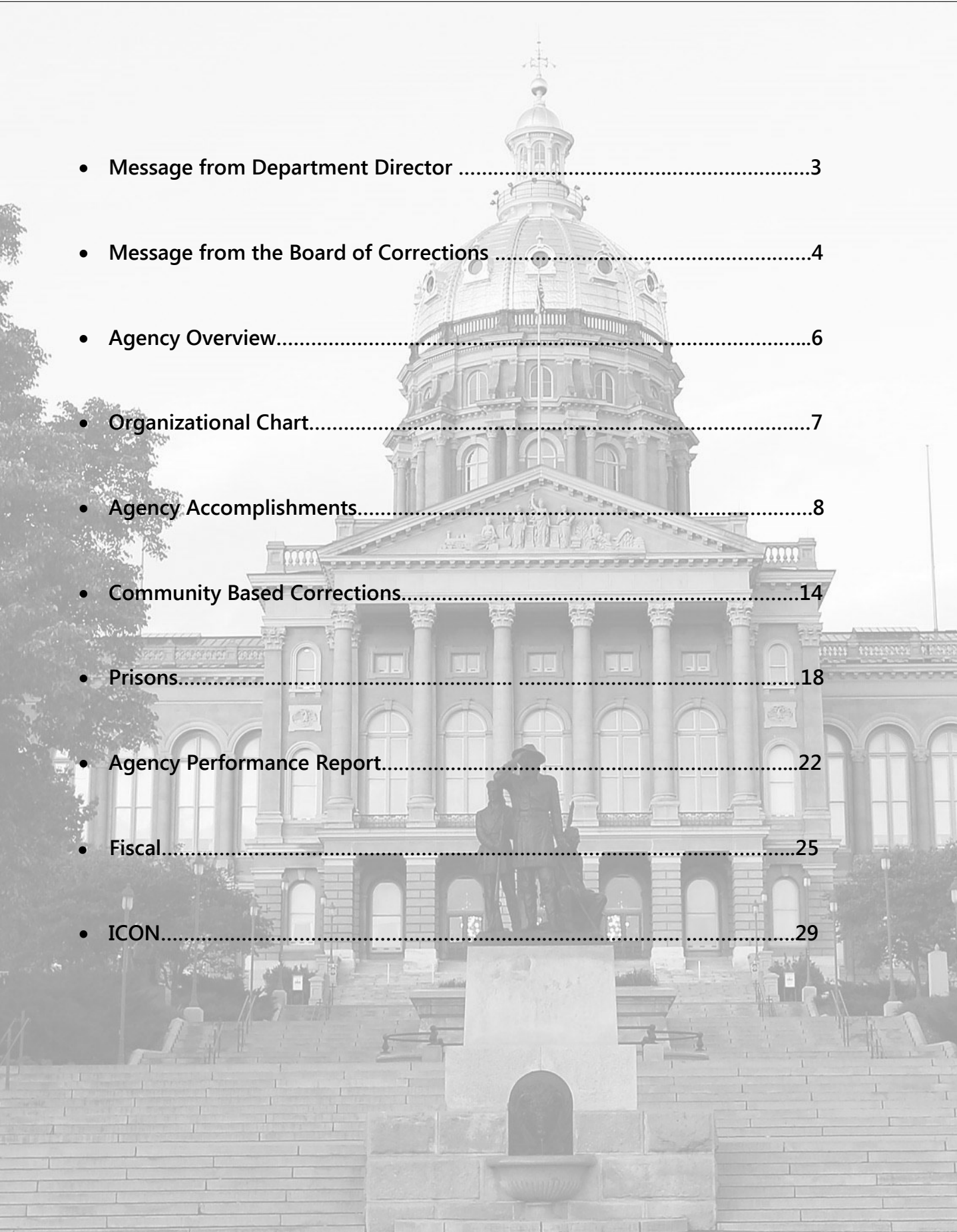


Iowa Department of Corrections FY2016 Annual Report

Offender Success is our goal.
Reentry is our process.
Evidence Based Practices are our tools.
Staff is our most important asset.

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Message from Director Jerry Bartruff

FY2016 was a year where the department focused efforts on doing two things well. The first was the August 1, 2015 move of maximum security inmates from the historic 176-year-old Iowa State Penitentiary to the current site, a state-of-the-art facility. Over five hundred offenders were moved in fewer than six hours, beginning in the early morning and ending by one o'clock in the afternoon. The move was a collaborative effort between the Iowa Department of Corrections and other agencies. The 215-person security force included correctional officers from six facilities, the Iowa State Patrol, local law enforcement, the Iowa Department of Natural Resources, the Iowa Department of Transportation, and the Illinois Department of Corrections. Planning had been underway for two years prior to the move, and the security measures put into place ensured the safety of all.



The second thing I'm proud to report is the significant strides to embed evidence-based practices within our policies and practices as part of our statewide recidivism reduction strategy. Iowa is a recognized national leader in corrections, and our goal is to be the best correctional department in the country. Iowa's recidivism rate is lower than the national average, but it is our goal to reduce recidivism further by creating opportunities for safer communities.

Recently, we engaged the University of Cincinnati to conduct training for Core Correctional Practices, which research demonstrates is effective in lowering recidivism rates. Core Correctional Practices focuses on the way we interact with people on supervision or in custody: (a) relationship skills, (b) effective reinforcement, (c) effective use of disapproval, (d) effective use of authority, (e) prosocial modeling, (f) cognitive restructuring, and (g) social skills training and problem solving skills. Additionally, we engaged the American Probation and Parole Association to develop a four-phase training plan for staff that emphasizes skills related to recidivism reduction. The training will be tailored to specific positions in order to ensure all staff have the right knowledges and skills to be effective change agents in people's lives.

The recidivism reduction strategy also includes Continuous Quality Improvement, which is a model that assumes most processes and outcomes can be improved, and we can empower our staff to create change for the better. Small, systematic change efforts that persist over time do effect improvements in organizational performance as a whole over time.

Rounding out our statewide recidivism reduction strategy are efforts involving case management workloads, examining job competencies in light of evidence based practices, and reviewing reentry processes and correctional programs. Foundational to effective implementation of the strategy is organizational development, so that we truly pull together as a unit and push the system to perform at the highest level, and ensure the organizational infrastructure and culture is able to support and sustain the many changes happening across the state. Organizational development is critical in ensuring sustainability of the strategy over time through transparency, data-driven decision-making, communication, input from staff, leadership, and policy development.

As we move forward, I challenge all corrections staff to continue the excellent work here in Iowa, and become champions for creating opportunities for change with individuals under our supervision and the larger system as a whole.

Message from the Board of Corrections



Sitting from Left to Right: Dr. Mary Chapman, Dr. Lisa Hill, and Rebecca Williams

Standing from (L to R): Larry Kudej, Rev. Michael Coleman, Richard LaMere, and Dr. John Chalstrom

The Iowa Board of Corrections acknowledges the work Governor Branstad and Lt. Governor Reynolds have accomplished to restore predictability and stability to the state's budget process. The Board believes that the state is on a prudent and sustainable financial course. The Board also believes that maintaining existing staffing levels is paramount in meeting the Department of Correction's mission. Therefore, salary annualization, based on amounts to be determined through bargaining, for salaries and benefits is necessary. The Board understands its obligation to bring forward budget requests that, if funds allow, would improve Iowa's Correctional System.

To this end, a list of operational requests include:

Description		Amount
CBC's	Provides probation/parole staffing to ensure data driven programming results through offender accountability and risk reduction concepts	\$975,604
Prison's	Provides treatment staffing for Sex Offender Treatment (SOTP), Substance Abuse (SA CBI), and Cognitive Behavioral (T4C) to increase numbers receiving treatment	\$324,350
Statewide Recidivism Reduction Continuation	Provides state funding to maintain four grant funded positions to ensure implementation and sustainability when federal funds expire in October 2018	\$467,915

IMCC Dialysis Unit	Provides nursing staffing and operational support for creation of a dialysis unit at IMCC	\$280,668
Hepatitis C Pharmacy	Provides funds for new FDA approved medication that meets accepted community standard of treatment	\$2,190,00
County Confinement	Provides systematic efficiencies and management of jail days by working in collaboration with CBC staff and local jails and at the same time, providing safety for communities	\$750,000
Total		\$4,988,537

The Board deeply appreciates the Governor's support for Iowa's Correctional System. The Department will continue to hire, train and retain the best possible staff, focus resources on those most likely to reoffend and implement evidence based and research-informed practices that are proven to reduce recidivism. When recidivism is reduced, there are fewer victims, communities are safer and we decrease the future costs of corrections.

In order to accomplish desired outcomes of safer communities, crime reduction, responsible use of taxpayer dollars and quality services, the Department was honored in October 2014 to be one of five states to receive a Second Chance Act award to reduce recidivism and comprehensively address system needs and challenges. The Statewide Recidivism Reduction initiative affords the Department an opportunity to build on existing work and infrastructure, increase cost-effectiveness, leverage resources across multiple agencies and demonstrate the importance of state-wide agency collaboration around public safety.

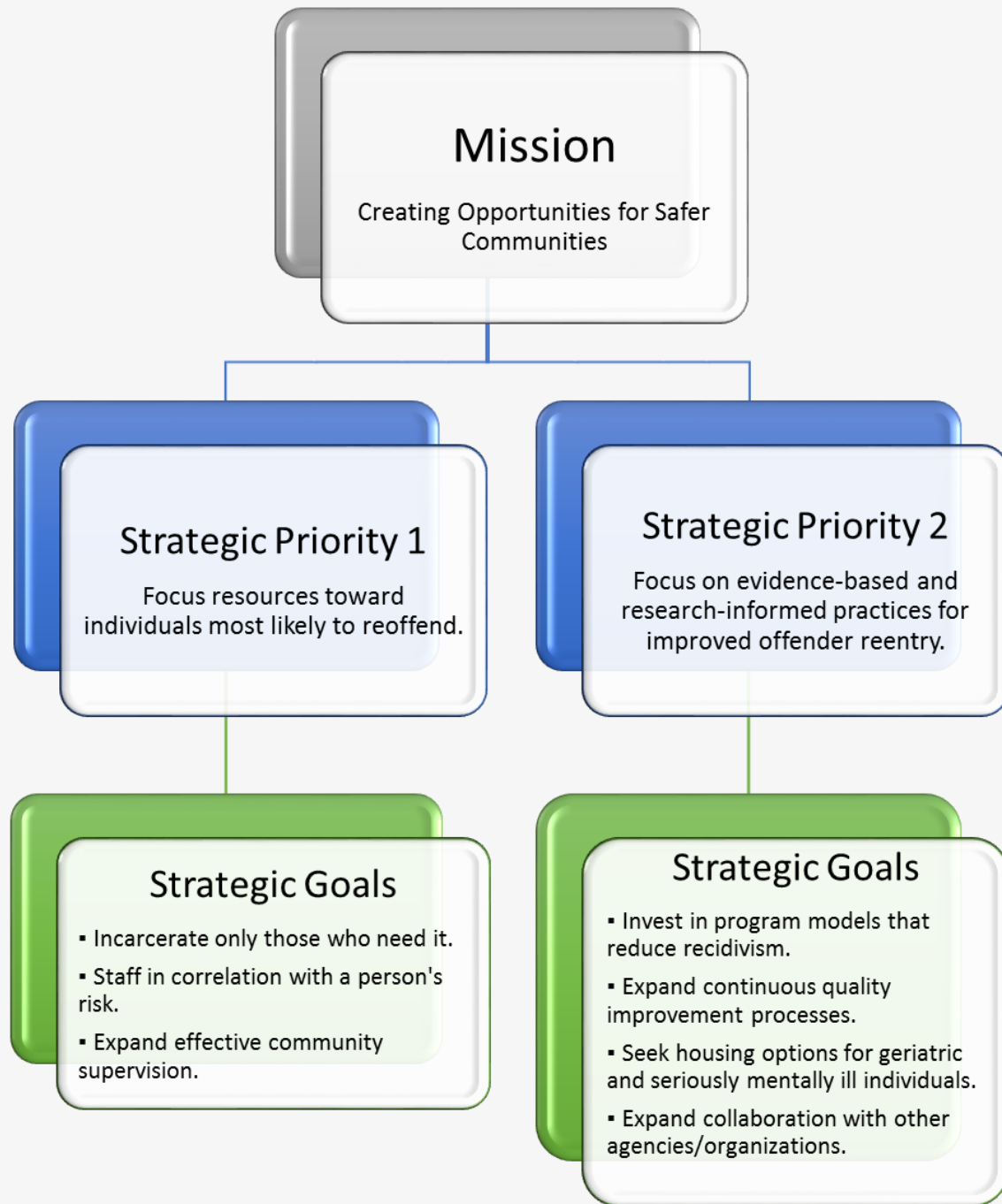
We will continue to challenge the Department to invest in program models that reduce recidivism, expand continuous quality improvement processes, increase collaboration with other agencies/organizations, staff in correlation to offenders' risk levels and expand effective community supervision. Performing these strategic initiatives will lead to desired outcomes in public safety and crime reduction, offender accountability, responsible use of taxpayer dollars and quality services.

On behalf of the Iowa Board of Corrections,

Rev. Michael Coleman, Chair
Board of Corrections

Richard LaMere, Vice Chair
Board of Corrections

Agency Overview

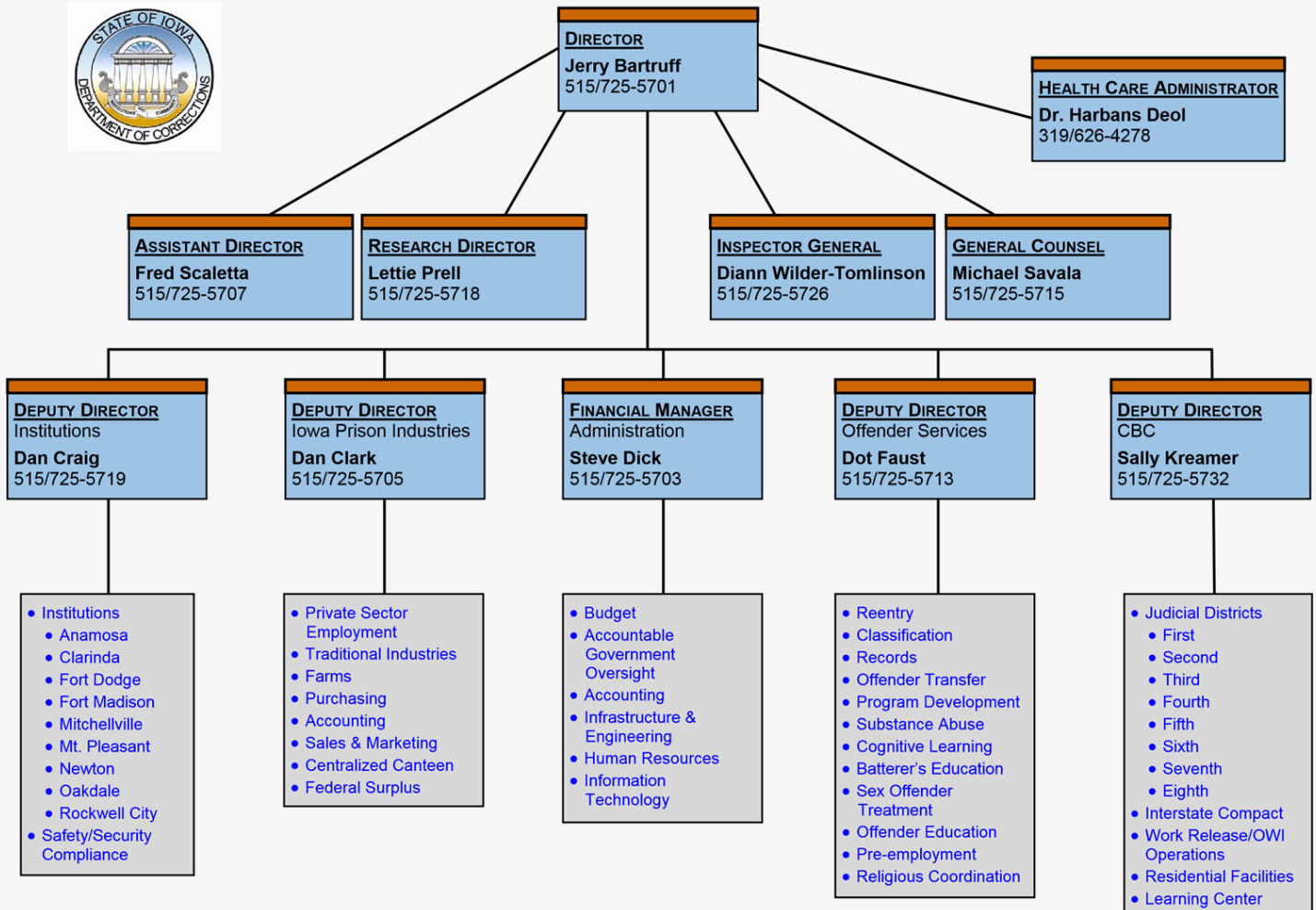


Desired Outcomes

- | | |
|--|---------------------------------------|
| ▪ Safer communities | ▪ Quality services |
| ▪ Accountability for those who have broken the law | ▪ Responsible use of taxpayer dollars |

Organizational Chart

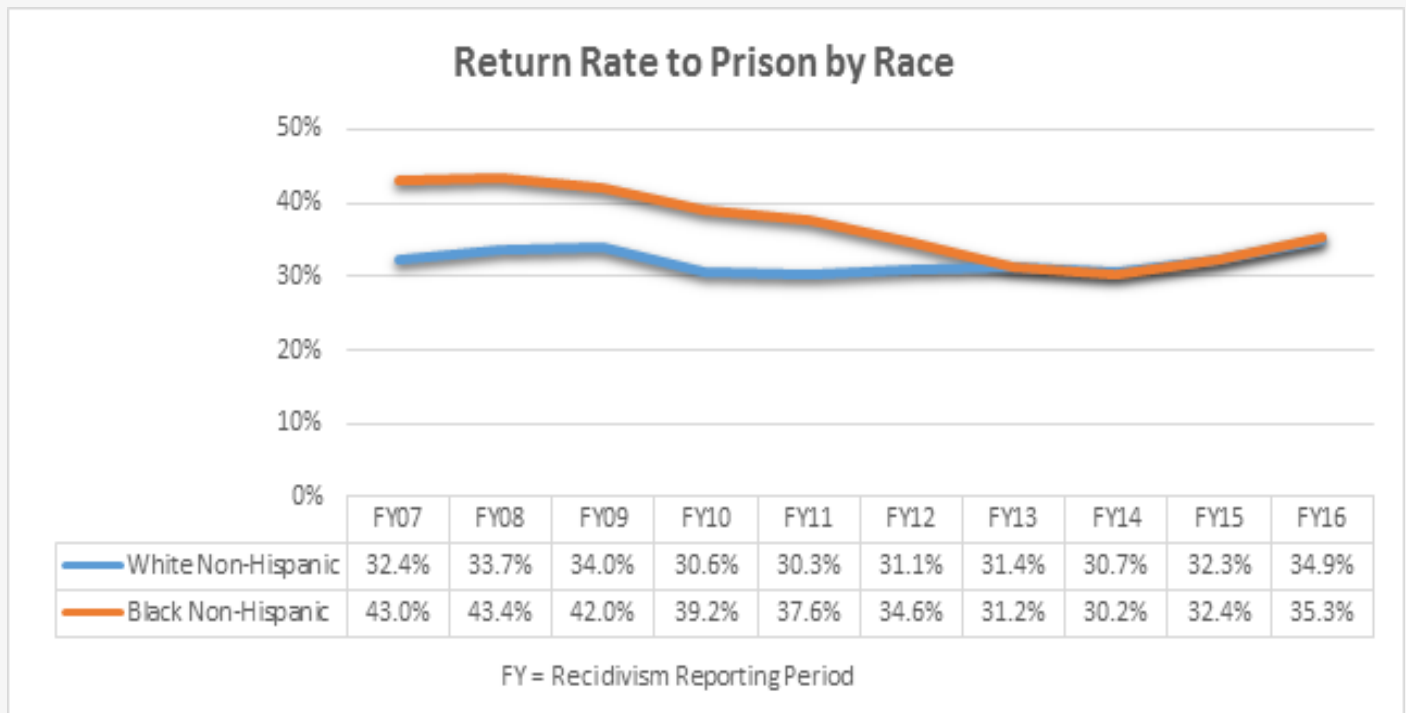
Iowa Department of Corrections - Central Office



Agency Accomplishments

African-American Recidivism Remains Equal to Whites

For the fourth year in a row, there is no statistically significant difference in recidivism rates between non-Hispanic Whites and African-Americans. African-American offender reentry efforts in Des Moines and Waterloo continue to make a difference.



Agency Accomplishments

Statewide Recidivism Reduction Initiative

The Statewide Recidivism Reduction Strategy is a collaborative effort to contribute to safer communities through recidivism reduction strategies aimed at systemic, sustainable long-term change. The multi-prong approach includes training, human resources, workload analysis, and seamless reentry practices, with continuous quality improvement models woven throughout. This is a statewide effort with support from the Governor's office, state and local agencies, community-based corrections and prisons to improve outcomes for justice system-involved individuals.

Some highlights from Strategy work this year include:

- With the assistance of the American Probation and Parole Association, conducted a time study of case managers in community-based corrections and prisons in order to document current workload demands and optimal caseload sizes. Over six hundred staff participated in the time studies.
- Dr. Kim Sperber trained over forty staff statewide on how to conduct quality improvement on the core risk assessments that determine whether an individual's level of risk warrants higher levels of supervision and case planning activities to address risk.
- The University of Cincinnati trained thirty-six corrections staff as trainers in Core Correctional Practices that have been proven to reduce recidivism. Additionally, ninety staff across the state participated in a two-day training on Core Correctional Practices.

Agency Accomplishments

Mental Health Information Sharing

The Iowa Department of Corrections and Eyerly Ball Community Mental Health have collaborated on an Information Sharing solution grant awarded by the U.S. Bureau of Justice Assistance. The work involved establishing a process that meets all HIPAA requirements, followed by database programming provided by both agency vendors. The vendors worked cooperatively to develop a two-way exchange of medical information.

This cutting edge exchange of medical information helps reduce the waiting time for this important information. The prison medical staff are able to spend fewer resources duplicating work, receive a more accurate picture of a person's mental health, and results in faster services including potentially critical medication. This exchange went live in November 2016, and there is the potential to expand the exchange with other community mental health providers in Iowa.

How it Works:

Upon an offender's intake into the IDOC prison system, they are asked if they have ever received services from Eyerly-Ball. If yes, the offender and medical staff both sign the electronic release of information within the IDOC medical database using an electronic signature pad. Once the release is signed, IDOC staff submit the release and the request is sent to Eyerly-Ball's medical database. The Eyerly-Ball database will take the request and search for offender data match, using name, sex, date of birth, and social security #. If the match is found, Eyerly-Ball's system will automatically send a secure Continuity of Care Document (CCD) to IDOC. This secure document contains diagnosis, medication and assessments/testing. If there is a partial offender data match, this request will go to a queue within the Eyerly-Ball system and Eyerly Ball staff will verify if it is a match or not. If it is a match they simply confirm and the CCD is transmitted. If not, they reject and IDOC will be sent an electronic message that the patient (offender) was not found.

Agency Accomplishments

Apprenticeship Program

Many "returning citizens" in the Iowa Department of Corrections (IDOC) are taking advantage of opportunities in skill-based training and education programs. The IDOC has partnered with the U.S. Department of Labor (USDOL) Office of Apprenticeship to develop apprenticeship programs in all nine state correctional facilities. Since May of 2015, the IDOC apprenticeship program has grown 720% to 263 participants. On April 25, 2016, IDOC was designated as an Apprenticeship USA Leader by the USDOL.

There are currently nineteen programs offered: Landscape Technician, Housekeeper (Com, Res, Ind), Cook (any industry), Maintenance Repairer to Building, Screen Printer, Upholsterer, Materials Coordinator, Computer Operator, Welder, Baker (Bake Produce), Painter Construction, Sewing Machine Repair, Cabinet Maker, Electrician, Refrigeration & Air Conditioning Mechanic, Plumber, Fabricator-Assembler Metal Production, Powder Coating Technician, and Carpenter. These programs range from one to four year programs.

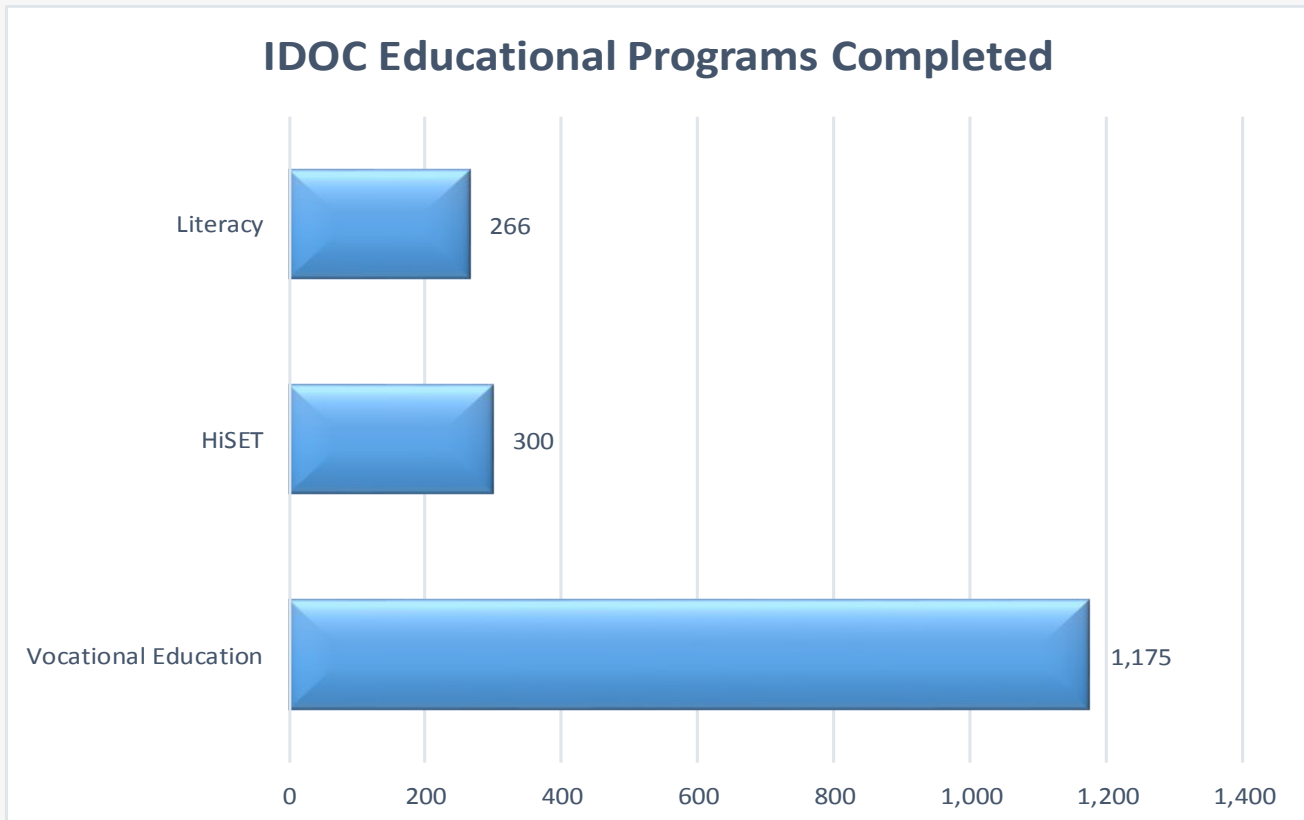
The IDOC continues to collaborate with colleges, Iowa Works, Veterans Affairs, manufacturers, Labor Unions, and others to assist in developing a highly skilled workforce by training offenders for skilled jobs that are in high demand.

Agency Accomplishments

Over 1,700 Graduates of Correctional Education Programs

The state's community colleges contract with IDOC to provide quality education and vocational programs in the state prison system. During FY 2016, 266 offenders completed literacy programs; 300 offenders received high school equivalency diplomas (HiSET); and 1,175 completed vocational education programs.

Compared with last year, there was a large increase in vocational education program completions, which grew from 980 to 1,175 or by nearly 20%.



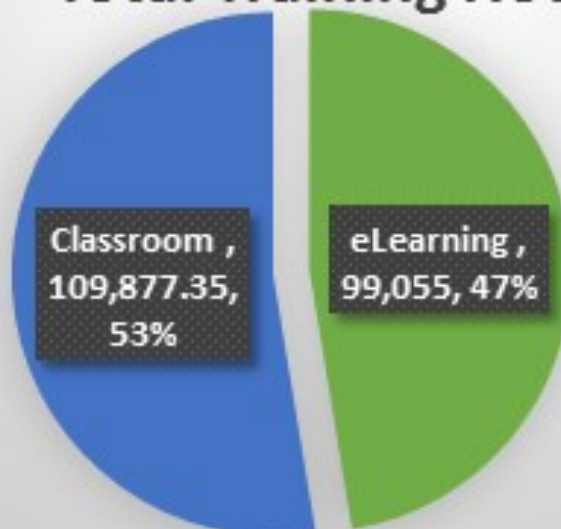
Agency Accomplishments

Providing Staff Training

The Learning Center offers courses that are mandated by Department policy to meet training requirements based on position classification, department initiatives, the American Correctional Association (ACA), the Federal Statement of Work (SOW), the National Prison Rape Elimination Act (PREA), the Occupational Safety and Health Administration (OSHA), and the Iowa Code and Administrative Rules. New training is being designed and implemented in conjunction with the Statewide Recidivism Reduction Strategy to focus training on evidence based competencies and core correctional practices for specific job classifications.

As shown in the pie chart, eLearning provides roughly half of all staff training hours, reducing the cost of training. The number of training hours per employee averaged 55.61 for FY16. This represents a 22% increase over the average of 43.25 training hours per employee for FY15.

Total Training Hours



Community Based Corrections



First District



Fifth District



Second District



Sixth District



Third District



Seventh District

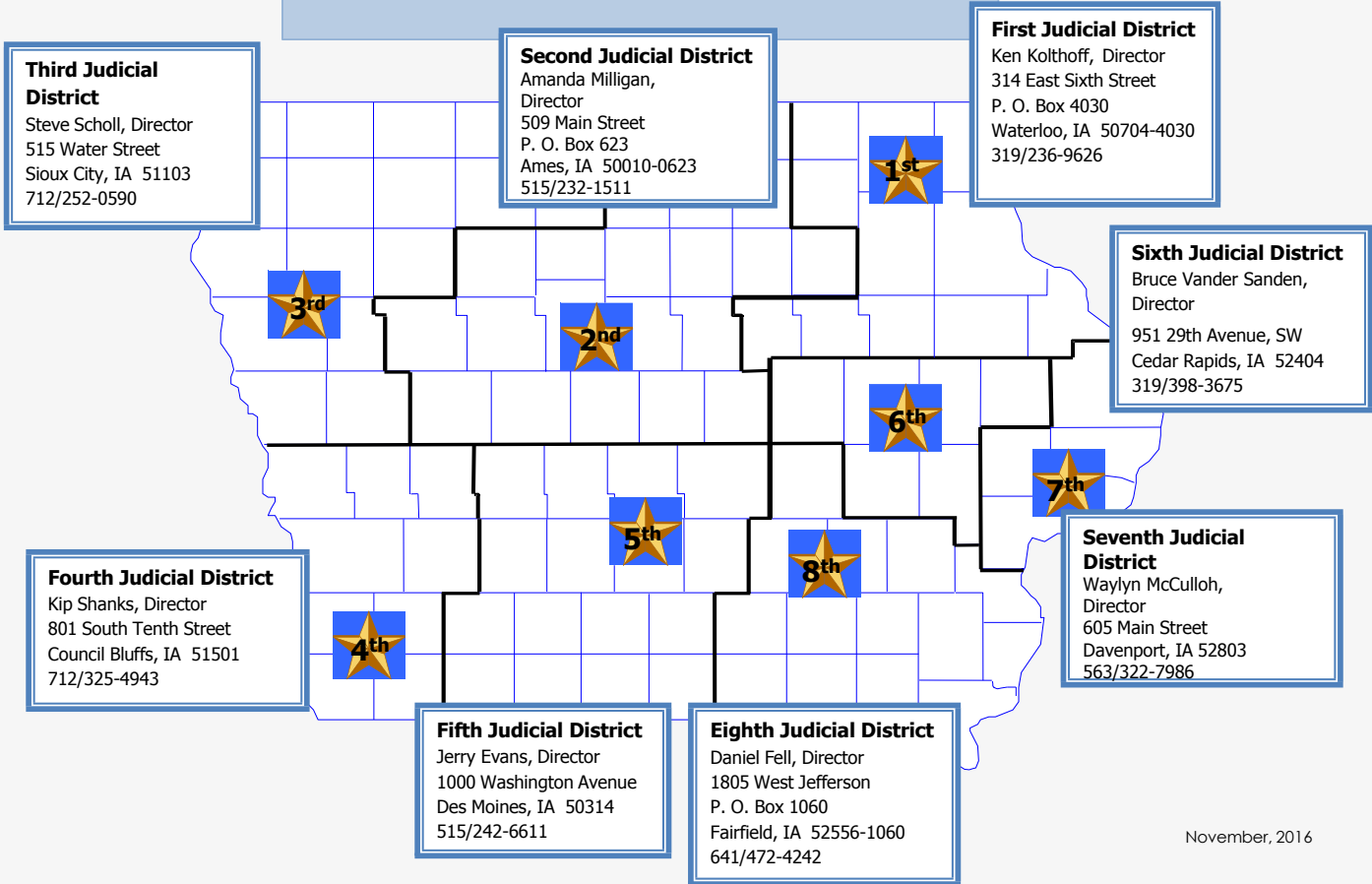


Fourth District

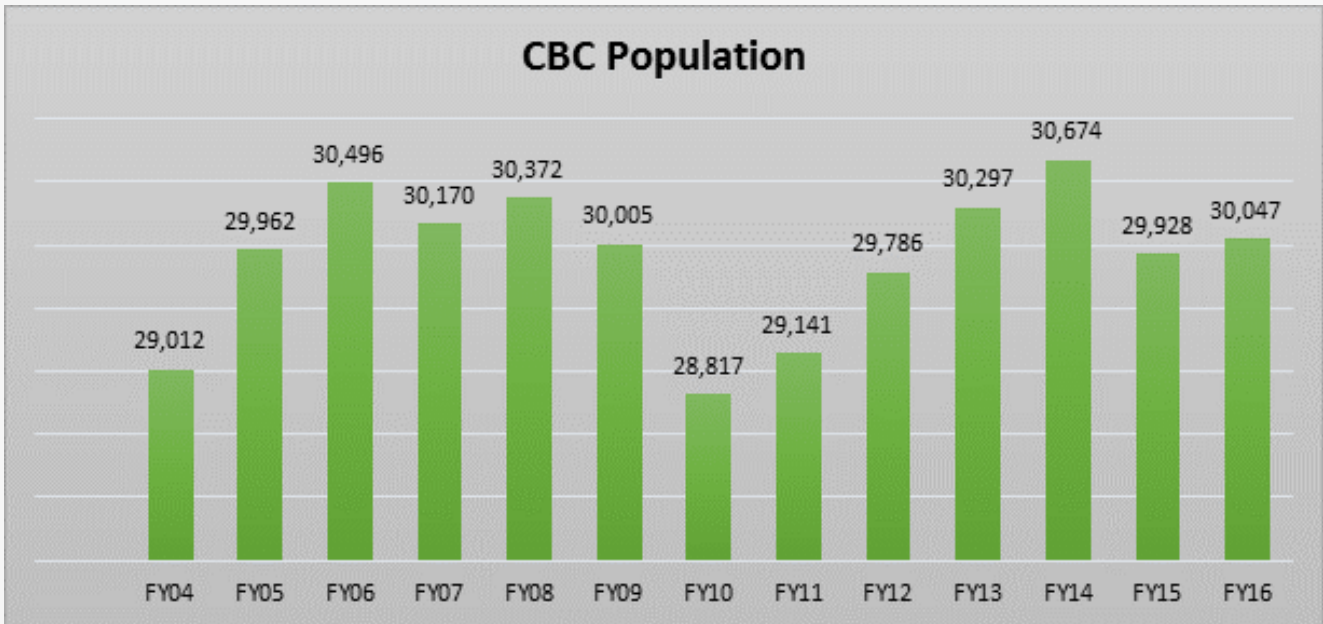


Eighth District

Iowa Department of Correctional Services COMMUNITY-BASED CORRECTIONS

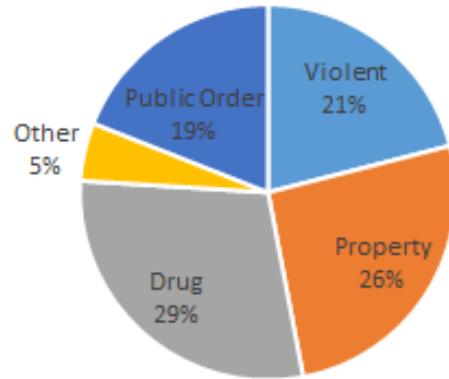


November, 2016



Offense Types

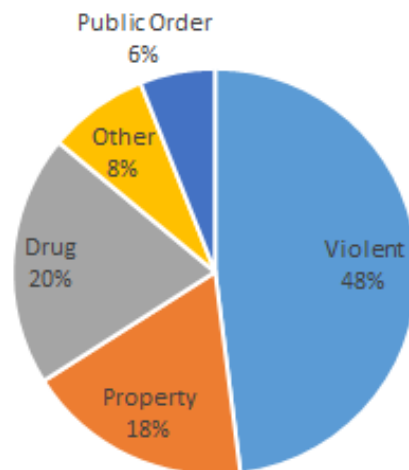
Offense Types CBCs
FY2016



Drug offenders make up 29% of individuals on community supervision—the most common offense category.

Over one-fourth of offenders are on supervision for property offenses.

Offense Types Prisons FY2016



Nearly half of all prison inmates are serving time for violent crimes as their most serious offense.

One in five are incarcerated for drug offenses.

CBC Offenders Served

CBC Field Services	Active at Start 7-01-15	New Admits FY16	Closures FY16	Active at End 6-30-16	Offenders Served
CCUSO Transitional Release	3	2	4	2	5
Federal	0	2	1	2	2
Interstate Compact Parole	326	202	222	300	528
Interstate Compact Probation	979	603	553	983	1,582
No Correctional Supervision Status	7	70	77	3	77
OWI Continuum	7	46	3	3	53
Parole	3,548	2,914	2,622	3,586	6,462
Pretrial Release With Supervision	1,525	4,762	4,699	1,542	6,287
Probation	21,912	15,215	15,226	20,994	37,127
Special Sentence	702	174	192	772	876
Statewide Total	29,009	23,990	23,599	28,187	52,999

CBC Residential Services*	Active at Start 07/01/15	New Admits FY16	Closures FY16	Active at End 6/30/16	Offenders Served*
CCUSO Release w/Supervision	1	0	1	0	1
Federal	264	598	646	230	862
Interstate Compact Parole	2	13	2	2	15
Interstate Compact Probation	1	14	4	5	15
Jail (Designated Site)	4	61	45	4	65
OWI Continuum	114	315	341	90	429
Parole	10	62	34	10	72
Pretrial Release With Supervision	2	39	5	3	41
Probation	799	2,070	1,747	777	2,869
Special Sentence	85	202	157	80	287
Work Release	560	2,164	1,863	578	2,724
Statewide Total	1,842	5,539	4,846	1,779	7,381

Iowa Prisons



Anamosa State Penitentiary, Anamosa



Clarinda Correctional Facility, Clarinda



Iowa Correctional Institution for Women,
Mitchellville



Fort Dodge Correctional Facility, Fort Dodge

Iowa Prisons



Iowa Medical and Classification Center, Coralville



Iowa State Penitentiary, Fort Madison



Mt Pleasant Correctional Facility, Mt. Pleasant



Newton Correctional Facility, Newton

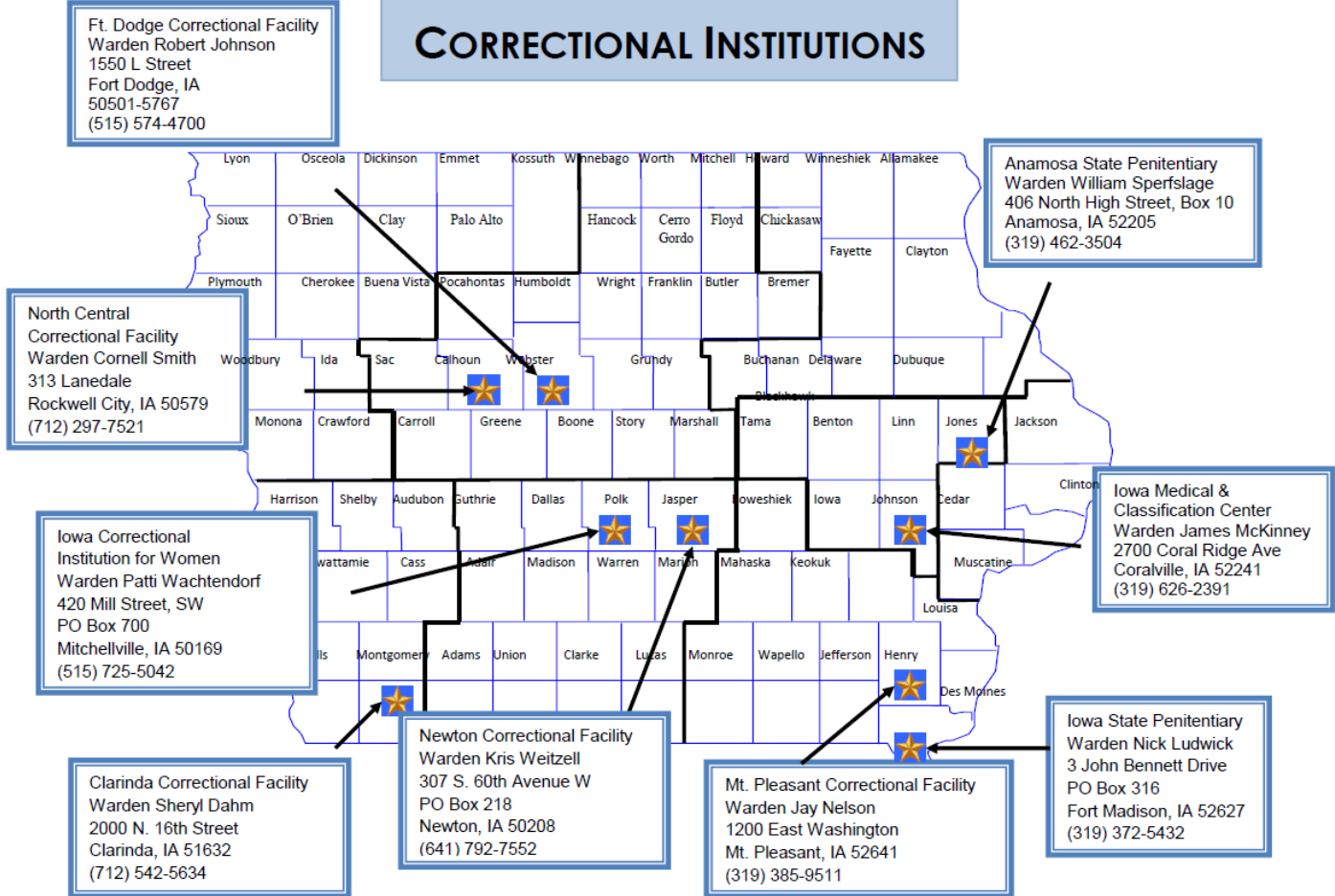


North Central Correctional Facility, Rockwell City

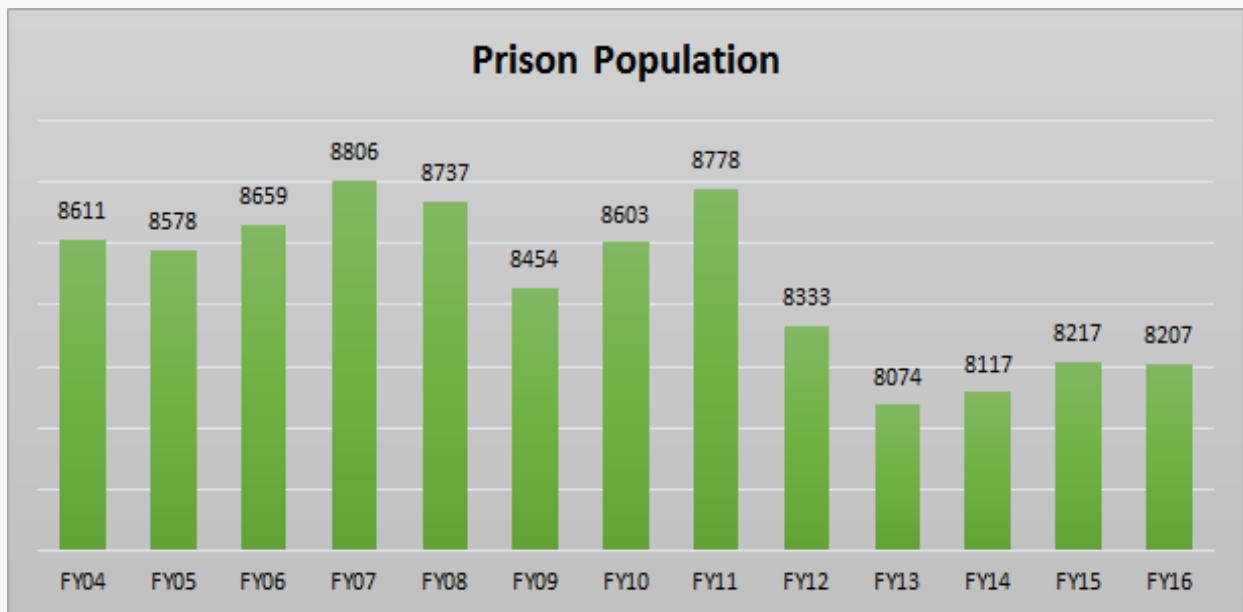
Iowa Department of Corrections

November, 2016

CORRECTIONAL INSTITUTIONS



Prison Population



Institution Population

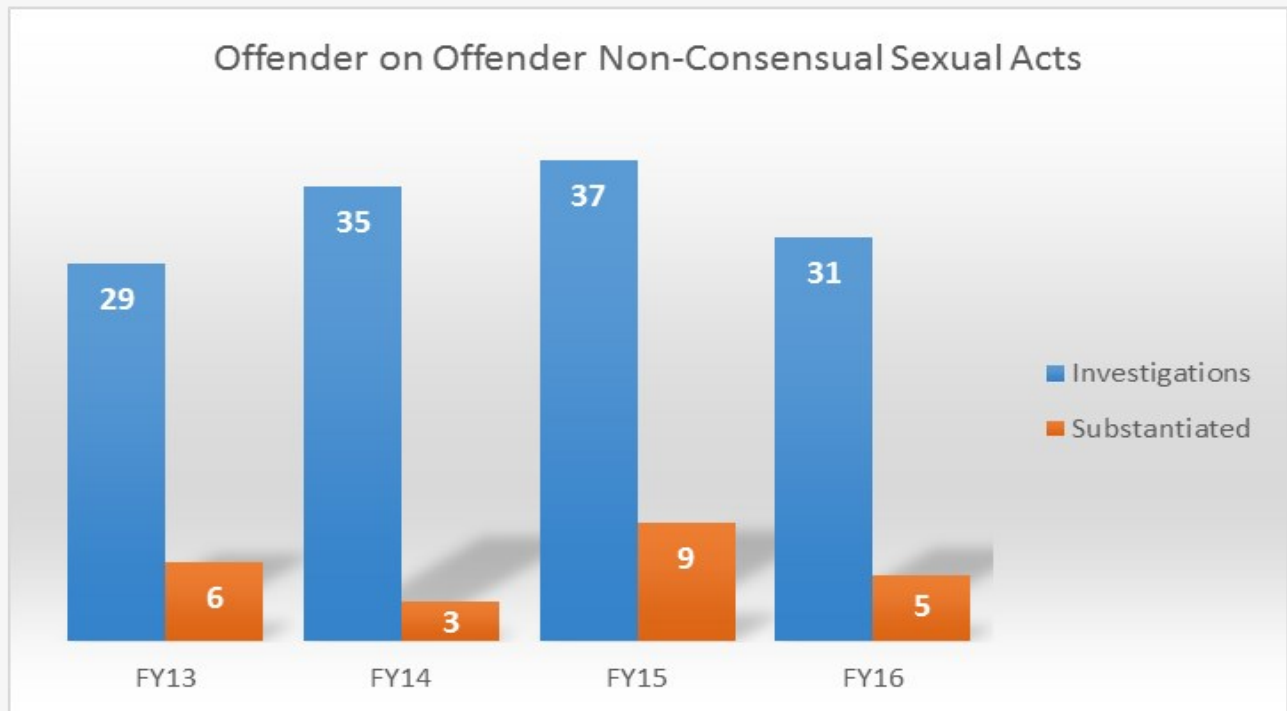
<u>Iowa Prison Population, Admissions & Releases</u>			
	FY2015	FY2016	% Change FY2015-16
New Court Commitment	1,821	1,933	6.2%
Probation Revocations	1,569	1,574	0.3%
Parole Returns	538	608	13.0%
Escape Returns	0	4	---
Work Release Returns	582	707	21.5%
OWI Facility Returns	63	54	-14.3%
Special Sentence Returns	91	91	0.0%
County Jail Holds	542	498	-8.1%
Other Admissions	60	92	53.3%
Total Admissions	5,266	5,561	5.6%
Release to Work Release	1,337	1,515	13.3%
Release to OWI Facility	124	122	-1.6%
Parole Releases	2,013	2,142	6.4%
Release to Shock Probation	98	98	0.0%
Escapes	0	4	---
Expiration of Sentence	1,048	1,068	1.9%
Release to Special Sentence	188	158	-16.0%
County Jail Holds	215	277	28.8%
Other Final Discharges	32	39	21.9%
Other Releases	111	148	33.3%
Total Releases	5,166	5,571	7.8%
Ending Prison Population	8,217	8,207	-0.1%
Prison Capacity	7,276	7,322	

Agency Performance Report

Iowa Department of Corrections FY2016 Performance Report

Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments and Analysis
Core Function: Offender supervision, custody and treatment			
Post-Custody/Post-Supervision Recidivism Rate (3 year follow-up; high risk offenders only)	31.9%	34.20%	Target not met. The increase is small but statistically significant.
Number of escapes (prison only)	0	8	Target not met. Striving for zero.
Number of disturbances (prison only)	0	2	Target not met. Striving for zero.
Percent DNA collected on eligible offenders	90.83%	91.40%	Target met/exceeded.
Service, Product or Activity: Risk Identification			
Percent required validated risk assessments completed within 90 days.	Prisons-94%	99.90%	Target met/exceeded.
Percent required custody classifications completed within 45 days.	98.2%	99.90%	Target met/exceeded.
Percent of prison inmates housed in institutions that match their security level per custody classification assessments.	Max-67% Med-99% Min-70%	Max-77% Med-99% Min-72%	Target met or exceeded for all security levels.
Service, Product or Activity: Risk Management			
Number of Suicides/Attempts	1/12	1/17	Target met. However, we are striving for zero suicides
Number of offender deaths from natural causes and other than suicide	15	26	As the inmate population ages we are planning for an increase in end-of-life care and additional deaths.
Prison population as a % of capacity	113%	112%	Target met.
Community Corrections Staffing: % required FTEs that are filled.	83.8%	89.5%	Target met/exceeded. However, more needs to be done to right-size staff and offender populations to provide best supervision.
Service, Product or Activity: Risk Reduction			
Percent of interventions designated by Offender Services as evidence based.	45.3%	43.4%	Target met. The difference is not statistically significant. FY2017 activities will update EBP statuses for all programs.
Service, Product or Activity: Basic Life Care			
Number of offender serious injuries	36	40	Did not meet target.
Number of staff serious injuries	14	15	Did not meet target.
Staff sexual misconduct: Investigations/substantiated	25/4	30/7	Target not met, however this measure may be reflecting improvements in reporting, investigations and substantiations.
Offender on Offender abusive contact: investigations/substantiated	18/4	27/6	Target not met, however this measure may be reflecting improvements in reporting, investigations and substantiations.
Offender on Offender non-consensual acts: investigations/substantiated	34/10	31/5	Target met/exceeded.
Staff sexual harassment: investigations/substantiated	31.3	15/2	Target met/exceeded.

Performance Measure Highlights



Implementing the Prison Rape Elimination Act

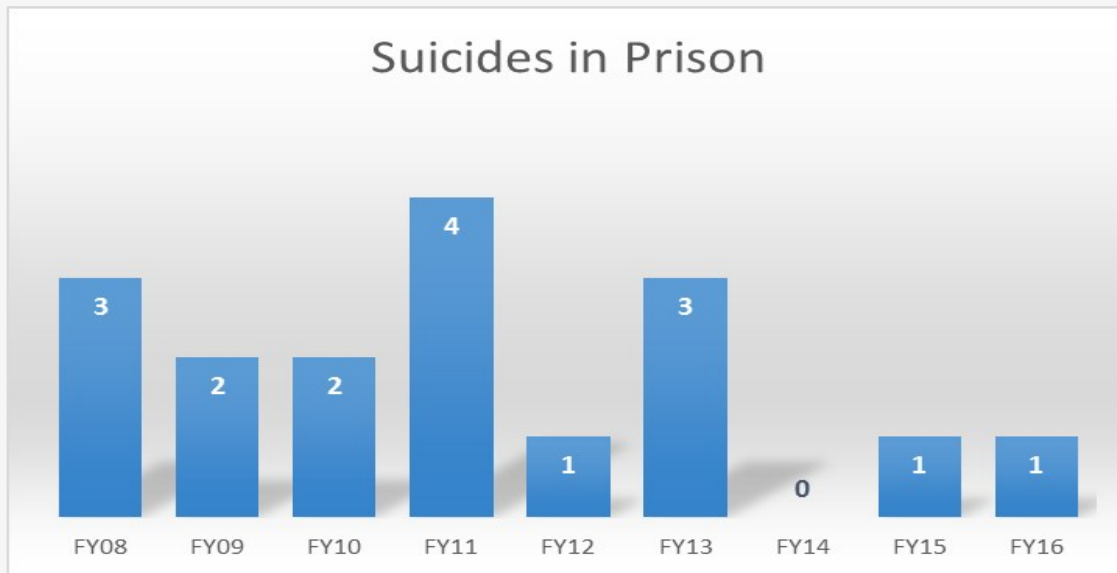
Why this is Important:

It's about offender safety. The Prison Rape Elimination Act (PREA) is a federal statute focused on sexual assault and victimization in juvenile facilities, prisons, jails, lockups, and other detention facilities. The goal of PREA is to prevent, detect, and respond to sexual abuse in detention and correctional facilities.

What we're doing about this:

All DOC institutions have completed and passed PREA audits that ensure compliance with PREA standards. Audits will be conducted every three years to ensure compliance.

Performance Measure Highlights



Offender Suicides

Why this is important:

It's about providing basic life care for individuals in custody, and intervening effectively to prevent them from harming themselves.

What we're doing about this:

Staff training is key to prevention. Recognizing the warning signs of someone contemplating suicide, and intervening in an emergency situation are essential. Staff training goes beyond these basics to include recognition of and effective communication with individuals who have mental health issues. Regular screening for mental illness and provision of appropriate levels of care ranging from acute units to integration within the general inmate population are also important in reducing suicide incidents.

While it is clear from the graph that the department has made significant progress in reducing suicides in the prison system over time, our target must be zero. One suicide is too many.

Financial Status Reports-Department Totals FY 2016

Department Totals

Iowa Department of Corrections
FY 2016 Financial Status Reports
Through the Period Ending September 2016 - DOC

12/27/2016

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
FTE Positions					
Correctional Officer	1,378.50				
Total Staffing	2,583.43				
Resources Available					
04B Balance Brought Forward	496,308	496,306.46		496,306.46	100.00%
05A Appropriation	290,563,265	290,563,265.00		290,563,265.00	100.00%
05Y Supplements	1,900,000	1,900,000.00		1,900,000.00	100.00%
24T Appropriation Transfer	2,082,629	2,082,628.88		2,082,628.88	100.00%
74T De-appropriation	(2,082,629)	(2,082,628.88)		(2,082,628.88)	100.00%
201R Federal Support	-	-		-	--
202R Local Governments	125,000	159,278.31		159,278.31	127.42%
204R Intra State Receipts	1,640,903	608,431.00		608,431.00	37.08%
205R Reimbursement from Other Agencies	2,037	2,301.00		2,301.00	112.96%
234R Transfers - Other Agencies	31,701	699,617.95		699,617.95	2206.93%
301R Interest	-	144.47		144.47	--
401R Fees, Licenses & Permits	385,500	520,233.50		520,233.50	134.95%
501R Refunds & Reimbursements	834,295	492,041.88		492,041.88	58.98%
602R Sale of Equipment & Salvage	5	-		-	0.00%
603R Rents & Leases	1,363,877	1,276,077.39		1,276,077.39	93.56%
604R Agricultural Sales	-	-		-	--
606R Other Sales & Services	-	-		-	--
701R Unearned Receipts	-	-		-	--
Total Resources Available	297,342,891	296,717,696.96		296,717,696.96	99.79%
Funds Expended and Encumbered					
101 Personal Services-Salaries	238,136,953	233,235,752.54	-	233,235,752.54	97.94%
202 Personal Travel (In State)	227,401	331,749.66	-	331,749.66	145.89%
203 State Vehicle Operation	742,490	579,608.08	-	579,608.08	78.06%
204 Depreciation	917	583,746.13	-	583,746.13	63658.25%
205 Personal Travel (Out of State)	99,057	41,108.26	-	41,108.26	41.50%
301 Office Supplies	246,070	284,571.62	-	284,571.62	115.65%
302 Facility Maintenance Supplies	1,169,169	1,691,588.45	-	1,691,588.45	144.68%
303 Equipment Maintenance Supplies	643,622	833,509.88	-	833,509.88	129.50%
304 Professional & Scientific Supplies	784,358	1,067,359.08	-	1,067,359.08	136.08%
306 Housing & Subsistence Supplies	2,229,806	3,099,286.98	-	3,099,286.98	138.99%
307 Ag,Conservation & Horticulture Supply	35,705	50,558.34	-	50,558.34	141.60%
308 Other Supplies	848,034	1,021,852.53	-	1,021,852.53	120.50%
309 Printing & Binding	101	-	-	-	0.00%
310 Drugs & Biologicals	7,387,198	6,857,369.57	-	6,857,369.57	92.83%
311 Food	10,152,985	11,295,563.97	-	11,295,563.97	111.25%
312 Uniforms & Related Items	1,611,152	1,884,725.33	-	1,884,725.33	116.98%
313 Postage	73,711	68,898.69	-	68,898.69	93.47%
401 Communications	557,910	557,888.22	-	557,888.22	100.00%
402 Rentals	104,704	143,125.59	-	143,125.59	136.70%
403 Utilities	9,376,667	9,119,967.24	-	9,119,967.24	97.26%
405 Professional & Scientific Services	2,466,850	1,902,464.05	-	1,902,464.05	77.12%
406 Outside Services	6,595,273	6,460,662.16	-	6,460,662.16	97.96%
407 Intra-State Transfers	88,930	15,000.00	-	15,000.00	16.87%
408 Advertising & Publicity	2,156	14,609.60	-	14,609.60	677.63%
409 Outside Repairs/Service	922,018	1,348,918.38	-	1,348,918.38	146.30%
412 Auditor of State Reimbursements	100	-	-	-	0.00%
414 Reimbursement to Other Agencies	5,051,691	5,181,665.07	-	5,181,665.07	102.57%
416 ITS Reimbursements	956,686	1,003,587.64	-	1,003,587.64	104.90%
417 Worker's Compensation	-	-	-	-	--
418 IT Outside Services	2,051,457	2,059,436.30	-	2,059,436.30	100.39%
433 Transfers - Auditor of State	1	1,181.22	-	1,181.22	118122.00%
434 Transfers - Other Agencies Services	377,180	103,747.24	-	103,747.24	27.51%
501 Equipment	204,755	516,625.93	-	516,625.93	252.31%
502 Office Equipment	8,250	12,425.24	-	12,425.24	150.61%
503 Equipment - Non-Inventory	196,252	417,923.20	-	417,923.20	212.95%
510 IT Equipment	600,348	1,058,553.28	-	1,058,553.28	176.32%
601 Claims	401	-	-	-	0.00%
602 Other Expense & Obligations	3,380,373	3,494,103.33	-	3,494,103.33	103.36%
701 Licenses	11,515	12,024.00	-	12,024.00	104.42%
702 Fees	545	72.00	-	72.00	13.21%
705 Refunds-Other	-	-	-	-	--
901 Capitals	100	-	-	-	0.00%
91B Balance Carry Forward	-	283,963.74	-	283,963.74	--
93R Reversion	-	82,504.42	-	82,504.42	--
Total Expenses and Encumbrances	297,342,891	296,717,696.96	-	296,717,696.96	99.79%
Ending Balance				-	

Financial Status Reports-Institution Totals FY 2016

Institution Totals

Iowa Department of Corrections
FY 2016 Financial Status Reports
Through the Period Ending September 2016 - DOC

12/27/2016

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
FTE Positions					
Correctional Officer	1,378.50				
Total Staffing	2,540.43				
Resources Available					
04B Balance Brought Forward	199,858	199,856.70		199,856.70	100.00%
05A Appropriation	279,043,591	279,043,591.00		279,043,591.00	100.00%
05Y Supplements	-	-		-	--
24T Appropriation Transfer	1,710,000	1,710,000.00		1,710,000.00	100.00%
74T De-appropriation	(322,629)	(322,628.88)		(322,628.88)	100.00%
201R Federal Support	-	-		-	--
202R Local Governments	125,000	159,278.31		159,278.31	127.42%
204R Intra State Receipts	112,831	-		-	0.00%
205R Reimbursement from Other Agencies	2,037	2,301.00		2,301.00	112.96%
234R Transfers - Other Agencies	31,701	136,634.76		136,634.76	431.01%
301R Interest	-	144.47		144.47	--
401R Fees, Licenses & Permits	385,500	520,233.50		520,233.50	134.95%
501R Refunds & Reimbursements	774,295	428,100.64		428,100.64	55.29%
602R Sale of Equipment & Salvage	5	-		-	0.00%
603R Rents & Leases	1,363,877	1,276,077.39		1,276,077.39	93.56%
604R Agricultural Sales	-	-		-	--
606R Other Sales & Services	-	-		-	--
701R Unearned Receipts	-	-		-	--
Total Resources Available	283,426,066	283,153,588.89		283,153,588.89	99.90%
Funds Expended and Encumbered					
101 Personal Services-Salaries	233,166,147	228,274,543.38	--	228,274,543.38	97.90%
202 Personal Travel (In State)	201,776	315,525.34	--	315,525.34	156.37%
203 State Vehicle Operation	706,400	556,435.60	--	556,435.60	78.77%
204 Depreciation	916	450,267.15	--	450,267.15	49155.80%
205 Personal Travel (Out of State)	23,398	27,409.93	--	27,409.93	117.15%
301 Office Supplies	234,370	274,965.51	--	274,965.51	117.32%
302 Facility Maintenance Supplies	1,169,169	1,691,588.45	--	1,691,588.45	144.68%
303 Equipment Maintenance Supplies	643,622	833,509.88	--	833,509.88	129.50%
304 Professional & Scientific Supplies	784,358	1,067,359.08	--	1,067,359.08	136.08%
306 Housing & Subsistence Supplies	2,229,806	3,099,286.98	--	3,099,286.98	138.99%
307 Ag,C conservation & Horticulture Supply	35,705	50,558.34	--	50,558.34	141.60%
308 Other Supplies	748,233	1,010,312.60	--	1,010,312.60	135.03%
309 Printing & Binding	-	-	--	-	--
310 Drugs & Biologicals	7,387,198	6,857,369.57	--	6,857,369.57	92.83%
311 Food	10,152,985	11,295,563.97	--	11,295,563.97	111.25%
312 Uniforms & Related Items	1,611,152	1,884,725.33	--	1,884,725.33	116.98%
313 Postage	69,900	65,828.88	--	65,828.88	94.18%
401 Communications	450,700	465,814.07	--	465,814.07	103.35%
402 Rentals	104,703	141,284.38	--	141,284.38	134.94%
403 Utilities	9,376,667	9,119,967.24	--	9,119,967.24	97.26%
405 Professional & Scientific Services	2,005,411	1,853,120.05	--	1,853,120.05	92.41%
406 Outside Services	1,183,907	1,229,222.05	--	1,229,222.05	103.83%
407 Intra-State Transfers	255	900.00	--	900.00	352.94%
408 Advertising & Publicity	2,155	14,609.60	--	14,609.60	677.94%
409 Outside Repairs/Service	922,017	1,346,742.44	--	1,346,742.44	146.06%
412 Auditor of State Reimbursements	100	-	--	-	0.00%
414 Reimbursement to Other Agencies	4,918,386	4,818,846.36	--	4,818,846.36	97.98%
416 ITS Reimbursements	904,607	962,892.06	--	962,892.06	106.44%
417 Worker's Compensation	-	-	--	-	--
418 IT Outside Services	51,455	-	--	-	0.00%
433 Transfers - Auditor of State	-	-	--	-	--
434 Transfers - Other Agencies Services	18,179	13,990.06	--	13,990.06	76.96%
501 Equipment	204,754	516,625.93	--	516,625.93	252.32%
502 Office Equipment	8,250	12,425.24	--	12,425.24	150.61%
503 Equipment - Non-Inventory	196,248	410,073.46	--	410,073.46	208.96%
510 IT Equipment	520,204	950,249.43	--	950,249.43	182.67%
601 Claims	400	-	--	-	0.00%
602 Other Expense & Obligations	3,380,373	3,494,103.33	--	3,494,103.33	103.36%
701 Licenses	11,515	12,024.00	--	12,024.00	104.42%
702 Fees	545	72.00	--	72.00	13.21%
705 Refunds-Other	-	-	--	-	--
901 Capitals	100	-	--	-	0.00%
91B Balance Carry Forward	-	17,506.67	--	17,506.67	--
93R Reversion	-	17,870.53	--	17,870.53	--
Total Expenses and Encumbrances	283,426,066	283,153,588.89	-	283,153,588.89	99.90%
Ending Balance				-	

Financial Status Reports-Community Based Corrections FY 2016

CBC Totals

Iowa Department of Corrections
FY 2016 Financial Status Reports
Through the Period Ending September 30 2016 - CBC's

12/27/2016

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual Revenues and Expenditures	Percent (Actual of Budget)
FTE Positions					
Residential Officer	298.77	298.02		298.02	99.75%
Total Staffing	1,132.68	1,132.68		1,132.68	100.00%
Resources Available					
04B Balance Brought Forward	3,189,559.00	3,189,559.00		3,189,559.00	100.00%
02B Adjustment to Balance Brought Forward	-	(6.00)		(6.00)	---
05A Appropriation	91,133,983.00	91,133,983.00		91,133,983.00	100.00%
05K DAS Distribution	-	-		-	---
-- Appropriation Transfer	-	-		-	---
-- De-appropriation	-	-		-	---
201R Federal Support	376,892.00	284,875.00		284,875.00	75.59%
202R Local Governments	940,394.00	797,384.00		797,384.00	84.79%
204R Intra State Receipts	1,168,836.00	-		-	0.00%
205R Reimbursement from Other Agencies	145,780.00	612,180.00		612,180.00	419.93%
234R Transfers - Other Agencies	-	-		-	---
301R Interest	22,208.00	40,493.00		40,493.00	182.34%
401R Fees, Licenses & Permits	5,474,032.00	5,534,987.00		5,534,987.00	101.11%
402R Tuition & Fees	3,393,158.00	2,596,577.00		2,596,577.00	76.52%
501R Refunds & Reimbursements	10,217,918.00	12,965,503.00		12,965,503.00	126.89%
602R Sale of Equipment & Salvage	-	-		-	---
603R Rents & Leases	-	-		-	---
604R Agricultural Sales	-	-		-	---
606R Other Sales & Services	-	-		-	---
704R Other	260,650.00	352,934.00		352,934.00	135.41%
Total Resources Available	116,323,410.00	117,508,469.00		117,508,469.00	101.02%
Funds Expended					
101 Personal Services-Salaries	101,937,190.00	99,855,091.00	-	99,855,091.00	97.96%
202 Personal Travel (In State)	339,546.00	295,659.00	-	295,659.00	87.07%
203 State Vehicle Operation	328,278.00	274,575.00	-	274,575.00	83.64%
204 Depreciation	-	-	-	-	---
205 Personal Travel (Out of State)	22,026.00	49,242.00	-	49,242.00	223.56%
301 Office Supplies	306,589.00	293,089.00	-	293,089.00	95.60%
302 Facility Maintenance Supplies	157,605.00	126,977.00	-	126,977.00	80.57%
303 Equipment Maintenance Supplies	-	-	-	-	---
304 Professional & Scientific Supplies	271,920.00	271,296.00	-	271,296.00	99.77%
306 Housing & Subsistence Supplies	335,950.00	357,422.00	-	357,422.00	106.39%
307 Ag,Conservation & Horticulture Supply	-	-	-	-	---
308 Other Supplies	162,493.00	76,433.00	-	76,433.00	47.04%
309 Printing & Binding	-	-	-	-	---
310 Drugs & Biologicals	-	-	-	-	---
311 Food	2,558,363.00	2,249,525.00	-	2,249,525.00	87.93%
312 Uniforms & Related Items	1,000.00	2,551.00	-	2,551.00	255.10%
313 Postage	-	-	-	-	---
401 Communications	682,265.00	675,306.00	-	675,306.00	98.98%
402 Rentals	791,789.00	784,958.00	-	784,958.00	99.14%
403 Utilities	1,329,582.00	1,239,964.00	-	1,239,964.00	93.26%
405 Professional & Scientific Services	3,284,916.00	3,009,199.00	-	3,009,199.00	91.61%
406 Outside Services	374,788.00	341,552.00	-	341,552.00	91.13%
407 Intra-State Transfers	-	-	-	-	---
408 Advertising & Publicity	9,000.00	10,206.00	-	10,206.00	113.40%
409 Outside Repairs/Service	676,281.00	509,697.00	-	509,697.00	75.37%
412 Auditor of State Reimbursements	2,000.00	920.00	-	920.00	46.00%
414 Reimbursement to Other Agencies	729,079.00	496,690.00	-	496,690.00	68.13%
416 ITS Reimbursements	261,148.00	264,209.00	-	264,209.00	101.17%
417 Worker's Compensation	8,500.00	226,675.00	-	226,675.00	2666.76%
418 IT Outside Services	-	-	-	-	---
434 Transfers - Other Agencies Services	-	-	-	-	---
501 Equipment	177,347.00	267,993.00	-	267,993.00	151.11%
502 Office Equipment	33,967.00	19,199.00	-	19,199.00	56.52%
503 Equipment - Non-Inventory	211,200.00	161,736.00	-	161,736.00	76.58%
510 IT Equipment	684,933.00	575,065.00	-	575,065.00	83.96%
601 Claims	-	-	-	-	---
602 Other Expense & Obligations	325,605.00	279,119.00	-	279,119.00	85.72%
609 Bonds, Credit Union, Deferred Comp	-	-	-	-	---
705 Refunds-Other	-	-	-	-	---
901 Capitals	320,050.00	82,567.00	-	82,567.00	25.80%
91B Balance Carry Forward	-	4,658,124.00	-	4,658,124.00	---
-- Reversion	-	53,430.00	-	53,430.00	---
Total Expenses	116,323,410.00	117,508,469.00	-	117,508,469.00	101.02%
Ending Balance				-	

Average Cost Figures FY2016

Prisons	\$95.85	per day cost
Community Based Corrections		
Pretrial Interviews	\$47.65	per interview
Presentence Investigations	\$398.24	per investigation
Pretrial Release with Supervision	\$3.19	per day cost
Low Risk Probation Supervision	\$0.56	per day cost
Probation/Parole Supervision	\$4.59	per day cost
Drug Court	\$22.89	per day cost
Sex Offender	\$15.03	per day cost
Intensive Supervision Program	\$7.90	per day cost
IDAP	\$1.08	per day cost
Residential (includes Work Release, OWI, Probationers, etc.)	\$75.53	per day cost
Electronic Monitoring:		
Radio Frequency—Landline	\$1.55	per day cost
Radio Frequency—Cellular	\$2.25	per day cost
GPS 1 pc. Unit	\$3.15	per day cost
GPS 2 pc. Unit	\$4.15	per day cost
MEMS Alcohol Landline	\$3.10	per day cost
MEMS Alcohol Cellular	\$3.50	per day cost

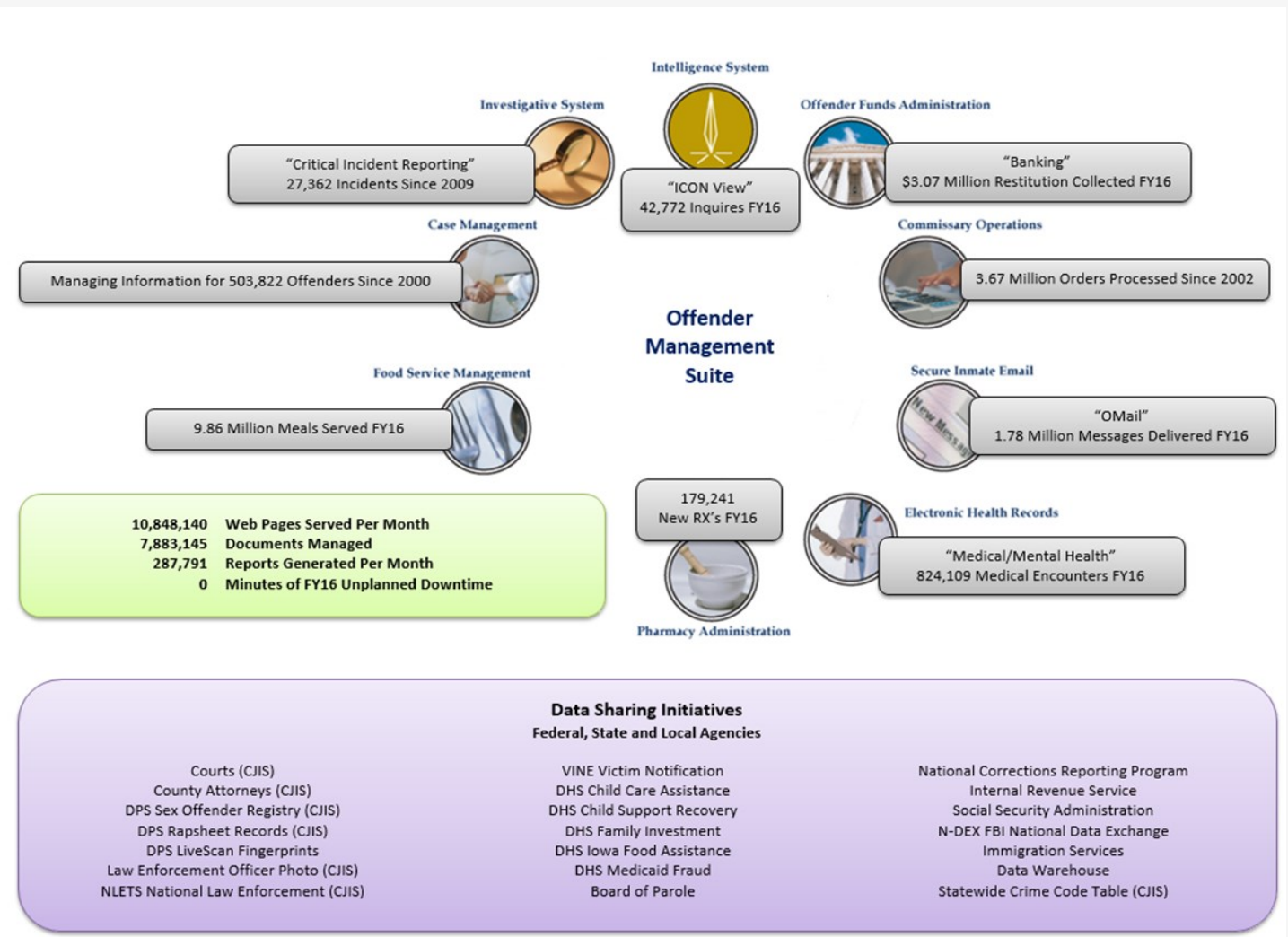


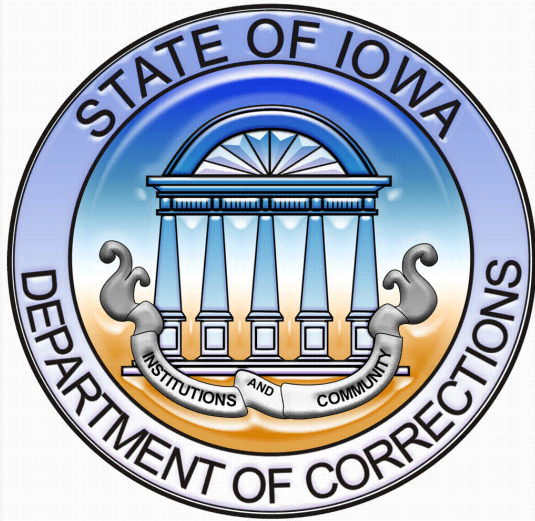
ICON

Iowa Corrections Offender Network (ICON), the Department's comprehensive case management system, is ever changing and expanding. CBC and Institution staff continually make suggestions to improve the system. Here are a few of the FY16 enhancements:

- Over 20+ Institution and CBC reports developed and implemented, some of which can be exported to Excel.
- DRAOR Institution Assessment added and piloted by the institution staff. By placing the assessment in ICON vs. a paper pilot program, auditing and gathering data about the effectiveness of the assessment is more efficient and accurate.
- A social security tracking screen designed by records staff. While offenders move through the system the tracking of obtaining their social security is imperative for re-entry.
- Ability to upload ICON business rules to the appropriate data entry screens.
- Completed Phase I of an electronic exchange of medical records with a community mental health provider.
- Visiting screen changes for added efficiencies and for residential use.
- Attachment areas added to numerous screens.

USAGE STATS & DATA SHARING INITIATIVES





Mission:

**Creating Opportunities for
Safer Communities.**

First Judicial District 314 East 6th Street Waterloo, Iowa 50704-4030 (319) 236-9626	Fourth Judicial District 801 South 10th Street Council Bluffs, IA 51501 (712) 325-4943	Seventh Judicial District 605 Main Street Davenport, Iowa 52803-5244 (563) 322-7986
Second Judicial District 509 Main Street Ames, IA 50010-0623 (515) 232-1511	Fifth Judicial District 1000 Washington Avenue Des Moines, Iowa 50314 (515)242-6611	Eighth Judicial District 1805 West Jefferson Fairfield, Iowa 52556 (641) 472-4242
Third Judicial District 515 Water Street Sioux City, Iowa 51103 (712) 252-0590	Sixth Judicial District 951 29th Avenue SW Cedar Rapids, Iowa 52404 (319) 398-3675	

Anamosa State Penitentiary 406 North High Street Anamosa, Iowa 52205 (319) 462-3504	Iowa Correctional Institution for Women 420 Mill Street SW Mitchellville, Iowa 50169 (515) 725-5042	Mount Pleasant Correctional Facility 1200 East Washington Street Mount Pleasant, Iowa 52641 (319) 385-9511
Clarinda Correctional Facility 2000 North 16th Street Clarinda, Iowa 51632 (712) 542-5634	Iowa Medical and Classification Center 2700 Coral Ridge Avenue Coralville, Iowa 52241 (319) 626-2391	Newton Correctional Facility 307 South 60th Avenue, W Newton, Iowa 50208 (641) 792-7552
Fort Dodge Correctional Facility 1550 L Street Fort Dodge, Iowa 50501 (515) 574-4700	Iowa State Penitentiary 2111 330th Avenue Fort Madison, Iowa 52627 (319) 372-5432	North Central Correctional Facility 313 Lanedale Rockwell City, Iowa 50579-7464 (712) 297-7521

**Annual Report prepared by:
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