to replace expired federal funds for Drug Courts in the First and Sixth Community-Based Corrections (CBC) District Departments, and to fund Drug Courts in the Second, Fifth, and Seventh CBC District Departments.

The Department has the following fiscal challenges for FY 2016:

- Impact on the Centralized pharmacy for increased costs of medications while the prison population is aging.
- Cost containment strategies for the County Confinement Account.
- Management of shared services costs at Mount Pleasant and Clarinda.
- The Federal Communications Commission (FCC) ruling on telephone rates paid by offenders and the projected decrease in receipts for education programs.

FY 2017 Budget Request & Considerations Above Status Quo

	<i>FTEs</i>	<i>Amount</i>
FY 2016 General Fund (GF) Budget	3,862.10	\$ 381,697,248
FY 2017		
<u>Budget Requests Above FY 2016 Legislative Action</u>	<u>FTEs</u>	<u>Amount</u>
➤ Mitchellville Support	-	\$ 78,611
➤ Pharmaceuticals	-	\$ 1,250,000
➤ Expired Grants	-	\$ 564,928
➤ DHS Institutional Realignment	20.63	\$ 1,952,794
➤ County Confinement	-	\$ 750,000
FY 2017 GF Budget Requests Above FY 2016 Legislative Action	<u>20.63</u>	<u>\$ 4,596,333</u>
FY 2017 GF Base Budget and Budget Requests Above FY 2016 Legislative Action	<u>3,882.73</u>	<u>\$ 386,293,581</u>
FY 2017 GF Base Budget and Budget Requests Above FY 2016 Legislative Action % Increase		1.20%

FY15 Financial Status Reports—Department Totals FY2015

		Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
	FTE Positions					
	Correctional Officer	1,532.00	1,407.47			
	Total Staffing	2,814.67	2,611.75			
	Resources Available					
---	Balance Brought Forward	916,157	916,156.63		916,156.63	100.00%
05A	Appropriation	288,463,265	288,463,265.00		288,463,265.00	100.00%
---	Appropriation Transfer	-	270,000.00		270,000.00	---
---	De-appropriation	-	-		-	---
201R	Federal Support	-	-		-	---
202R	Local Governments	125,000	129,558.21		129,558.21	103.65%
204R	Intra State Receipts	1,713,333	501,027.00		501,027.00	29.24%
205R	Reimbursement from Other Agencies	520	51,511.60		51,511.60	9906.08%
234R	Transfers - Other Agencies	1,101	175,819.24		175,819.24	15969.05%
301R	Interest	-	154.58		154.58	---
401R	Fees, Licenses & Permits	344,600	471,117.60		471,117.60	136.71%
501R	Refunds & Reimbursements	731,200	729,921.94		729,921.94	99.83%
602R	Sale of Equipment & Salvage	5	-		-	0.00%
603R	Rents & Leases	1,428,877	1,319,236.34		1,319,236.34	92.33%
604R	Agricultural Sales	-	-		-	---
606R	Other Sales & Services	-	-		-	---
701R	Unearned Receipts	-	-		-	---
	Total Resources Available	293,724,058	293,027,768.14		293,027,768.14	99.76%
	Funds Expended and Encumbered					
101	Personal Services-Salaries	238,355,859	228,949,331.34	-	228,949,331.34	96.05%
202	Personal Travel (In State)	180,824	275,405.76	-	275,405.76	152.31%
203	State Vehicle Operation	832,252	755,164.56	-	755,164.56	90.74%
204	Depreciation	817	1,037,609.33	-	1,037,609.33	127002.37%
205	Personal Travel (Out of State)	27,421	40,864.82	-	40,864.82	149.03%
301	Office Supplies	286,198	274,240.97	-	274,240.97	95.82%
302	Facility Maintenance Supplies	1,048,021	1,425,739.63	-	1,425,739.63	136.04%
303	Equipment Maintenance Supplies	645,322	719,466.39	-	719,466.39	111.49%
304	Professional & Scientific Supplies	873,599	964,378.20	-	964,378.20	110.39%
306	Housing & Subsistence Supplies	2,030,360	2,624,843.89	-	2,624,843.89	129.28%
307	Ag,Conservation & Horticulture Supply	36,505	42,084.92	-	42,084.92	115.29%
308	Other Supplies	436,880	870,336.77	-	870,336.77	199.22%
309	Printing & Binding	5,800	475.78	-	475.78	8.20%
310	Drugs & Biologicals	6,482,780	6,819,110.92	-	6,819,110.92	105.19%
311	Food	9,142,181	11,431,473.68	-	11,431,473.68	125.04%
312	Uniforms & Related Items	1,253,448	1,750,094.64	-	1,750,094.64	139.62%
313	Postage	80,050	88,429.65	-	88,429.65	110.47%
401	Communications	697,973	548,490.82	-	548,490.82	78.58%
402	Rentals	108,024	225,151.74	-	225,151.74	208.43%
403	Utilities	8,643,354	9,552,769.02	-	9,552,769.02	110.52%
405	Professional & Scientific Services	2,263,514	2,253,728.29	-	2,253,728.29	99.57%
406	Outside Services	6,426,516	6,528,982.30	-	6,528,982.30	101.59%
407	Intra-State Transfers	249,054	668.43	-	668.43	0.27%
408	Advertising & Publicity	355	6,850.18	-	6,850.18	1929.63%
409	Outside Repairs/Service	815,053	1,293,298.66	-	1,293,298.66	158.68%
412	Auditor of State Reimbursements	100	-	-	-	0.00%
414	Reimbursement to Other Agencies	4,138,811	4,875,834.41	-	4,875,834.41	117.81%
416	ITS Reimbursements	775,941	855,718.76	-	855,718.76	110.28%
417	Worker's Compensation	629,629	-	-	-	0.00%
418	IT Outside Services	2,000,105	1,992,476.66	-	1,992,476.66	99.62%
433	Transfers - Auditor of State	1	1,265.00	-	1,265.00	126500.00%
434	Transfers - Other Agencies Services	348,961	590,595.46	-	590,595.46	169.24%
501	Equipment	62,522	408,962.60	-	408,962.60	654.11%
502	Office Equipment	19,951	8,152.19	-	8,152.19	40.86%
503	Equipment - Non-Inventory	193,221	432,733.63	-	432,733.63	223.96%
510	IT Equipment	808,701	1,008,956.82	-	1,008,956.82	124.76%
601	Claims	400	48.15	-	48.15	12.04%
602	Other Expense & Obligations	3,302,412	3,570,204.77	-	3,570,204.77	108.11%
701	Licenses	11,310	11,811.46	-	11,811.46	104.43%
705	Refunds-Other	-	-	-	-	---
901	Capitals	450,100	33,379.00	-	33,379.00	7.42%
---	Balance Carry Forward	-	496,306.46	-	496,306.46	---
---	Reversion	59,733	262,332.08	-	262,332.08	439.17%
	Total Expenses and Encumbrances	293,724,058	293,027,768.14	-	293,027,768.14	99.76%
	Ending Balance				-	

Financial Status Reports—Institution Totals FY2015

		Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
	FTE Positions					
	Correctional Officer	1,532.00	1,407.47			
	Total Staffing	2,770.67	2,573.82			
	Resources Available					
	---	655,226	655,225.87		655,225.87	100.00%
	05A Appropriation	276,943,591	276,943,591.00		276,943,591.00	100.00%
	---	-	(351,048.85)		(351,048.85)	---
	---	-	-		-	---
	201R Federal Support	-	-		-	---
	202R Local Governments	125,000	129,558.21		129,558.21	103.65%
	204R Intra State Receipts	64,006	-		-	0.00%
	205R Reimbursement from Other Agencies	520	51,511.60		51,511.60	9906.08%
	234R Transfers - Other Agencies	1,101	89,993.30		89,993.30	8173.78%
	301R Interest	-	154.58		154.58	---
	401R Fees, Licenses & Permits	344,600	471,117.60		471,117.60	136.71%
	501R Refunds & Reimbursements	701,200	666,616.94		666,616.94	95.07%
	602R Sale of Equipment & Salvage	5	-		-	0.00%
	603R Rents & Leases	1,428,877	1,319,236.34		1,319,236.34	92.33%
	604R Agricultural Sales	-	-		-	---
	606R Other Sales & Services	-	-		-	---
	701R Unearned Receipts	-	-		-	---
	Total Resources Available	280,264,126	279,975,956.59		279,975,956.59	99.90%
	Funds Expended and Encumbered					
	101 Personal Services-Salaries	233,510,399	224,403,300.29	-	224,403,300.29	96.10%
	202 Personal Travel (In State)	161,123	257,285.92	-	257,285.92	159.68%
	203 State Vehicle Operation	784,887	724,717.62	-	724,717.62	92.33%
	204 Depreciation	816	1,037,609.33	-	1,037,609.33	127158.01%
	205 Personal Travel (Out of State)	15,218	31,319.12	-	31,319.12	205.80%
	301 Office Supplies	272,947	267,238.65	-	267,238.65	97.91%
	302 Facility Maintenance Supplies	1,048,021	1,425,739.63	-	1,425,739.63	136.04%
	303 Equipment Maintenance Supplies	645,322	719,466.39	-	719,466.39	111.49%
	304 Professional & Scientific Supplies	873,599	964,378.20	-	964,378.20	110.39%
	306 Housing & Subsistence Supplies	2,030,360	2,624,843.89	-	2,624,843.89	129.28%
	307 Ag,Conservation & Horticulture Supply	36,505	42,084.92	-	42,084.92	115.29%
	308 Other Supplies	336,779	851,125.03	-	851,125.03	252.73%
	309 Printing & Binding	-	420.78	-	420.78	---
	310 Drugs & Biologicals	6,482,780	6,819,110.92	-	6,819,110.92	105.19%
	311 Food	9,142,181	11,431,473.68	-	11,431,473.68	125.04%
	312 Uniforms & Related Items	1,253,448	1,750,094.64	-	1,750,094.64	139.62%
	313 Postage	74,400	84,567.45	-	84,567.45	113.67%
	401 Communications	583,593	446,521.84	-	446,521.84	76.51%
	402 Rentals	108,023	225,151.74	-	225,151.74	208.43%
	403 Utilities	8,643,354	9,552,769.02	-	9,552,769.02	110.52%
	405 Professional & Scientific Services	1,941,161	2,191,154.34	-	2,191,154.34	112.88%
	406 Outside Services	1,168,202	1,214,121.33	-	1,214,121.33	103.93%
	407 Intra-State Transfers	162,567	-	-	-	0.00%
	408 Advertising & Publicity	355	6,850.18	-	6,850.18	1929.63%
	409 Outside Repairs/Service	815,053	1,292,253.29	-	1,292,253.29	158.55%
	412 Auditor of State Reimbursements	100	-	-	-	0.00%
	414 Reimbursement to Other Agencies	3,960,760	4,632,285.82	-	4,632,285.82	116.95%
	416 ITS Reimbursements	732,742	813,920.44	-	813,920.44	111.08%
	417 Worker's Compensation	629,629	-	-	-	0.00%
	418 IT Outside Services	105	-	-	-	0.00%
	433 Transfers - Auditor of State	-	-	-	-	---
	434 Transfers - Other Agencies Services	74,143	381,808.60	-	381,808.60	514.96%
	501 Equipment	58,622	408,962.60	-	408,962.60	697.63%
	502 Office Equipment	19,951	8,152.19	-	8,152.19	40.86%
	503 Equipment - Non-Inventory	193,218	424,377.04	-	424,377.04	219.64%
	510 IT Equipment	739,542	926,762.92	-	926,762.92	125.32%
	601 Claims	400	48.15	-	48.15	12.04%
	602 Other Expense & Obligations	3,302,411	3,570,147.99	-	3,570,147.99	108.11%
	701 Licenses	11,310	11,811.46	-	11,811.46	104.43%
	705 Refunds-Other	-	-	-	-	---
	901 Capitals	450,100	33,379.00	-	33,379.00	7.42%
	---	-	199,856.70	-	199,856.70	---
	---	-	200,845.48	-	200,845.48	---
	Total Expenses and Encumbrances	280,264,126	279,975,956.59	-	279,975,956.59	99.90%
	Ending Balance				-	

Financial Status Reports—Community Based Corrections FY15

		Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual Revenues and Expenditures	Percent (Actual of Budget)
	FTE Positions					
	Residential Officer	297.87	301.02		301.02	101.06%
	Total Staffing	1,147.08	1,142.78		1,142.78	99.63%
	Resources Available					
	---	2,898,745.00	2,889,092.00		2,889,092.00	99.67%
	05A Appropriation	90,232,206.00	90,232,206.00		90,232,206.00	100.00%
	05K DAS Distribution	-	-		-	---
	---	(270,000.00)	(270,000.00)		(270,000.00)	100.00%
	---	-	-		-	---
	201R Federal Support	403,565.00	1,120,842.00		1,120,842.00	277.74%
	202R Local Governments	670,947.00	566,913.00		566,913.00	84.49%
	204R Intra State Receipts	1,030,460.00	166,526.00		166,526.00	16.16%
	205R Reimbursement from Other Agencies	161,368.00	277,872.00		277,872.00	172.20%
	234R Transfers - Other Agencies	-	-		-	---
	301R Interest	25,600.00	31,666.00		31,666.00	123.70%
	401R Fees, Licenses & Permits	5,522,869.00	5,158,397.00		5,158,397.00	93.40%
	402R Tuition & Fees	3,390,697.00	4,163,674.00		4,163,674.00	122.80%
	501R Refunds & Reimbursements	9,539,570.00	10,464,696.00		10,464,696.00	109.70%
	602R Sale of Equipment & Salvage	-	-		-	---
	603R Rents & Leases	-	-		-	---
	604R Agricultural Sales	-	-		-	---
	606R Other Sales & Services	-	-		-	---
	704R Other	211,575.00	298,699.00		298,699.00	141.18%
	Total Resources Available	113,817,602.00	115,100,583.00		115,100,583.00	101.13%
	Funds Expended					
	101 Personal Services-Salaries	99,087,611.00	97,339,909.00	-	97,339,909.00	98.24%
	202 Personal Travel (In State)	359,491.00	371,967.00	-	371,967.00	103.47%
	203 State Vehicle Operation	345,510.00	297,667.00	-	297,667.00	86.15%
	204 Depreciation	-	-	-	-	---
	205 Personal Travel (Out of State)	11,900.00	30,523.00	-	30,523.00	256.50%
	301 Office Supplies	292,333.00	325,443.00	-	325,443.00	111.33%
	302 Facility Maintenance Supplies	96,531.00	177,686.00	-	177,686.00	184.07%
	303 Equipment Maintenance Supplies	-	-	-	-	---
	304 Professional & Scientific Supplies	272,555.00	233,907.00	-	233,907.00	85.82%
	306 Housing & Subsistence Supplies	334,675.00	387,433.00	-	387,433.00	115.76%
	307 Ag.Conservation & Horticulture Supply	-	-	-	-	---
	308 Other Supplies	58,872.00	102,529.00	-	102,529.00	174.16%
	309 Printing & Binding	-	-	-	-	---
	310 Drugs & Biologicals	-	-	-	-	---
	311 Food	2,231,974.00	2,322,211.00	-	2,322,211.00	104.04%
	312 Uniforms & Related Items	2,000.00	810.00	-	810.00	40.50%
	313 Postage	-	-	-	-	---
	401 Communications	657,405.00	649,723.00	-	649,723.00	98.83%
	402 Rentals	781,571.00	781,577.00	-	781,577.00	100.00%
	403 Utilities	1,343,729.00	1,226,246.00	-	1,226,246.00	91.26%
	405 Professional & Scientific Services	3,352,735.00	2,972,730.00	-	2,972,730.00	88.67%
	406 Outside Services	418,427.00	372,877.00	-	372,877.00	89.11%
	407 Intra-State Transfers	-	-	-	-	---
	408 Advertising & Publicity	13,510.00	13,361.00	-	13,361.00	98.90%
	409 Outside Repairs/Service	515,045.00	819,604.00	-	819,604.00	159.13%
	412 Auditor of State Reimbursements	2,000.00	1,238.00	-	1,238.00	61.90%
	414 Reimbursement to Other Agencies	552,075.00	468,186.00	-	468,186.00	84.80%
	416 ITS Reimbursements	255,904.00	226,579.00	-	226,579.00	88.54%
	417 Worker's Compensation	8,500.00	223,762.00	-	223,762.00	2632.49%
	418 IT Outside Services	-	-	-	-	---
	434 Transfers - Other Agencies Services	-	-	-	-	---
	501 Equipment	205,504.00	618,938.00	-	618,938.00	301.18%
	502 Office Equipment	5,000.00	82,309.00	-	82,309.00	1646.18%
	503 Equipment - Non-Inventory	239,437.00	412,294.00	-	412,294.00	172.19%
	510 IT Equipment	1,069,571.00	764,742.00	-	764,742.00	71.50%
	601 Claims	-	-	-	-	---
	602 Other Expense & Obligations	338,305.00	396,064.00	-	396,064.00	117.07%
	609 Bonds, Credit Union, Deferred Comp	-	-	-	-	---
	705 Refunds-Other	-	-	-	-	---
	901 Capitals	47,800.00	96,105.00	-	96,105.00	201.06%
	---	917,632.00	3,139,832.00	-	3,139,832.00	342.17%
	---	-	244,331.00	-	244,331.00	---
	Total Expenses	113,817,602.00	115,100,583.00	-	115,100,583.00	101.13%
	Ending Balance				-	

Average Cost Figures FY2015

Prisons	\$93.61	per day cost
Length of Stay = 19.5 Months		
Community Based Corrections		
Pretrial Interviews	\$53.29	per interview
Presentence Investigations	\$386.76	per investigation
Pretrial Release with Supervision	\$2.75	per day cost
Low Risk Probation Supervision	\$0.57	per day cost
Probation/Parole Supervision	\$4.88	per day cost
Drug Court	\$21.47	per day cost
Sex Offender	\$16.85	per day cost
Intensive Supervision Program	\$7.99	per day cost
IDAP	\$2.68	per day cost
Residential (includes Work Release, OWI, Probationers, etc.)	\$76.71	per day cost
Electronic Monitoring:		
Radio Frequency—Landline	\$1.55	per day cost
Radio Frequency—Cellular	\$2.25	per day cost
GPS 1 pc. Unit	\$3.15	per day cost
GPS 2 pc. Unit	\$4.15	per day cost
MEMS Alcohol Landline	\$3.10	per day cost
MEMS Alcohol Cellular	\$3.50	per day cost



ICON

Iowa Corrections Offender Network (ICON), the Department’s fully integrated case management database continues to improve & grow. Here are a few of the ICON programming developments from FY15:

- The Board of Parole began use of the ICON database in 2013. The initial rollout of the BOP Docketing, Voting & Decisions & subsequent addition of the BOP Revocation Processing was a large undertaking. FY15 was dedicated to continued enhancements and growth of the module.
- The DRAOR Assessment & Case Plan were fully implemented by the CBC’s. This not only included ICON programming but training of the instrument for all case managers throughout the state. During the implementation phase programming changes and additions were requested and completed.
- The Iowa Risk Revised Assessment, which included automated scoring functionality, was also rolled out.
- ICON mobile application was tested for iPhone and ICON Medical Android.
- Additional functions are continually be added to the kiosks used by offenders in prison, residential and field offices. Some examples in FY15 include; inmate maintenance of their phone contacts, offender phone complaints statewide mailbox, pharmacy refill requests and the addition of the Digital Law Library.



The goal of the ICON database is to continue to provide correctional staff comprehensive information they need in the supervision of an offender while using technologies that improve efficiencies and operations. The information contained in ICON is not only valuable to DOC staff but also used by numerous outside agencies.

Outside Federal, State and Local Agencies with System Access	
Governor's Office	Federal Probation/Parole Offices
Public Safety	Citizen's Aide/Ombudsman
Human Services (Child Support Recovery Unit)	Criminal and Juvenile Justice Planning
Attorney General	Inspection and Appeals
Board of Parole	Insurance Fraud Bureau
Local Sheriff and Police Departments	Vocational Rehabilitation Services
Immigration and Customs Enforcement	Workforce Development

Anamosa State Penitentiary
 406 North High Street
 Anamosa, Iowa 52205
 (319) 462-3504

Fort Dodge Correctional Facility
 1550 L Street
 Fort Dodge, Iowa 50501
 (515) 574-4700

Newton Correctional Facility
 307 South 60th Avenue, W
 Newton, Iowa 50208
 (641) 792-7552

Clarinda Correctional Facility
 2000 North 16th Street
 Clarinda, Iowa 51632
 (712) 542-5634

Iowa Correctional Institution for Women
 420 Mill Street
 Mitchellville, Iowa 50169
 (515) 725-5006

Iowa Medical and Classification Center
 2700 Coral Ridge Avenue
 Coralville, Iowa 52241
 (319) 626-2391

Iowa State Penitentiary
 2111 330th Avenue
 Fort Madison, Iowa 52627
 (319) 376-4880

Mount Pleasant Correctional Facility
 1200 East Washington Street
 Mount Pleasant, Iowa 52641
 (319) 385-9511

North Central Correctional Facility
 313 Lanedale
 Rockwell City, Iowa 50579-7464
 (712) 297-7521

**Annual Report prepared by:
 Terri Meier 515-725-5783**

STATE OF IOWA

**Mission:
 To Advance Successful Offender
 Re-Entry to Protect the Public,
 Staff and Offenders from
 Victimization**



First Judicial District
 314 East 6th Street
 Waterloo, Iowa 50704-4030
 (319) 236-9626

Second Judicial District
 510 Fifth Street
 Ames, IA 50010-0623
 (515) 232-1511

Third Judicial District
 515 Water Street
 Sioux City, Iowa 51103
 (712) 252-0590

Fourth Judicial District
 801 South 10th Street
 Council Bluffs, IA 51501
 (712) 325-4943

Fifth Judicial District
 1000 Washington Avenue
 Des Moines, Iowa 50314
 (515)242-6611

Sixth Judicial District
 951 29th Avenue SW
 Cedar Rapids, Iowa 52404
 (319) 398-3675

Seventh Judicial District
 605 Main Street
 Davenport, Iowa 52803-5244
 (563) 322-7986

Eighth Judicial District
 1805 West Jefferson
 Fairfield, Iowa 52556
 (641) 472-4242
 605 M