State of Iowa Department of Corrections

Policy and Procedures

Policy Number: IO-SE-25 Applicability: Institutions Policy Code: Public Access

Iowa Code Reference: 904.514, 904.515 Chapter 3: INSTITUTIONAL OPERATIONS Sub Chapter: SAFETY AND EMERGENCY

Related DOC Policies: HSP-206, HSP-911, HSP-904, IO-SE-03

Administrative Code Reference: 875-10

Subject: BLOODBORNE PATHOGENS EXPOSURE CONTROL PLAN PREA

Standards: N/A

OSHA Standards: 29 CFR 1910.1030, 29 CFR1910.1020, 29 CFR 1904.6

Responsibility: Donald Stolley, Nick Lamb, Dr. Michael Riley

Effective Date: November 2024

Authority:

1. PURPOSE

To provide guidelines which prevent, manage and minimize incidents of the public, employee and incarcerated individual exposure to bloodborne pathogens within the Iowa Department of Corrections (IDOC), in compliance with the Occupational Safety and Health Administration (OSHA) Standard "Occupational Exposure to Bloodborne Pathogens;" 29 CFR 1910.1030, and the guidelines from the Center for Disease Control (CDC).

2. POLICY

It is the policy of the IDOC to provide a safe environment for the public, employee and incarcerated individuals, and to prevent, minimize the effects of, and correctly address any exposure to bloodborne pathogens.

CONTENTS

- A. Exposure Risks
- B. Controls

- C. Training
- D. Hepatitis B Vaccine and Post-Exposure Evaluation and Follow Up
- E. Exposure
- F. Communication of Hazards
- G. Recordkeeping
- H. Universal Precautions
- I. Methods of Compliance
- J. PPE shall be utilized in any situation where there is a known risk for exposure
- K. Housekeeping
- L. Documentation
- M. Administrative Review and Follow up

3. DEFINITIONS - As used in this document:

- A. Biohazard Any item or area contaminated with blood, body fluid(s) or other potentially infectious materials.
- B. Blood Human blood, human blood components, and products made from human blood.
- C. Body Fluids Body fluids that are potentially infectious include: blood, fluids that contain visible blood, semen and vaginal secretions. Other fluids considered potentially infectious include: cerebrospinal fluid, synovial fluid, pleural fluid, peritoneal fluid, pericardial fluid, and amniotic fluid. Urine, sweat, saliva, sputum, tears, feces and vomitus are not considered potentially infectious, unless they contain blood. (Source: Center for Disease Control)
- D. Bloodborne Pathogens Pathogenic microorganisms that are present in human blood and can cause disease in humans. These pathogens include, but are not limited to, hepatitis B virus (HBV) and human immunodeficiency virus (HIV).
- E. Contaminated The presence of blood, or the reasonably anticipated presence of blood; or, other potentially infectious materials on an item or surface.

- F. Contaminated Laundry Laundry that has been soiled with blood or other potentially infectious materials.
- G. Contaminated Sharps Any contaminated object that can penetrate the skin including, but not limited to, needles, razors, scalpels, broken glass, broken capillary tubes, edged or pointed weapons, and exposed ends of dental wire.
- H. Decontamination The use of physical or chemical means to remove, inactivate, or destroy bloodborne pathogens on a surface or item where they are no longer capable of transmitting infectious particles; and, the surface of an item is rendered safe for handling, use or disposal.
- I. Engineering Controls (e.g., sharps disposal containers, self-sheathing needles, safer medical devices, such as sharps with engineered sharps injury protections and needleless systems) that isolate or remove the bloodborne pathogens hazard from the workplace.
- J. Exposure A specific eye, mouth, other mucous membrane, non-intact skin, or parenteral contact with blood or other potentially infectious materials that results from the performance of an employee's duties.
- K. Licensed Healthcare Professional A person whose legally permitted scope of practice allows him or her to independently perform the activities required by paragraph (f) Hepatitis B Vaccination and Post-exposure Evaluation and Follow-up. (i.e. Physician)
- L. Occupational Exposure Non-intact skin, eye, or other mucous membranes; or, parenteral needle stick contact with blood or other potentially infectious material that may result from the performance of an employee's duties.
- M. Occupational Safety & Health Administration (OSHA) The agency responsible for promulgating standards governing safety and health in the work place.
- N. Other Potentially Infectious Materials (OPIM)
 - 1. The following body fluids: semen, vaginal secretions, cerebrospinal fluid, synovial fluid, pleural fluid, pericardial fluid, peritoneal fluid amniotic fluid, saliva; in dental procedures any body fluid that is visibly contaminated with blood; and, all body fluids in situations where it is difficult or impossible to differentiate between them.

- 2. Any unfixed tissue or organ (other than intact skin) from a human, living or dead.
- 3. HIV-containing cell or tissue cultures, organ cultures, and HIV- or HBV-containing culture medium or other solutions; and blood, organs, or other tissues from experimental animals infected with HIV or HBV.
- O. Parenteral Piercing mucous membranes or the skin barrier through such events as needle sticks, human bites, cuts and abrasions.
- P. Personal Protective Equipment (PPE) Specialized clothing or equipment worn by an employee for protection against a hazard. Regulated Waste - Potentially infectious materials or contaminated items; items containing dried blood; contaminated sharps; and, pathological and microbiological wastes containing blood or other potentially infectious materials.
- Q. Regulated Waste Liquid or semi-liquid blood or other potentially infectious materials; contaminated items that would release blood or other potentially infectious materials in a liquid or semi-liquid state if compressed; items that are caked with dried blood or other potentially infectious materials and are capable of releasing these materials during handling; contaminated sharps; and pathological and microbiological wastes containing blood or other potentially infectious materials.
- R. Source Individual Any individual, living or dead, whose blood or other potentially infectious materials may be a source of occupational exposure to an employee.
- S. Sterilize The use of a physical or chemical procedure to destroy all microbial life, including highly resistant endospores.
- T. Work Practice Controls Controls that reduce the likelihood of exposure by altering the manner in which a task is performed.
- U. Universal Precautions An approach to infection control. According to the concept of Universal Precautions, all human blood and certain human body fluids are treated as if known to be infectious for HIV, HBV, HCV and other bloodborne pathogens.

4. PROCEDURES

A. Exposure Risks

- 1. Employees within the IDOC institutions are at risk for occupational exposure.
- 2. Certain functions such as, but not limited to:
 - a. Incarcerated individual supervision;
 - b. Handling contaminated articles;
 - c. Handling or cleaning up body fluids;
 - d. Health service-related functions are at risk of occupational exposure.

B. Controls

- 1. Employees shall use the following controls to eliminate or minimize their exposure and provide a method of compliance to recognized safety standards:
 - a. Engineering Controls
 - b. Work Practices Controls
 - c. Universal Precautions
 - d. Housekeeping Practices
 - e. Personal Protective Equipment (PPE)
- 2. The Safety Audit team will solicit input from non-management medical/dental staff on updated engineering controls/work practice controls. If annual audits do not occur, an email or survey will be sent to staff.

C. Training

All IDOC employees and incarcerated individuals with the potential for occupational exposure to bloodborne pathogens shall be trained annually.

Refer to **IO-SE-03** for Training Requirements

D. Hepatitis B Vaccine and Post-Exposure Evaluation and Follow Up

1. General

The institutions shall ensure that all medical evaluations and procedures, including the Hepatitis B vaccine, vaccination series, post-exposure evaluation and follow up, including treatment, are:

- a. Made available at no cost to the employee;
- b. Made available to the employee at a reasonable time and place;
- c. Performed by or under the supervision of a licensed healthcare professional; and
- 2. Hepatitis B vaccination (For additional information and procedures *see* IDOC Policy **HSP-206** *Employee Health*).

General Employees/Incarcerated individuals with the potential for occupational exposure to bloodborne pathogens will be offered the Hepatitis B vaccine:

- a. If the employee initially declines the Hepatitis B vaccination, but at a later date while still covered under the standard decides to accept the vaccination, the employer shall make the Hepatitis B vaccination available at that time.
- b. Health Services shall ensure that employees, staff and incarcerated individual workers who decline to accept the Hepatitis B vaccination offered by the employer, sign the *Hepatitis B Immunization Consent/Refusal Form*, **HSF-206A**.

E. Exposure

 Post-Exposure Evaluation and Follow Up. (For additional information and procedures, see IDOC Policy HSP-911 Blood & Body Fluid/Tissue Exposure).

- 2. Staff and/or incarcerated individual post-exposure evaluation and followup procedures shall be completed in accordance with CDC Guidelines and OSHA Standard 29 CFR 1910.1030 (IO-SE-25 Attachment A)
 - a. The exposed person shall;

Decontaminate outer clothing and self as soon as possible and report to health services.

- Complete documentation of the route(s); evidence of exposure, and the circumstances under which the exposure occurred (incident/accident report).
- c. Medical staff will refer to Blood and Body Fluid/Tissue exposure **HSP-911** for exposure protocol.
- 3. Information Provided to the Healthcare Professional
 - a. The institution shall ensure that the designated healthcare professional evaluating an employee after an exposure incident is provided the following information:
 - 1) A copy of *OSHA Regulation 29 CFR 1910.1030* (**IO-SE- 25 Attachment A**).
 - 2) The exposed employee's activities as they relate to the exposure incident.
 - 3) Documentation of the route(s) and evidence of exposure and circumstance under which the exposure occurred.
 - 4) Results of the source individual's blood testing, if available.
 - 5) All medical records relevant to the appropriate treatment, including vaccination status, which are the institution's responsibility to maintain.
- 4. Healthcare Professional's Written Opinion

The Healthcare Professional shall provide the employee and Nursing Director with a copy of the evaluating healthcare professional's written opinion within 15 days of the completion of the evaluation.

a. Any post-exposure treatment completed.

- b. The licensed Healthcare Professional's written opinion for postexposure evaluation and follow up shall include the following information:
 - 1) That the employee has been informed of the results of the evaluation; and
 - 2) That the employee has been informed of any medical conditions which could result from exposure to blood or other potentially infectious materials which may require further evaluation or treatment.
- c. All other findings or diagnoses shall remain confidential.

F. Communication of Hazards

Labels and signs

- Warning labels shall be affixed to containers of regulated waste, refrigerators and freezers containing blood or other potentially infectious material; and, other containers used to store, transport or ship blood or other potentially infectious materials.
- 2. Labels required by this section shall include the following legend: BIOHAZARD

- 3. These labels shall be fluorescent orange or orange-red; or, predominantly so, with lettering and symbols in a contrasting color.
- 4. Labels shall be affixed as close as is feasible to the container by string, wire, adhesive, or other method that prevents their loss or unintentional removal.
- 5. Red bags or red containers may be substituted for labels.

G. Recordkeeping

An accurate record for each employee with occupational exposure shall be maintained. See **HSP-911** for recordkeeping requirements.

1. Training Records

- a. Training records shall include the following information:
 - 1) The dates of the training sessions;
 - 2) Maintenance of archive copies of all documents used in elearning shall be the responsibility of the Iowa Corrections Learning Center (ICLC);
 - 3) The names and qualifications of persons conducting the training sessions; and,
 - 4) The names and job titles of persons attending the training sessions.
- b. Training records shall be maintained indefinitely from the date on which the training occurred.

2. Transfer of Records

The institution shall comply with the requirements involving transfer of records set forth in 29 CFR 1910.1020.

3. Sharps Injury Log

- a. The Nursing Director, Administrative Officer or designee shall maintain a *Sharps Injury Log* (IO-SE-25 F-1) for the recording of percutaneous injuries from contaminated sharps. The information in the sharps injury log shall be recorded and maintained on Form 1 in such a manner as to protect the confidentiality of the injured employee. The Safety Officer will ensure the sharps log is completed in compliance with applicable regulations. The sharps injury log shall contain, at a minimum:
 - The type and brand of device involved in the incident,

- 2) The department or work area where the exposure incident occurred; and
- 3) An explanation of how the incident occurred.
- b. The sharps injury log shall be maintained for 5 years.

H. Universal Precautions

In general, universal precautions include the use of an appropriate barrier (gloves, mask, goggles, face shields, gowns, protective suits, etc.) to prevent contact with body fluids. Additionally, standard sterilization and disinfection measures, as well as waste disposal procedures, are to be followed. Universal precaution recommendations can be summarized by the following:

- 1. Frequent and routine hand washing.
- 2. Gloves shall be worn whenever there is a possibility of contact with body fluids, or handling of suspicious packages and envelopes.
- 3. Protective suits, gowns, masks, goggles, or face shields shall be worn whenever circumstances indicate a possibility of splashing or splattering of body fluids. Each institution shall ensure that protective equipment is readily available to responders.
- 4. During resuscitation procedures, masks or mechanical ventilation devices shall be readily available and used.
- 5. Staff who have open lesions, dermatitis or other skin irritations shall not handle contaminated equipment without personal protective equipment.
- 6. Safe needle devices, including retractable syringes and needles shall be used for all procedures where exposure to blood or OPIM is possible.

I. Methods of Compliance

All staff and incarcerated individuals are responsible for preventing and minimizing exposure to bloodborne pathogens. Staff and incarcerated individuals shall report any exposure to their supervisor immediately.

- 1. Hand washing facilities will be readily accessible to employees.
- 2. When provision of hand washing facilities is not feasible, employees will be provided with either an appropriate antiseptic hand cleanser, in conjunction with clean cloths/paper towels, or antiseptic towelettes. When antiseptic hand cleansers or towelettes are used, hands shall be washed with soap and running water as soon as feasible.
- 3. Employees shall wash their hands and any other skin with soap and water; flush mucous membranes with water immediately or as soon as feasible following contact of such body areas with blood or other potentially infectious materials; and, after the removal of gloves or other personal protective equipment.
- 4. Contaminated retractable needles and other contaminated sharps shall not be bent, recapped or removed unless required by a medical procedure; then, a one-handed recapping technique or safety device must be used. Shearing or breaking of contaminated needles is prohibited.
- 5. Contaminated disposable sharps shall be placed in appropriate containers which are closable, puncture resistant, labeled or color-coded, and leak proof on the sides and bottom. Disposal will be consistent with approved DOC policy and procedure.
- 6. Food and drink shall not be kept in refrigerators, freezers, on shelves, in cabinets, on countertops, bench tops where blood or other potentially infectious materials are present.
- 7. Eating, drinking, smoking, applying cosmetics or lip balm, or handling contact lenses is prohibited in work areas where there is a reasonable likelihood of exposure.
- 8. Specimens of blood or other potentially infectious materials shall be placed in a closable, labeled or color-coded container that prevents leakage during collection, handling, processing, storage, transport or shipping. If outside contamination of the primary container occurs, or the specimen could puncture it, the primary container shall be

- placed within a secondary container that is puncture resistant, in addition to the above characteristics.
- 9. Equipment which may become contaminated with blood or other potentially infectious materials shall be examined prior to servicing or shipping, and shall be decontaminated, as necessary, unless it can be demonstrated that decontamination of such equipment or portions of such equipment is not feasible. A readily observable label will be attached to the equipment, stating which portions remain contaminated. Surface decontamination should be done promptly with a commercially prepared product with EPA registration as approved by facility Safety Office. Do not aerosolize particles.

J. PPE shall be utilized in any situation where there is a known risk for exposure:

- All staff will be provided appropriate personal protective equipment such as, but not limited to, protective suits, gloves, gowns, laboratory coats, face shields or masks, eye protection, mouth pieces, resuscitation bags, pocket masks or other ventilation devices at no cost to the employee.
- 2. Garments contaminated by blood or other potentially infectious material shall be removed immediately, or as soon as possible, and all personal protective equipment shall be removed prior to leaving the work area. These will be placed in an appropriately designated area or container for storage, washing, decontamination or disposal.
- 3. Appropriate disciplinary action may be instituted in the event an employee in a position designated as having a reasonable risk for occupational exposure fails to use appropriate personal protective equipment.
- 4. Where there is a significant likelihood of intentional exposure by incarcerated individuals, proper PPE shall be used. Staff who have open lesions, dermatitis or other skin irritations shall not participate in physical interventions with incarcerated individuals without special precautions and preparation to prevent exposure.
- 5. For minor cleanup situations, PPE shall be utilized appropriate to the risk of exposure.

6. Impermeable disposable suits are authorized for clean-up. The IDOC Safety Director may authorize exceptions to allow other PPE suits to be used.

K. Housekeeping

- 1. Written policy and procedure shall address the appropriate cleaning schedules and methods for decontamination with an appropriate disinfectant.
- 2. All equipment and environmental surfaces, as well as work surfaces, shall be cleaned and decontaminated after contact with blood or other potentially infectious materials.
- 3. All bins, pails, cans and similar receptacles intended for reuse, which have a reasonable likelihood for becoming contaminated with blood, or other potentially infectious materials, shall be inspected and decontaminated on a regularly scheduled basis. They will be cleaned and decontaminated immediately, or as soon as feasible, upon visible contamination.
- 4. Broken glassware which may be contaminated shall not be picked up directly with hands, but shall be cleaned up by mechanical means, i.e., brush, dustpan, tongs, etc.
- 5. Reusable containers used for regulated waste must not be opened, emptied or cleaned manually, or in any other manner which would expose employees to the risk of percutaneous injury. Regulated waste shall be disposed of in accordance with applicable regulations of the United States, Iowa and political subdivisions.

6. Contaminated Laundry

- a. Contaminated laundry shall be handled as little as possible, and with a minimum of agitation.
- b. Contaminated laundry shall be bagged or containerized at the location where it was used, but not be sorted or rinsed in the location of use.
- c. Contaminated laundry shall be placed and transported in bags or containers labeled or color-coded so that all employees can

- recognize the containers as requiring compliance with universal precautions.
- d. If contaminated laundry is wet, it shall be placed and transported in plastic bags or containers which prevent soak-through or leakage.
- 7. Employees who have contact with contaminated laundry must wear protective gloves and other appropriate personal protective equipment.

L. Documentation

- 1. An incident report and/or accident report, as well as appropriate health record documentation, must be completed whenever an individual has reason to believe they may have been exposed to infectious disease that could be spread by blood or other body fluids/tissues.
- 2. A copy of the incident report and/or accident report describing the event must be placed in the individual's health file. The report must specify how the alleged exposure occurred (i.e., what body fluids were exchanged, and the possible mode of transmission).
- 3. An entry of the occupational exposure shall be recorded on the OSHA form 300, Log of Work-Related Injuries and Illnesses, by the Safety Officer, Personnel or designee.

M. Administrative Review and Follow up

- 1. Each institution shall develop specific procedures that ensure appropriate review of exposure incidents. The review shall include a meeting and discussion between Warden or designee, exposed employee's department head, Safety Officer, Nursing Director, and any other staff deemed necessary by the Warden. The Administrative Review of Potential Exposure (IO-SE-25 F-2) shall be completed and sent to the IDOC Safety Director and IDOC Nursing Administrator within 15 working days of the potential exposure incident.
- 2. The review shall include but shall not be limited to:
 - Determining whether there is appropriate documentation and information about the incident, and obtaining any additional necessary information;

- b. Determining whether all steps outlined in this policy have been followed;
- c. Determining whether institutional procedures were followed in any activities that preceded the event, including whether there were any contributing factors by any equipment, communication, physical barrier, or procedure;
- d. Identifying any opportunities for improvement or refinement; and,
- e. Assigning responsibility and a timetable for any follow-up.
- 3. Records of this review shall be maintained indefinitely, and shall be confidential.